CHRISTINA M. SAUTTER

Associate Dean for Research
Professor of Law
SMU Dedman School of Law
3315 Daniel Avenue
Dallas, Texas 75205
christinasautter@smu.edu

SSRN | Bio | Google Scholar | LinkedIn

ACADEMIC APPOINTMENTS & VISITS

Southern Methodist University (SMU) Dedman School of Law, Dallas, Texas
Associate Dean for Research, 2025 – present
Professor of Law, 2023 – present

Courses: Business Enterprise
Mergers & Acquisitions
Corporate Governance Colloquium

Università degli Studi di Milano Statale (University of Milan), Milan, Italy
Visiting Professor of Law, April – May 2026

- Taught 16 hours across J.D. equivalent and Ph.D. programs.
  - J.D. equivalent: introduction to U.S. federal securities regulation, IPOs, exemptions, and liability; overview of Delaware fiduciary duties; and guest lecture in Sustainable Development course (presentation of *Corporate Disenfranchisement & The Leopard Paradigm of Corporate Governance*)
  - Ph.D.: seminar on *The Shareholder Democracy Lie*

Bocconi University School of Law, Milan, Italy
Visiting Professor of Law, April 2025 & May 2025

- Taught an 8-hour Ph.D. in Legal Studies, Curriculum in Business & Social Law seminar on U.S. securities law, U.S. company shareholding, and retail investors.
- Taught a 2-hour Ph.D. in Legal Studies, Curriculum in Business & Law seminar titled U.S. M&A and Related Securities Regulation Issues.

The University of Edinburgh, Edinburgh Law School, Edinburgh, Scotland, UK
Visiting Scholar, February – March 2025

- Researched investor and financial literacy education in Scotland.

Dublin City University – School of Law and Government, Dublin, Ireland
Visiting Scholar, February 2025

- Researched investor and financial literacy education in Ireland.

The London School of Economics and Political Science (LSE) Law School, London, UK
Visiting Fellow, Fall 2024

- Researched retail investor engagement in the United Kingdom as well as corporate governance documentation and shareholder records for the East India Company.

Louisiana State University Paul M. Hebert Law Center, Baton Rouge, Louisiana
Cynthia Felder Fayard Professor of Law, *Byron R. Kantrow Professor of Law*, and *Vinson & Elkins Professor of Law*, 2008 – 2023

Faculty Positions: *Vinson & Elkins Professorship* (2017 – 2023)
Professor of Law (2014 – 2023)
Byron R. Kantrow Professorship (2013 – 2023)
Cynthia Felder Fayard Professorship (2011 – 2023)
Associate Professor of Law (2011 – 2014)
Assistant Professor of Law (2008 – 2011)

Courses: Business Associations I
Business Associations II
Mergers & Acquisitions
Securities Regulation

Loyola University New Orleans College of Law, New Orleans, Louisiana
Westerfield Fellow, 2006 – 2008

Courses: Legal Research & Writing
Moot Court (Appellate Advocacy)
Mergers & Acquisitions Seminar

INSTITUTIONAL AFFILIATIONS & LEGAL EXPERIENCE

Center for Retail Investors & Corporate Inclusion, Inc., Wilmington, Delaware
Co-Founder, Secretary, & Board Director, 2024 – present

- 501(c)(3) with the mission of fostering academic scholarship relating to retail investors, technology-driven investing, and corporate inclusion; facilitating events bringing together scholars and industry leaders; and developing and providing corporate governance and financial literacy education for retail investors.

Shearman & Sterling LLP (now A&O Shearman), New York, New York
Associate, *Mergers & Acquisitions Group*, 2003 – 2006
Summer Associate, *Capital Markets and Mergers & Acquisitions Groups*, Summer 2001

United States Court of Appeals for the Fourth Circuit, Abingdon, Virginia
Law Clerk to the late Honorable H. Emory Widener, Jr., 2002 – 2003

HONORS, AWARDS, & GRANTS

American Bar Foundation Fellow (2023 – present)
SMU International Travel Grant 2025 (approximately $2,000 grant award)
The Barbara and Michael Lynn Faculty Research Fund Award, 2025, 2026
The Glenn A. Portman Faculty Research Fund Award, 2023, 2024

PUBLICATIONS & RESEARCH ACTIVITIES

Books

A RESEARCH AGENDA FOR MERGERS & ACQUISITIONS LAW (EDWARD ELGAR PUBLISHING) (*forthcoming* 2028) (Editor).

DOCUMENTING THE DEAL (WITH CARLISS N. CHATMAN) (WEST ACADEMIC PUBLISHING) (forthcoming 2028).

A SHORT & HAPPY GUIDE TO MERGERS & ACQUISITIONS (WEST ACADEMIC PUBLISHING) (forthcoming 2028).

MERGERS AND ACQUISITIONS LAW (HORNBOOK SERIES) (WEST ACADEMIC PUBLISHING 2018) (WITH FRANKLIN A. GEVURTZ) (This is part of West Academic Publishing's Hornbook series of single volume treatises).

Book Chapters & Contributions

Wireless Investing, *in* A RESEARCH AGENDA FOR LAW AND CORPORATE FINANCE (JAY KESTEN, ED.) (EDWARD ELGAR PUBLISHING) (*forthcoming* 2028) (with Sergio Alberto Gramitto Ricci).

Retail Investor Voting Programs & Mergers, *in* A RESEARCH AGENDA FOR MERGERS AND ACQUISITIONS LAW (CHRISTINA M. SAUTTER, ED.) (EDWARD ELGAR PUBLISHING) (*forthcoming* 2028).

Cross-Listings and Foreign Issuers, *in* FINANCIAL AND SUSTAINABILITY DISCLOSURE REGULATION (ANNE LAFARRE, MARTIN GELTER, & GIOVANNI STRAMPELLI, EDS.) (OXFORD UNIVERSITY PRESS) (*forthcoming* 2027) (with Sergio Gilotta & Sergio Alberto Gramitto Ricci).

The Incorporation Ritual, *in* THE NATURE OF CORPORATIONS (JONATHAN HARDMAN, STEVE KOURABAS, BILLIE LYTHBERG, CHRISTOPH VAN DER ELST, & SUSAN WATSON EDS.) (EDINBURGH UNIVERSITY PRESS) (*forthcoming* 2027) (with Sergio Alberto Gramitto Ricci).

Compliance for Stakeholders and Reputation, *in* ELGAR CONCISE ENCYCLOPEDIA OF COMPLIANCE LAW (JAMES FANTO, ED.) (EDWARD ELGAR PUBLISHING) (*forthcoming* 2026) (with Sergio Alberto Gramitto Ricci).

- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).

Harnessing the Collective Power of Retail Investors, *in* A RESEARCH AGENDA FOR CORPORATE LAW (CHRISTOPHER M. BRUNER & MARC MOORE, EDS.) (EDWARD ELGAR PUBLISHING) (2023) (with Sergio Alberto Gramitto Ricci).

- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured on THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB).
- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).

Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc., *in* FEMINIST JUDGMENTS: CORPORATE LAW REWRITTEN (KELLI ALCES WILLIAMS, ANNE CHOIKE, & USHA R. RODRIGUES, EDS.) (CAMBRIDGE UNIVERSITY PRESS) (2023).

Tender Offers and Disclosure: The History and Future of the Williams Act, *in* RESEARCH HANDBOOK ON MERGERS AND ACQUISITIONS (CLAIRE HILL & STEVEN DAVIDOFF SOLOMON, EDS.) (EDWARD ELGAR PUBLISHING) (2016).

Articles & Essays

The Shareholding Rights Shift from India to Delaware and Texas, 79 SMU L. REV. (*forthcoming* 2026) (with Aditya Baheti) (*Welcome to Y'all Street: Texas Corporations and Texas Shareholders Symposium*).

- Featured in THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND

CAPITAL MARKETS).
- Featured in THE CHANCERY DAILY.

Corporate Disenfranchisement & The Leopard Paradigm of Corporate Governance, 17 U.C. IRVINE L. REV. (*forthcoming* 2027) (with Sergio Alberto Gramitto Ricci).
- Featured in THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS), May 21, 2026.
- Cited in NYC Comptroller's Letter to ExxonMobil Shareholders, May 4, 2026.
- Featured in BLOOMBERG LAW, April 30, 2026.
- Featured in THE CHANCERY DAILY.
- Featured in CORPGOV.NET CORPORATE GOVERNANCE BLOG.
- Featured in BLOOMBERG LAW, March 20, 2026.
- Featured in THE ECGI MEMBERS' DEBRIEF, March 2026.
- Featured in THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS), FEBRUARY 23, 2026.
- Featured in EUROPEAN CORPORATE GOVERNANCE INSTITUTE (ECGI) BLOG.

The Shareholder Democracy Lie, 78 FLA. L. REV. 723 (2026) (with Sergio Alberto Gramitto Ricci and Daniel J.H. Greenwood).
- Featured in THE CHANCERY DAILY.
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Featured in BLOOMBERG LAW.
- Featured in PROMARKET.
- Featured in BAINBRIDGE ON CORPORATIONS.
- Reviewed in JOTWELL.
- Featured in EUROPEAN CORPORATE GOVERNANCE INSTITUTE (ECGI) BLOG.
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured in ETHICAL SUSTAINABLE INCLUSIVE CAPITALISM.
- Featured in BUSINESS SCHOLARSHIP PODCAST.
- Featured in REALCLEAR MARKETS.
- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).
- Featured on VALUE EDGE ADVISORS.
- Featured in FORTUNE.
- Featured in Mafalda de Sá et al., *Coimbra International Workshop on Corporate Governance Discoveries and Innovations: Conference Report*, 32 DIREITO DAS SOCIEDADES EM REVISTA 213 (2024) (Port.).

Democratization of the Private Markets?, 26 TRANSACTIONS: TENN. J. BUS. L. 67 (2025) (with Colleen Baker) (*Connecting the Threads VIII* Symposium).
- Featured on BUSINESS LAW PROF BLOG.

Corporate Governance Through Social Media, 75 FLA. L. REV. F. 89 (2024).
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Featured in THE CHANCERY DAILY.

Wireless Investors & Apathy Obsolescence, 100 WASH. U. L. REV. 1653 (2023) (with Sergio Alberto Gramitto Ricci) (2023 Institute for Law and Economic Policy Symposium: *Regulating the Upheavals in the Securities Markets and Corporate Boardrooms*).

- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Reviewed in JOTWELL.
- Featured in Public.com's 2023 Retail Investor Report.
- Featured on Italian CNBC.

The Corporate Forum, 102 B.U. L. REV. 1861 (2022) (with Sergio Alberto Gramitto Ricci).

- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.
- Featured on BUSINESS LAW PROF BLOG.

The Educated Retail Investor: A Response to "Regulating Democratized Investing," 83 OHIO ST. L.J. ONLINE 205 (2022) (with Sergio Alberto Gramitto Ricci).

- Featured in THE HITCHHIKER'S GUIDE TO CORPORATE GOVERNANCE.
- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured in Public.com's 2023 Retail Investor Report.
- Featured on BUSINESS LAW PROF BLOG.
- Featured on THECORPORATECOUNSEL.NET BLOG.

The Wireless Investors Movement, U. CHI. BUS. L. REV.: ONLINE EDITION (2022) (with Sergio Alberto Gramitto Ricci) (inaugural guest academic article).

- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured in THE HITCHHIKER'S GUIDE TO CORPORATE GOVERNANCE.
- Featured in FORBES.
- Featured on THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW.

Corporate Governance Gaming: The Collective Power of Retail Investors, 22 NEV. L.J. 51 (2021) (with Sergio Alberto Gramitto Ricci).

- Featured in RICHMOND JOURNAL OF LAW & TECHNOLOGY'S (JOLT) PODCAST.
- Featured on BUSINESS LAW PROF BLOG.
- Featured in FORBES, *We Are All 'stakeholderists' Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled* (Mar. 28, 2022, 2:54 pm EDT).
- Featured on THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW.
- Featured in FORBES, *Trump's Social Media Platform To Merge With SPAC: An Insider's Look At SPACs* (Oct. 23, 2021 01:26 pm EDT).
- Featured in BUSINESS SCHOLARSHIP PODCAST.
- Featured on MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG.
- Featured on UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB).
- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).
- Featured on BUSINESS LAW PROF BLOG.
- Featured on THECORPORATECOUNSEL.NET BLOG.
- Featured on CORPGOV.NET CORPORATE GOVERNANCE BLOG.
- Featured in INTERNATIONAL TEAM FOR THE STUDY OF SECURITY (ITSS) – VERONA INTERVIEW.

- Featured in NESTEGG.
- Featured in THE MARKET HERALD.
- Featured in AUSTRALIAN BUSINESS NEWS.

Transaction Cost Economics & MAEs: The Dealmaker's Crystal Ball, 89 FORDHAM L. REV. ONLINE 41 (2021).

Delaware as Deal Arbiter, 77 WASH. & LEE L. REV. 1269 (2020) (prepared for Symposium: "*2019–2020 Lara D. Gass Symposium, The Changing Role of Delaware in Corporate Governance*" cancelled due to COVID-19).

The Golden Ratio of Corporate Deal-Making, 41 J. CORP. L. 817 (2016).

- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).

Fleecing the Family Jewels, 90 TUL. L. REV. 545 (2016).

- Featured on THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS).

Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control, 64 CASE W. RES. L. REV. 521 (2013).

- One of twelve papers selected out of over 60 blind submissions for presentation at the C-LEAF 2013 Junior Faculty Business and Financial Law Workshop sponsored by the George Washington University Law School.
- Cited in *Merion Capital L.P. and Merion Capital II L.P. v. Lender Processing Services, Inc.*, C.A. No. 9320-VCL, 2016 WL 7324170 (Del. Ch. Dec. 16, 2016).

Lock-Up Creep, 38 J. CORP. L. 681 (2013) (with Steven M. Davidoff) (Symposium: "*Ten Years After Omnicare: The Evolving Market for Deal Protection Devices*").

- Excerpted in JONATHAN R. MACEY & DOUGLAS K. MOLL, THE LAW OF BUSINESS ORGANIZATIONS: CASES, MATERIALS, AND PROBLEMS (14TH ED. 2020).
- Featured on the HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE.
- Featured on ADAMS ON CONTRACT DRAFTING.

Promises Made to be Broken? Standstill Agreements in Change of Control Transactions, 37 DEL. J. CORP. L. 929 (2013).

- Quoted in *In re* Colombia Pipeline, Inc., 405 F. Supp. 3d 494 (S.D.N.Y. Sept. 26, 2019).
- Featured on the HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE.

Rethinking Contractual Limits on Fiduciary Duties, 38 FLA. ST. U. L. REV. 55 (2010).

Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions, 73 BROOK. L. REV. 525 (2008).

- Excerpted in CLAIRE A. HILL, BRIAN JM QUINN, & STEVEN DAVIDOFF SOLOMON, MERGERS AND ACQUISITIONS: LAW, THEORY, AND PRACTICE 416 (2D ED. 2019).

Student Note

Note, *Matter of Class: The Impact of Brown v. McLean on Employee Discharge Cases*, 46 VILL. L. REV. 421 (2001).

Commentaries & OpEds

Exxon's Retail Voting Program Is A Trap For Retail Investors., LAW360 (Apr. 29, 2026) (Commentary).
- Quoted in NYC Comptroller's Letter to ExxonMobil Shareholders, May 4, 2026.

The Texas Reincorporation Trap — What the ExxonMobil Vote Reveals About Board Power, THE TEXAS LAWBOOK (Mar. 31, 2026) (Commentary).

Exxon Texas Move Should Prompt Shareholders to Read the Fine Print, BLOOMBERG LAW (Mar. 20, 2026) (Commentary).
- Quoted in Morgan Simon, *Only 60 Days Left To Save Shareholder Democracy*, FORBES (September 22, 2026).
- Quoted in NYC Comptroller's Letter to ExxonMobil Shareholders, May 4, 2026.
- Featured in VALUEEDGE ADVISORS, March 24, 2026.
- Featured in BUSINESS LAW PROF BLOG, March 23, 2026.
- Featured in Sameea Kamal, *Exxon's Texas Move May Limit Shareholder Rights: Texas Brief*, BLOOMBERG LAW (March 20, 2026).

Texas Corporate Reforms Silence Retail Shareholders—By Design, BLOOMBERG LAW (Jan. 6, 2026) (Commentary).
- Cited in NYC Comptroller's Letter to ExxonMobil Shareholders, May 4, 2026.

Delaware's SB21 Continues 150 Years of Corporate Power and Regulatory Capture, PROMARKET (June 23, 2025) (Commentary).
- Featured in FORDHAM BITE-SIZED BUSINESS LAW PODCAST.

New Hollywood Blockbuster Depicts a Triumph for Savvy Retail Investors but It's Just the Beginning, FOX BUSINESS (Sept. 20, 2023) (with Sergio Alberto Gramitto Ricci) (OpEd).

Blog Posts

Delaware and Texas Are Moving Toward India on Shareholder Rights, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (October 27, 2026) (with Aditya Baheti).

ExxonMobil's Planned Domicile Change Is a Test of the Leopard Paradigm, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (May 21, 2026).

A Paradigm for Understanding Shareholder Disenfranchisement, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (February 23, 2026) (with Sergio Alberto Gramitto Ricci).

Before There Were Finfluencers There Were Iconic Shareholders, THE HITCHHIKER'S GUIDE TO CORPORATE GOVERNANCE (February 1, 2026) (with Sergio Alberto Gramitto Ricci) (*Blogposium on Finfluencers*).

When Democracy Fails: From Ancient Athens to Modern Shareholder Meetings, EUROPEAN CORPORATE GOVERNANCE INSTITUTE (ECGI) BLOG (July 23, 2025).

Shareholder Democracy Is a Corporate Governance Myth That Won't Die, THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (July 10, 2025) (with Sergio Alberto Gramitto Ricci and Daniel J.H. Greenwood).

Compliance and Reputation in the Era of Interconnected Stakeholders, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (June 30, 2025) (with Sergio Alberto Gramitto Ricci).

Shareholder Democracy Is a Corporate Governance Myth That Won't Die, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (March 5, 2025) (with Sergio Alberto Gramitto Ricci and Daniel J.H. Greenwood).

Millennials and GenZ'ers Have Only One Lifetime to Change the World with Their Investments, THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (September 23, 2022) (with Sergio Alberto Gramitto Ricci).

How Retail Investing Improves Corporate Governance and Benefits Society, THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (September 15, 2022) (with Sergio Alberto Gramitto Ricci).

How Retail Investing Improves Corporate Governance and Benefits Society, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 31, 2022) (with Sergio Alberto Gramitto Ricci).

Is the Debate on Shareholders and Stakeholders Obsolete?, THE FINREG BLOG, GLOBAL FINANCIAL MARKETS CENTER AT DUKE UNIVERSITY SCHOOL OF LAW (March 28, 2022) (with Anat Alon-Beck & Sergio Alberto Gramitto Ricci).

- Expanded into a FORBES article.

WallStreetBets, GameStop, and the Rise of 'Wireless' ESG Retail Investors, MACHINE LAWYERING BLOG OF THE CENTRE FOR FINANCIAL REGULATION AND ECONOMIC DEVELOPMENT AT THE CHINESE UNIVERSITY OF HONG KONG (June 14, 2021) (with Sergio Alberto Gramitto Ricci).

WallStreetBets, GameStop, and the Rise of ESG Retail Investors, THE UNIVERSITY OF OXFORD, OXFORD BUSINESS LAW BLOG (OBLB) (May 19, 2021) (with Sergio Alberto Gramitto Ricci).

WallStreetBets, GameStop, and the Rise of ESG Retail Investors, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (May 4, 2021) (with Sergio Alberto Gramitto Ricci).

The Golden Ratio of Corporate Deal-Making, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (August 3, 2016).

Fleecing the Family Jewels, THE CLS BLUE SKY BLOG (COLUMBIA LAW SCHOOL'S BLOG ON CORPORATIONS AND CAPITAL MARKETS) (September 10, 2015).

Standstills in Change of Control Transactions, THE HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (June 20, 2012).

RECORDED MATERIALS

OFFICE HOURS ON MERGERS & ACQUISITIONS (WEST ACADEMIC 2026) (an oral student study aid available digitally as part of the West Academic Study Aids Collection)

EDUCATION

Villanova University School of Law
Juris Doctor, *summa cum laude*, 2002

Activities & Honors: The Order of the Coif
Villanova Law Review, *Volume 47*, Managing Editor of Production
Villanova Law Review, *Volume 46*, Staff Writer

Florida State University
Bachelor of Science, *summa cum laude*, 1999
Double Major: Multinational Business Operations & Marketing
Minor: Spanish

INTERVIEWS AND MEDIA APPEARANCES

Jennifer Kay, *Business Courts Multiply, But Judicial Talent Pools Look Alike*, BLOOMBERG LAW (October 21, 2026) (quoted).

Morgan Simon, *Only 60 Days Left To Save Shareholder Democracy*, FORBES (September 22, 2026) (quoted).

Stephanie Findlay, *The Texas Experiment*, FINANCIAL TIMES (September 4, 2026) (quoted).
- Translated and republished in Chinese in WEALTH MAGAZINE (Taiwan) (September 9, 2026).

Jamie Smyth & Kenza Bryan, *The King of Big Oil*, FINANCIAL TIMES (July 3, 2026) (quoted).
- Translated and republished in Solvenian in Kenza Bryan, Kralj naftne industrije, DELO (July 11, 2026).
- Translated and republished in Spanish in Jamie Smyth & Kenza Bryan, ExxonMobil y su auge en la industria del petróleo, MILENIO (July 9, 2026).

Due Diligence Newsletter, *Exxon's Trump era revival*, FINANCIAL TIMES (July 3, 2026) (quoted).

Fordham Corporate Law Center, *Set It and Forget It Governance: Inside ExxonMobil's New Retail Investor Voting Program*, BITE-SIZED BUSINESS LAW PODCAST (June 9, 2026) (interview).

Marina Fabre Soundron, AG d'ExxonMobil: la major déménage son siège au Texas et limite l'influence des actionnaires activists, NOVETHIC (May 29, 2026) (quoted).

Alexandra White & Sujeet Indap, *ExxonMobil Wins Decisive Backing to Move Corporate Domicile to Texas*, FINANCIAL TIMES (May 26, 2026, updated May 27, 2026) (quoted).

Jean Haggerty, *Exxon Reincorporation Vote Sparks Shareholder Rights Debate*, THE DEAL (May 21, 2026) (quoted).

Jean Haggerty, *Strong Interest in Exxon-Like Retail Voting Programs*, THE DEAL (May 21, 2026) (quoted).

Sujeet Indap & Alexandra White, *'Y'all Street': Texas makes its pitch to corporate America*, FINANCIAL TIMES (March 17, 2026) (quoted).

Jennifer Kay, *Delaware Split on Sexual Harassment Liability Opens New Debate*, BLOOMBERG LAW (January 29, 2026) (quoted).

Kyle Paoletta, *A Fight For Free Speech Goes Corporate*, COLUMBIA JOURNALISM REVIEW (July 25, 2025) (quoted).

Fordham Corporate Law Center, *A Corporate Government*, BITE-SIZED BUSINESS LAW PODCAST (July 8, 2025) (interview).

Kyle Paoletta, *Paramount Will Pay President Trump $16 Million to Settle* 60 Minutes *Lawsuit*, COLUMBIA JOURNALISM REVIEW (July 2, 2025) (quoted).

Sergio Alberto Gramitto Ricci and Christina Sautter on Shareholder Democracy, BUSINESS SCHOLARSHIP PODCAST, Episode 249 (June 19, 2025) (interview).

Claudia Carson-Habeeb, *Don't Sell Your Stocks Says SMU Investment Law Expert*, PEOPLENEWSPAPERS (May 28, 2025) (interview and feature).

Phil Prazan & Gromer Jeffers, *Lone Star Politics: April 13, 2025*, 5 NBC DFW LONE STAR POLITICS (April 13, 2025) (interview).

Stefan Padfield, *Proxy Voting and the Myth About Shareholder Democracy*, REALCLEAR MARKETS (March 25, 2025) (article featured).

Henry Epp, *Are mergers and acquisitions about to heat up again?*, NPR MARKETPLACE (Dec. 17, 2024) (interview).

Fordham Corporate Law Center, *Wireless Investors*, BITE-SIZED BUSINESS LAW PODCAST (Dec. 17, 2024) (interview).

Justin Ho, *Why mergers and acquisitions are an economic indicator*, NPR MARKETPLACE (Nov. 7, 2024) (interview).

Jeff John Roberts, *How memestock mania forced CEO Vlad Tenev to reinvent Robinhood—and himself*, FORTUNE (July 30, 2024) (mentioned and article featured).

Matt Levine, *Elon Says He Got His Money*, BLOOMBERG (June 13, 2024, 12:52 PM CDT) (quoted).

Lora Kelley, *Elon Musk's Big Tesla Campaign*, THE ATLANTIC DAILY (June 12, 2024 6:39 PM EST) (quoted).

Dana Hull, Jeff Feeley, & Bloomberg, *Tesla investors may vote to give Elon Musk his $56 billion payday, but that doesn't mean he'll get the money*, FORTUNE (June 10, 2024) (quoted).

Dana Hull & Jeff Feeley, *Tesla's Investor Vote on Elon Musk's Pay Package Is About More Than His Money*, BLOOMBERG (June 10, 2024) (quoted).

Brandon Kochkodin, *Did Keith Gill, AKA Roaring Kitty and His GameStop Antics Violate Securities Laws?*, FORBES (June 4, 2024) (quoted).

Hope King, *Tesla ramps up pay proposal push with retail*, AXIOS (May 31, 2024) (quoted).

Justin Ho, *Playing an economic guessing game*, NPR MARKETPLACE (April 15, 2024) (interview).

Alexis Keenan, *Elon Musk wants to take away Delaware's incorporation crown. It won't be easy.*, YAHOO!FINANCE (Feb. 14, 2024, 3:00 AM CST) (quoted).

Sujeet Indap, *Texas is throwing down a legal challenge to Delaware*, FINANCIAL TIMES (Jan. 28, 2024) (quoted).

How retail investor 'superpowers' can be sequel to "Dumb Money" film, SMU PERSPECTIVES PODCAST (Jan. 24, 2024) (interview).

Noah Kirsch, *Investors Got Creamed on Bed Bath & Beyond, But This Billionaire Cashed In*, THE DAILY BEAST (April 24, 2023, 5:50 PM CDT) (quoted).

Meghan Tribe, *Sidley Life Sciences Venture Pays With $700 Million Practice*, BLOOMBERG LAW (Oct. 24, 2022, 4:30 AM) (quoted).

Bailey Schulz, *Elon Musk isn't the first to walk away from a deal. Here's how similar cases have ended.*, USA TODAY (July 18, 2022, 11:30 a.m. ET) (quoted).

Meme Stocks and Retail Investors, GETTING COMMON VOICEAMERICA RADIO SHOW (March 30, 2022) (radio interview).

Anat Alon-Beck, *We Are All 'stakeholderists' Now: Looks Like The Debate Over The Purpose Of Corporations Might Be Settled*, FORBES (Mar. 28, 2022, 2:54 pm EDT) (mentioned and scholarship featured).

Amanda Iacone & David Hood, *PwC Hunting for Tech M&A, Sees ESG as $1 Billion Opportunity*, BLOOMBERG TAX (Nov. 19, 2021, 3:45 AM) (quoted).

Anat Alon-Beck, *Trump's Social Media Platform To Merge With SPAC: An Insider's Look At SPACs*, FORBES (Oct. 23, 2021, 01:26 pm EDT) (mentioned and article featured).

Caitlin McCabe, *The Meme Lords Who Are Taking Over the C-Suite*, WALL ST. J. (August 26, 2021) (quoted).

Christina Sautter & Sergio Gramitto Ricci on Retail Investors, BUSINESS SCHOLARSHIP PODCAST, Episode 123 (Aug. 19, 2021) (interview).

YouTube Interview, *Gamers Revolution*, with the Culture, Society, and Security Team of the International Team for the Study of Security (ITSS) – Verona (June 27, 2021) (interview).

Cameron Micallef, *How 'gamer investors' are pushing a new wave of social responsibility*, NESTEGG (June 24, 2021) (quoted).

Rhys Prka, *New generations of retail investors to shift corporate focus towards sustainability*, THE MARKET HERALD (June 23, 2021 13:52 AEST) (quoted).

INDUSTRY ENGAGEMENT

New Threats, New Playbook: Synthetic Media & AI-Enabled Attacks, National Investor Relations Institute (NIRI) Southwest Conference (Dallas, Texas, August 13, 2026) (panelist).

The Retail Investor Priorities in 2026, Berkeley Center for Law and Business & Center for Retail Investors & Corporate Inclusion (webinar, October 16, 2025) (panelist).

A Primer to AI and Its Regulation in Asset Management, Investment Company Institute (ICI) 2024 Securities Law Developments Conference (virtual, October 8, 2024) (panelist).

The Power of Capital: The Issues Driving Engagement, Shifting Trillions Forum: Cutting-Edge Tools for Foundations and Institutional Investors (New York, New York, September 25, 2024) (panelist).

Retail Investors & Societal Engagement, UMKC School of Law (Kansas City, Missouri, May 16, 2024) (presentation on retail investors and corporate governance education).

Predictive Data Analytics and the Law, Berkeley Boosts by Berkeley Law Executive Education (webinar, April 30, 2024) (panelist).

iconik, Year in Review 2023 (featured and quoted).

IR triumphs of 2023: What it takes to win an IR Magazine Award – The Ticker 145, IR MAGAZINE THE TICKER PODCAST (December 20, 2023) (podcast).

Exploring Collective Action in the Markets with Michael Levin and Christina Sautter, TROOP INSIGHTS (October 27, 2023) (featured).

iconik, October 2023 Update (featured).

Exploring Activist Investing and Collective Action in the Markets, UNUSUAL WHALES PODCAST (September 12, 2023) (podcast).

Public.com, The Retail Investor Report (August 2023) (quoted).

Next Gen: The Apathy Myth, Iconik Forum 2023, Center for Law and Business, Berkeley Law School, University of California (Berkeley, CA, July 10, 2023) (panelist).

Introduction to 'Wireless Investors' 101 with Professors Christina Sautter and Sergio Alberto Gramitto Ricci, STAKEHOLDER LABS (April 20, 2023) (podcast).

"Let's Talk!": Maximizing the Shareholder Relationship and the Benefits of Shareholder Engagement, 2nd Annual Summit for Corporate Governance (co-hosted by Greenberg Traurig, LLP and UNLV William S. Boyd School of Law) (Las Vegas, NV, October 1, 2021) (panelist).

PUBLIC ENGAGEMENT: COMMENT LETTERS

Comment letter, with Sergio Alberto Gramitto Ricci, on SEC proposed rule on "Semiannual Reporting," File No. S7-2026-15, filed July 6, 2026. (SSRN link) (SEC link)

Comment letter, with Sergio Alberto Gramitto Ricci, on SEC proposed rule "Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisors," File No. S7-12-23, filed October 9, 2023. (SSRN link) (SEC link)

- Featured in Stakeholder Labs, *Fintech Giants Prepare for New Regulatory Paradigm*, THE ROUNDTABLE ROUNDUP (Oct. 11, 2023).

PRESENTATIONS, PANELS, & WORKSHOPS

Paper Presentations, Panels, & Workshops (organized by Article/Contribution)

Cross-Listings and Foreign Issuers

- *Financial and Sustainability Disclosure Regulation*, Fordham Law School (New York, New York, *forthcoming* November 6-7, 2026) (paper presentation).

The Incorporation Ritual

- *Nature of the Corporation Conference*, University of Edinburgh, Edinburgh Law School (Edinburgh, Scotland, June 23, 2026) (paper presentation).

Corporate Disenfranchisement & The Leopard Paradigm of Corporate Governance

- *Who Governs? Corporate Power and the Limits of Accountability*, Lewis & Clark Law School Business Law Fall Forum (Portland, Oregon, September 25, 2026) (paper presentation).
- *Private Law and Multicultural Identities Conference*, AALS Section on Race and Private Law and the Center for Retail Investors & Corporate Inclusion (Arona, Italy, July 14, 2026) (paper presentation).
- National Business Law Scholars Conference (Las Vegas, Nevada, May 26, 2026) (paper presentation).
- Boston College Law School Faculty Workshop (Boston, Massachusetts, March 23, 2026) (paper presentation).
- *2026 International Workshop on Corporate Law and Governance*, University of Milan (Milan, Italy, February 20, 2026) (paper presentation).
- BYU Winter Deals Conference (Park City, Utah, February 6, 2026) (paper presentation).
- *Investor Preferences and Corporate Governance*, Alma Mater Studiorum Universita di Bologna & Center for Retail Investors & Corporate Inclusion (Bologna, Italy, October 3, 2025) (paper presentation).
- *Business Firms at a Crossroads: Rethinking Governance, Power, and Responsibility*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Prague, Czech Republic, September 11, 2025) (paper presentation).
- *3rd Scientific Conference Corporate Governance and Technology in the ESG Era*, EMUNI University (Piran, Slovenia, June 23, 2025) (paper presentation).
- *Different types of shareholders and corporate decision-making*, Bocconi University and European Corporate Governance Institute (ECGI) (Milan, Italy, June 17, 2025) (paper presentation).
- Global Corporate Law Seminar Series Spring 2025 (virtual, March 7, 2025) (paper presentation).
- Dublin City University – School of Law and Government (Dublin, Ireland, February 5, 2025) (paper presentation).

Democratization of the Private Markets?

- *Open and Closed Companies: A False Dichotomy? Conference*, University of Modena e Reggio Emilia, Department of Law (Modena, Italy, June 19, 2025) (paper presentation).
- Business Law Hub, The London School of Economics and Political Science (LSE) Law School (London, England, UK, December 4, 2024) (paper presentation).

The Shareholder Democracy Lie

- University of Milan (Milan, Italy, May 5, 2026) (paper presentation).
- University of Warwick Law School (Coventry, England, UK, May 22, 2025) (keynote presentation).
- University of Iowa College of Law (Iowa City, Iowa, April 2, 2025) (paper presentation).
- University of Glasgow School of Law (Glasgow, Scotland, UK, March 11, 2025) (paper presentation).
- Hofstra University Maurice A. Deane School of Law (Hempstead, New York, November 25, 2024) (paper presentation).

- The University of Edinburgh, Edinburgh Law School (Edinburgh, Scotland, UK, November 15, 2024) (paper presentation).
- *Corporations and Shareholder Democracy*, The London School of Economics and Political Science (LSE) Law School (London, England, UK, October 31, 2024) (paper presentation).
- *Conference on Institutional Resilience & Recovery*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (University of Pittsburgh, Pittsburgh, Pennsylvania, September 20, 2024) (paper presentation).
- *Corporate Governance Discoveries and Innovations Workshop*, University of Coimbra (Coimbra, Portugal, July 8, 2024).
- *Corporate Governance and Reputation*, Bocconi University (Milan, Italy, July 5, 2024) (paper presentation).
- *Navigating Trends in Corporate Governance in a Globalized Economy: Intersections of Governance, Finance, and Society. A Transatlantic Dialogue*, The Max Weber Programme with the Genoa Centre of Law and Finance (GCLF) and the Florence School of Banking and Finance (EUI, FBF) (Fiesole, Italy, July 1, 2024) (paper presentation).
- 2024 BYU Winter Deals Conference (Park City, Utah, March 15, 2024) (paper presentation).
- *Tulane Corporate & Securities Law Roundtable*, Tulane University School of Law (New Orleans, LA, March 9, 2024) (paper presentation).
- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).
- *Corporate Law and Economics*, Italian Society of Law and Economics 2023 Annual Meeting (Brescia, Italy, December 14, 2023) (paper presentation).
- *New Corporate Governance within a Traditional Theoretical Framework*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 20, 2023) (paper presentation).

The Educated Retail Investor: A Response to "Regulating Democratized Investing"

- *Financial Regulation in Cyberspace*, AALS Section on Internet and Computer Law, American Association of Law Schools 2025 Annual Meeting (San Francisco, California, January 8, 2025) (paper presentation).

Corporate Governance Through Social Media

- *Law and Access to Wealth Creation Workshop*, Bocconi University (Milan, Italy, December 18, 2023) (paper presentation).

The CEO Cult

- *The Corporate Governance Landscape*, Bocconi University (Milan, Italy, July 6, 2023) (paper presentation).
- Bayou Scholars Workshop (Tulane University School of Law, New Orleans, Louisiana, March 18, 2023) (presentation).
- *Corporations & Engendering Public Trust*, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 2022) (organizer; discussion group participant).

Wireless Investors & Apathy Obsolescence

- 2023 BYU Winter Deals Conference (Park City, Utah, March 10, 2023) (paper presentation).
- 2023 Institute for Law and Economic Policy Symposium (San Diego, California, January 27, 2023) (invited symposium presentation).
- *Nature, Purpose and Mechanics of Business Corporations II*, World Interdisciplinary Network for Institutional Research (WINIR) Conference (virtual, September 9, 2022) (paper presentation).

The Corporate Forum

- SMU Dedman School of Law (December 13, 2022) (paper presentation).
- *Law and Business of the Contemporary Corporation*, University of Milan (Milan, Italy, July 11, 2022) (paper presentation).
- National Business Law Scholars Conference (Norman, Oklahoma, June 16, 2022) (paper presentation).
- University of Connecticut School of Law (Hartford, Connecticut, April 28, 2022) (paper presentation).
- 2022 BYU Law Winter Deals Conference (Park City, Utah, March 10, 2022) (paper presentation).
- *The Metamorphosing Landscape of Investor Capitalism*, Center for Advanced Studies on the Foundations of Law and Finance Workshop, Goethe Universität Frankfurt (virtual, December 14, 2021) (paper presentation).
- CorpGov.net (Corporate Governance) Corporate Accountability Group (virtual, November 15, 2021) (presentation).
- *New Frontiers of Shareholder Engagement*, sponsored by European Corporate Governance Institute (ECGI), Bocconi University, and NYU (webinar, October 14, 2021) (paper presentation).

Corporate Governance Gaming: The Collective Power of Retail Investors

- SMU Dedman School of Law (virtual, January 19, 2022) (paper presentation).
- *A 21st Century Berle & Means Corporation? A Revolution in Retail Investing*, Monash University Law School Centre for Commercial Law and Regulatory Studies (virtual, July 8, 2021) (panel participant).
- *Meme Stocks*, National Business Law Scholars Conference (virtual, June 18, 2021) (paper presentation).
- *Where Does Corporate Law Go Next?*, The Law and Society Association 2021 Annual Meeting (virtual, May 27, 2021) (discussion group participant).
- University of South Carolina School of Law Workshop Series (virtual, March 19, 2021) (paper presentation).

Rewritten Revlon, Inc. v. MacAndrews & Forbes Holdings, Inc.

- FEMINIST JUDGMENTS: REWRITTEN CORPORATE LAW Workshop (virtual, November 13, 2020) (presentation of rewritten opinion).

One Corporation, Two Firms

- 2020 BYU Law Winter Deals Conference (Park City, Utah, March 6, 2020) (paper presentation).
- Corporate & Securities Litigation Workshop (Boston, MA, September 28, 2019) (paper presentation).
- Emerging Issues in Mergers, Acquisitions, and Corporate Representation, The Law and Society Association 2019 Annual Meeting (Washington, D.C., June 6, 2019) (paper presentation).
- 2019 BYU Law Winter Deals Conference (Park City, Utah, March 8, 2019) (paper presentation).
- *Corporate Governance Discussion Group*, Annual Meeting of the Southeastern Association of Law Schools (Fort Lauderdale, Florida, August 10, 2018) (discussion group participant).

The Golden Ratio of Corporate Deal-Making

- Third Annual Workshop for Corporate & Securities Litigation (Boston, Massachusetts, October 3, 2015) (paper presentation).
- LSU Faculty Workshop Series (Baton Rouge, Louisiana, March 11, 2015) (paper presentation).
- *Examining Market Actors*, The Law and Society Association 2014 Annual Meeting (Minneapolis, Minnesota, May 31, 2014) (paper presentation).

Fleecing the Family Jewels

- National Business Law Scholars Conference (Columbus, Ohio, June 12, 2013) (paper presentation).

Lock-Up Creep

- *Journal of Corporation Law* Symposium - Ten Years After *Omnicare*: The Evolving Market for Deal Protection Devices (University of Iowa College of Law, Iowa City, Iowa, March 1, 2013) (invited symposium presentation).
- 2013 Northeast Regional Scholarship and Teaching Development Workshop (Albany, New York, February 3, 2013) (paper presentation).

Auction Theory & Standstill Agreements: Dealing with Friends and Foes in a Sale of Corporate Control

- *Recent Issues in M&A-related NDAs-Concerns for Everyone's Deals*, American Bar Association Business Law Section 2013 Spring Meeting (Washington, D.C., April 6, 2013) (panelist).
- Center for Law, Economics, and Finance (C-LEAF) Third Annual Junior Faculty Business and Financial Law Workshop (George Washington University Law School, Washington, D.C., April 5, 2013) (invited paper presentation based on blind selection process).
- American Association of Law Schools Annual Meeting (New Orleans, Louisiana, January 2013) (poster presentation based on selection process).
- Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, Florida, August 2, 2012) (panel organizer & paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, Ohio, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, Ohio, June 15, 2011) (paper presentation of early draft).
- Florida State University College of Law Workshop Series (Tallahassee, Florida, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, Florida, August 2, 2010) (panel organizer & paper presentation of early draft).

Promises Made to be Broken? Standstill Agreements in Change of Control Transactions

- Louisiana Junior Faculty Forum (New Orleans, Louisiana, November 4, 2011) (paper presentation).
- Central States Law Schools Association 2011 Annual Conference (Toledo, Ohio, October 29, 2011) (paper presentation of early draft).
- American Association of Law Schools Workshop on Women Rethinking Equality (Washington, D.C., June 22, 2011) (paper presentation of early draft).
- 2011 Midwest Corporate Law Scholars Conference (Columbus, Ohio, June 15, 2011) (paper presentation of early draft).
- Florida State University College of Law Workshop Series (Tallahassee, Florida, September 30, 2010) (paper presentation of early draft).
- The Changing Face of Mergers and Acquisitions Panel, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, Florida, August 2, 2010) (panel organizer & paper presentation of early draft).

Rethinking Contractual Limits on Fiduciary Duties

- New Scholars Colloquia for Business Law, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, Florida, August 4, 2009) (paper presentation).

Shopping During Extended Store Hours: From No Shops to Go-Shops – the Development, Effectiveness, and Implications of Go-Shop Provisions in Change of Control Transactions

- Villanova University School of Law Faculty Workshop (Villanova, Pennsylvania, September 28, 2007) (paper presentation).

Business Law Speaking Engagements

Texas Junior Faculty Workshop, Texas A&M Law (Fort Worth, Texas, October 23, 2026) (commentator for Professor Abigail Bright Willie's paper, *Saying the Quiet Bankruptcy Thing Out Loud, Post*-Purdue: *The Bankruptcy Court is Note Much of a "Court of Equity"*).

Private Law and Multicultural Identities Conference, AALS Section on Race and Private Law and the Center for Retail Investors & Corporate Inclusion (Arona, Italy, July 14, 2026) (commentator on Professor Will Moon's paper, *Corporate Constitutional Governance*).

Welcome to Y'all Street: Texas Corporations and Texas Shareholders, SMU Dedman School of Law (Dallas, Texas, February 27, 2026) (co-organizer, panelist, & moderator).

Race Disclosures in the Trump Era, American Association of Law Schools 2026 Annual Meeting (New Orleans, Louisiana, January 8, 2026) (commentator for Professor Atinuke Adediran's book, DISCLOSURELAND).

A New Day at the SEC, American Association of Law Schools 2026 Annual Meeting (New Orleans, Louisiana, January 7, 2026) (panelist).

Texas Junior Faculty Workshop, Baylor Law (Waco, Texas, December 6, 2025) (commentator for Professor Whitney Holmes's paper, *Violence of Banks*).

National Security and International Business Roundtable, co-hosted by the Oxford University Centre for Corporate Reputation and Washington and Lee University, School of Law (Chatham House, London, England, UK, May 19, 2025) (roundtable participant).

AI and Institutions, WINIR Online Workshop (virtual, May 17, 2025) (panelist).

The Investor Generation Forum: Expanding Access to the Stock Market Through Financial Education and Emerging Technology, Fordham Corporate Law Center & Center for Retail Investors & Corporate Inclusion (New York, New York, October 17, 2024) (panelist).

- Featured in Stakeholder Labs, *How Investor Relations Teams Can Prepare for Activist Pressure*, THE ROUNDTABLE ROUNDUP (October 18, 2024).

Regulatory Birthday Party: The SEC at 90 and the CFTC at 50, Annual Meeting of the Southeastern Association of Law Schools (Fort Lauderdale, Florida, July 25, 2024) (roundtable participant).

Teaching Numeracy, Annual Meeting of the Southeastern Association of Law Schools (Fort Lauderdale, Florida, July 24, 2024) (roundtable participant).

Are Corporations and Financial Institutions Too Woke?, Annual Meeting of the Southeastern Association of Law Schools (Fort Lauderdale, Florida, July 21, 2024) (roundtable participant).

Corporate Governance Discoveries and Innovations Workshop, University of Coimbra (Coimbra, Portugal on July 8, 2024) (paper commentator for Professor Joan MacLeod Heminway's paper *ESG and Insider Trading: Legal and Practical Considerations*).

Corporate Law at a Crossroad, University of Wisconsin-Madison (Madison, Wisconsin, October 20, 2023) (paper commentator for Professor Geeyoung Min's paper, *Shareholder Proposals: Governance by Referendum*).

Institutionalism & the Philosophy of Law III, World Interdisciplinary Network for Institutional Research (WINIR) Conference (Catania, Sicily, September 21, 2023) (chair).

Increasing Diversity in Corporate Governance, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 2, 2023) (organizer and discussion group participant).

Corporate Governance, The Law and Society Association 2023 Annual Meeting (San Juan, Puerto Rico, June 1, 2023) (chair).

Rethinking Securities Law, SMU Dedman School of Law (virtual, September 28, 2022) (panelist commenting on Marc Steinberg's RETHINKING SECURITIES LAW book).

Present and Future of Corporations in Society, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

Investors and Contemporary Corporations, The Law and Society Association 2022 Annual Meeting (Lisbon, Portugal, July 16, 2022) (chair).

The Corporate Form and Society, Scuola Superiore Sant'Anna (Pisa, Italy, July 8, 2022) (discussant).

Mergers & Acquisitions, National Business Law Scholars Conference (Norman, Oklahoma, July 17, 2022) (commentator).

Author-Meets-Readers, CHRISTOPHER M. BRUNER, THE CORPORATION AS TECHNOLOGY: RE-CALIBRATING CORPORATE GOVERNANCE FOR A SUSTAINABLE FUTURE (Oxford University Press 2022), National Business Law Scholars Conference (Norman, OK, July 16, 2022) (commentator).

"Contract Creep": The Legal Treatment of Business Entity Organic Documents as Contracts, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, Florida, July 30, 2021) (discussion group participant).

AALS Section on Business Associations: New Voices in Business Law (virtual, January 5, 2021) (paper commentator for Professor Paige Wilson's paper, *Midwest Venture Capital*).

Emerging Issues in Corporate Governance and Compliance, The Law and Society Association 2019 Annual Meeting (Washington, D.C., May 31, 2019) (discussant).

Mergers & Acquisitions as a Complex Contract Roundtable, The Law and Society Association 2017 Annual Meeting (Mexico City, Mexico, June 22, 2017) (organizer; roundtable participant).

Mergers & Acquisitions, National Business Law Scholars Conference (Salt Lake City, Utah, June 9, 2017) (moderator).

What is the Role of Trust in the Marketplace?, Annual Meeting of the Southeastern Association of Law Schools (Amelia Island, Florida, August 7, 2014) (discussion group participant).

Corporate Governance and the Locust of Power, The Law and Society Association 2014 Annual Meeting (Minneapolis, Minnesota, May 30, 2014) (discussant/paper commentator).

Money & Markets, The Law and Society Association 2013 Annual Meeting (Boston, Massachusetts, May 31, 2013) (discussant/paper commentator).

Emerging Legal Scholarship: The Teaching Fellows Inaugural Conference (New Orleans, Louisiana, March 25, 2011) (panel moderator).

Diversity, Equity, & Inclusion-Related Panels

Civil Discourse in the University Community: Talking About Race and Ethnicity, LSU's Office of Diversity Chat and Chew Series (Baton Rouge, Louisiana, November 29, 2016) (moderator and discussant).

Civil Discourse in the Law Center Community: Talking About Gender, LSU Law Center (Baton Rouge, Louisiana, October 17, 2016) (panel moderator).

Academic Life-Related Panels

Building and Managing Your Engagement with Public Scholarship, SMU (Dallas, Texas, October 9, 2026) (panelist).

The Next Article, Annual Meeting of the Southeastern Association of Law Schools (Boca Raton, Florida, July 31, 2019) (discussion group discussant).

Transactional Law and Finance: Challenges and Opportunities for Teaching and Research, American Association of Law Schools 2019 Annual Meeting (New Orleans, Louisiana, January 4, 2019) (moderator).

Self-Promotion in the Mode of Abraham Lincoln-Not P.T. Barnum, Annual Meeting of the Southeastern Association of Law Schools (Palm Beach, Florida, August 8, 2013) (panelist).

Navigating Academic Politics, Junior Faculty Challenges Panel, Annual Meeting of the Southeastern Association of Law Schools (Hilton Head, South Carolina, July 26, 2011) (panelist).

Guest Teaching Appearances

Wireless Investors & Apathy Obsolescence, Business Associations, Notre Dame Law at University College London (London, England, UK, October 29, 2024).

Corporate Governance Through Social Media, Corporate Governance & Technology Seminar, Brooklyn Law School (virtual, September 10, 2024).

Harnessing the Collective Power of Retail Investors, Corporate Governance Seminar, University of Maryland (virtual, February 13, 2024).

Harnessing the Collective Power of Retail Investors, Corporate Governance Class, University of Arkansas School of Law (virtual, September 14, 2023).

GameStop and the Rise of Retail Investors in the United States, Legal English/Introduction to U.S. Corporate Law – Commercial Law Specialization 2021, Universidad de los Andes, Bogotá, Colombia (virtual, June 29, 2021).

GameStop and Corporate Governance Gaming, Business Organizations Class, UNLV William S. Boyd School of Law (virtual, April 26, 2021).

Other Speaking Appearances

The Clark Hill Endowed Speaker in Business Law, SMU Dedman School of Law - The Rowling Center for Business Law & Leadership (Dallas, Texas, February 22, 2024) (interviewer).

Continuing Legal Education

A Primer on Oil and Gas Interests as Securities under Federal and State Law, Louisiana Mineral Law Institute (recorded webinar, September 11, 2020).

REFEREE ACTIVITY

Yale Law Journal
Harvard Law Review
Jurimetrics, The Journal of Law, Science, and Technology
Carolina Academic Press
Cambridge Forum on AI: Law and Governance

LAW SCHOOL AND UNIVERSITY SERVICE

Service on Standing Faculty Committees at SMU Dedman School of Law

Chair, Endowed Lectures & Faculty Forum Committee (Summer 2025 – present)
Member, Tenure-Track Faculty Appointments Committee (Summer 2025 – Spring 2026)
Member, Endowed Lectures & Faculty Forum Committee (Fall 2023 – Spring 2024)

Service on Ad Hoc Faculty Committees at SMU Dedman School of Law

Member, Steering Committee for New Law School Buildings (Fall 2026 – present)
Member, Executive Director of Marketing and Strategic Communications Interview Committee (Spring 2026; Fall 2026)
Member, Co-Location Committee for New Law School (Spring 2026)
Member, ABA Assessment Committee (Fall 2023 – Spring 2024)
Member, Promotion & Tenure Subcommittees (Fall 2023 – Spring 2024)

Other Institutional Service at SMU Dedman School of Law

Faculty Advisory Board, Robert B. Rowling Center for Business Law & Leadership (Fall 2023 – present)
Faculty Mentor, Raggio Inn of Court (Fall 2025 – present) (mentoring of 1Ls)

Service at SMU

Member, University Research Council (Summer 2025 – present)
Member, SMU Corporate Governance Initiative (2025 – present)

Service on Standing Faculty Committees at Louisiana State University Paul M. Hebert Law Center

Chair, Faculty Scholarship Committee (Fall 2022 – Spring 2023)
Chair, Faculty Appointments Committee (Summer 2021 – Spring 2022)
Chair, Executive Committee (Summer 2021 – Spring 2022) (elected)
Chair, Faculty Appointments & Adjuncts Committee (Summer 2020 – Spring 2021)
Co-Chair, Faculty Appointments Committee (Fall 2019 – Spring 2020)
Chair, Student Educational Policies Committee (Fall 2018 – Spring 2021; Fall 2014 – Spring 2015)
Chair, Diversity & Professionalism Committee (Fall 2015 – Spring 2017)
Chair, Legal Writing Program & Fellowships Committee (Chair, Fall 2010 – Spring 2014)
Member, Faculty Appointments Committee (Fall 2022 – Spring 2023; Fall 2015 – Spring 2017; Fall 2009 – Spring 2012)
Member, Faculty Scholarship Committee (Fall 2011 – Spring 2014)
Member, Promotion & Tenure Committee (Spring 2021 – Fall 2022) (elected 2-year term)
Member, Executive Committee (Summer 2020 – Spring 2021; Summer 2015 – Spring 2017) (elected 2-year terms)
Member, Student Educational Policies Committee (Fall 2012 – Spring 2013)
Member, Diversity & Professionalism Committee (Fall 2018 – Spring 2019)

Member, Legal Writing Program and Academic Success Committee (Fall 2014 – Spring 2015)
Member, Legal Writing Program & Fellowships Committee (Fall 2009 – Spring 2010)
Member, New Forms of Scholarship Committee (Fall 2009 – Spring 2010)
Member, Pro Bono & Student Activities Committee (Fall 2008 – Spring 2009)
Member, Southern Association of Colleges and Schools (SACS) Quality Enhancement Plan Committee (Fall 2012 – Spring 2014)

Service on Special Committees & Working Groups at Louisiana State University Paul M. Hebert Law Center

Member, Masking and Social Distancing Protocols Working Group (Summer 2020)
Member, Attendance Policy Working Group (Summer 2020)
Member, Promotion & Tenure Subcommittees (Fall 2021, Fall 2016)
Member, Diversity Task Force (Fall 2014 – Summer 2015)
Member, Ad Hoc Working Group: Legal Writing Program and Fellowships (Summer 2009)

Service on ABA Self Study Long-Range Planning Subcommittees at Louisiana State University Paul M. Hebert Law Center

Member, Strategic Planning Subcommittee for Information Resources, Equipment, Facilities, and Technology (Fall 2015 – Spring 2017)
Member, Legal Research & Writing Long Range Planning Subcommittee (Fall 2008 – Spring 2010)
Member, Clinical, Experiential Learning & Skills Long Range Planning Subcommittee (Fall 2008 – Spring 2010)

Other Institutional Service at Louisiana State University Paul M. Hebert Law Center

Faculty Advisor, Louisiana Business and Law Society (Fall 2021 – Spring 2023; Fall 2011 – Spring 2017)
Faculty Advisor, Jaffe Transactional Law Invitational (Spring 2023; Spring 2022; Spring 2021)
Faculty Liaison, Women's Law Student Association (Fall 2021 – Spring 2022)
Faculty Advisor, Ruby R. Vale Interschool Corporate Moot Court Team (Spring 2012; Spring 2014)
LSU Representative to the Louisiana Junior Faculty Forum Planning Group (Fall 2009 – Spring 2014)

Service at Louisiana State University

Vice Chair, LSU Faculty Senate Faculty Adjudication Committee (2020 – 2022) (elected Vice Chair)
Member, LSU Faculty Senate Faculty Adjudication Committee (2019 – 2020) (elected)
Member, Academic Diversity Representatives Committee (Fall 2015 – Spring 2017)

PROFESSIONAL SERVICE

American Association of Law Schools (AALS)
- AALS Section on Securities Regulation
  - Executive Committee (2026 – present)

- AALS Section on Business Associations
  - Executive Committee (2022 – 2024)
- AALS Section on Transactional Law and Skills
  - Chair (2018); Chair-Elect (2017)
  - Executive Committee (2014 – 2018)
- AALS Section on Women in Legal Education
  - Executive Advisory Board (Spring 2013 – Spring 2015)
  - Mentoring Committee (Spring 2013 – Spring 2018)
  - Creating/Growing Regional Networks Subcommittee, Louisiana/Mississippi Subcommittee Chair (Spring 2013 – Spring 2015)

Southeastern Association of Law Schools (SEALS)
- Hospitality Committee (Fall 2013 – present)
- Website, Technology & Communications Committee (Chair, Fall 2019 – Summer 2023; Fall 2010 – Fall 2019)
- Prospective Law Teachers Workshop Committee (Fall 2013 – Fall 2019)

Daughters of Themis: International Network of Female Business Scholars (2016 – present)

Louisiana Law Institute, Corporations Committee (Summer 2021 – Spring 2023)
- Drafted a revised Limited Liability Company statute for Louisiana

Outside Reviewer for Promotion and Tenure Applicants and Faculty Awards
- University of Oklahoma School of Law 2023
- University of South Carolina School of Law 2023
- Washington & Lee University School of Law 2021
- University of California, Davis School of Law 2021
- Washington & Lee University School of Law 2020
- Florida State University School of Law 2016

COMMUNITY INVOLVEMENT

Chair, LSU Press and *The Southern Review* Advisory Group (2022 – present)
Chair, Louisiana Appleseed Development Committee (2020 – 2023)
Member, Louisiana Appleseed Board of Directors (2019 – 2023)
Member, New Orleans Museum of Art, Young Fellows Steering Committee (Spring 2014 – Fall 2016)

BAR ADMISSIONS

New York State Bar (admitted 2003)
United States Court of Appeals for the Fourth Circuit

EXPERT WORK

Expert depositions and trial testimony:

Zachary Jones v. The Chefs' Warehouse, Inc., The Chefs' Warehouse Mid- Atlantic, LLC, Chefs' Warehouse Parent, LLC, Chefs' Warehouse Midwest, BK Specialty Foods, Inc., Dairyland USA Corporation, John Does (I-X), and ABC Corporations (I-X), Superior Court of New Jersey Camden County Law Division (expert report filed May 30, 2025; deposition taken October 7, 2025).

Continental Holdings, Inc. v. Ball Corporation et al., Case No. 2021CV30699, Jefferson County, Colorado (expert report filed February 6, 2023; deposition taken February 13, 2023; trial testimony December 6, 2023).

Dated: October 28, 2026