

AWARD AUTHORIZATION & APPROVAL FORM (AAAF)

SMU Policy 7.1 requires institutional approval prior to project initiation. Until this form fully executed, the project account will not be established.

Date:	SMU Proposal:		SMU Award#:		Fund:	Org:
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PI INFORMATION

PI:		Dept.		College:	
CoPI(1):		Dept.		College:	
CoPI(2):		Dept.		College:	

Title:

Prime Agency:		Pass-through Entity (PTE):	
Project Type:		Prime Award #:	Agency #:
Award Type:		CFDA Number:	
Budget Authority	Expanded	Restricted	
Period of Performance: Begin:		End:	
Budget Period: Begin:		End:	
Incrementally Estimated Total:		F&A Rate (%):	

BUDGET

FUNDING	AGENCY FUNDS	SMU COST SHARING	3rd PARTY	TOTAL COSTS				
DIRECT COSTS								
INDIRECT COSTS								
TOTAL PROJECT COSTS								
THIRD PARTY COST-SHARE:	Y	N	FFATA:	Y	N	SUBCONTRACT/CONSULTANT:	Y	N

APPROVE AND SIGN

Director, Sponsored Projects: Agreement, policy/compliance issues and budget are acceptable.

Manager of Grant and Contract Accounting: Budgets are accurate, costs are allowable, and financial reporting requirements can be met.

Principal Investigator(s) approving the attached budget, and affirming: I will comply with University Policy and sponsor regulations in conducting this project. I have read and affirm the certifications concerning debarment and suspension, COI, and research misconduct. I will file reports as specified and on or before due dates. I will disclose and hereby do assign to Southern Methodist University all intellectual property and inventions which result from this project, including copyrights, to the extent assignment of copyrights is necessary for Southern Methodist University to perform its obligations under any contract, grant, or other arrangement with third parties, including sponsored research agreements, license agreements and the like, relating to this project. I understand that false certification is a violation of Policy 6.1, 'Standards of Professional Ethics for Faculty' and may be a criminal offense (U.S. Code, Title 18, Section 1001).

Department Chair and Dean(s) approving the attached budget, and affirming: The project will be monitored for compliance with the award agreement, agency regulations, and SMU Policy and for correspondence with the objectives, academic standards, capabilities, and capacity of the department, college/school and SMU. Commitments for release time, space, equipment, and cost sharing will be honored.

SIGNATURE

		Ruth V. Lozano	
Grant Management Specialist	Sign	Director, Sponsored Projects	Sign
PI	Sign	Dept. Chair	Sign
		Financial Officer	Sign
		Dean of College	Sign
CoPI(1)	Sign	Dept. Chair	Sign
		Financial Officer	Sign
		Dean of College	Sign
CoPI(2)	Sign	Dept. Chair	Sign
		Financial Officer	Sign
		Dean of College	Sign
James E. Quick			
AVP for Research	Sign	Grant and Contract Accounting	Sign
CC:	CC:	CC:	CC:

