

October 23, 2023

Dear SMU Community,

SMU cares about its employees and students and is dedicated to fostering a culture where ethical behavior and compliance with laws and policies are upheld. If you have knowledge of activity that may be cause for concern or violates the **SMU Code of Ethics**, it is important that you report it. Early reporting of unethical behavior may minimize its potential negative impact.

As you may remember, last year Internal Auditing and Consulting Services introduced **EthicsPoint**, an external reporting system that offers various methods to report concerns. Reports may be filed anonymously.

Please watch the short video posted on Internal Audit's webpage to learn more about this resource. The EthicsPoint contact information has also been provided below for reference.

Internal Auditing and Consulting Services Webpage (Video is located at the bottom of the page)

EthicsPoint Hotline (Toll-free): 844-995-4895 Online Reporting Portal: <u>smu.ethicspoint.com</u>

Mobile: <u>smumobile.ethicspoint.com</u>

If you have any questions, you may contact me at 214-768-4767.

Sincerely,

Chandra McQueen
Director of Internal Auditing
and Consulting Services