What This Report Contains

• 2020 Racial Profiling Report
• 2020 Complaints Against Police Personnel
• 2020 Use of Force Review
• 2020 Police Community Engagement Activities
• 2020 Training, Policy and Procedures Update
2020 At a Glance

• 13000 Calls for Service
• 349 Criminal Incidents investigated
• 83 Medical Assistance responses
• 447 Suspicious Circumstances, Suspicious Person calls
• 113 Threat Assessment Team cases
2020 Racial Profiling Report
Submitted to Texas Commission on Law Enforcement (TCOLE)

- Total Stops: 274
- Stops By Race:

<table>
<thead>
<tr>
<th>Race or ethnicity</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>American Indian/Alaskan Native</td>
<td>2</td>
</tr>
<tr>
<td>Asian/Pacific Islander</td>
<td>19</td>
</tr>
<tr>
<td>Black</td>
<td>43</td>
</tr>
<tr>
<td>Hispanic/Latino</td>
<td>18</td>
</tr>
<tr>
<td>Unknown</td>
<td>0</td>
</tr>
<tr>
<td>White</td>
<td>192</td>
</tr>
</tbody>
</table>

Stop: Officer initiated vehicle stop for the purpose of issuing a citation or warning for a traffic violation or other offense to include the investigation of suspected criminal activity.

Captures traffic stops only, per State law does not include pedestrian stops.
Searches After Contact

<table>
<thead>
<tr>
<th>Was a search conducted?</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes:</td>
<td>Total</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>0</td>
</tr>
<tr>
<td>American Indian/Alaskan Native</td>
<td>0</td>
<td>Asian/Pacific Islander</td>
</tr>
<tr>
<td>Black</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Hispanic/Latino</td>
<td></td>
<td>3</td>
</tr>
<tr>
<td>Unknown</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>White</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No:</td>
<td>Total</td>
<td>270</td>
</tr>
<tr>
<td></td>
<td>270</td>
<td></td>
</tr>
<tr>
<td>American Indian/Alaskan Native</td>
<td>2</td>
<td>Asian/Pacific Islander</td>
</tr>
<tr>
<td>Black</td>
<td>43</td>
<td>Hispanic/Latino</td>
</tr>
<tr>
<td>Unknown</td>
<td>0</td>
<td>White</td>
</tr>
</tbody>
</table>

Searches After Contact: A search for contraband based on probable cause, voluntary consent to search or for inventory of a vehicle that is to be towed for impound
# Results of the Stop

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>American Indian/Alaskan Native</th>
<th>Black</th>
<th>Unknown</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Arrest:</strong></td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td><strong>Citation Issued:</strong></td>
<td>31</td>
<td>1</td>
<td>6</td>
<td>23</td>
</tr>
<tr>
<td><strong>Verbal Warning:</strong></td>
<td>234</td>
<td>1</td>
<td>35</td>
<td>18</td>
</tr>
<tr>
<td><strong>Written Warning Citation Issued:</strong></td>
<td>6</td>
<td>0</td>
<td>1</td>
<td>5</td>
</tr>
</tbody>
</table>
Was Physical Force Resulting in Injury Used During the Stop?

<table>
<thead>
<tr>
<th></th>
<th>American Indian/Alaskan Native</th>
<th>Asian/Pacific Islander</th>
<th>Black</th>
<th>Hispanic/Latino</th>
<th>White</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
## Complaints

<table>
<thead>
<tr>
<th>Number of complaints of racial profiling</th>
<th>0</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td></td>
</tr>
<tr>
<td>Resulted in disciplinary action</td>
<td>0</td>
</tr>
<tr>
<td>Did not result in disciplinary action</td>
<td>0</td>
</tr>
</tbody>
</table>
Arrest – Warrants- Citations – Conduct Referrals
### 2020 Arrest/Citations/Referrals by Race, Ethnicity and Affiliation

<table>
<thead>
<tr>
<th>Race/Ethnicity and Affiliation</th>
<th>Arrest</th>
<th>At Large Filing</th>
<th>Citation Issued</th>
<th>Conduct Referral</th>
<th>Grand Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>American Indian/Alaskan Native</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Staff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Asian/Pacific Islander</td>
<td>2</td>
<td>8</td>
<td>10</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>0</td>
<td>2</td>
</tr>
<tr>
<td>Staff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td>8</td>
<td>8</td>
<td>8</td>
<td>0</td>
<td>8</td>
</tr>
<tr>
<td>Black</td>
<td>10</td>
<td>3</td>
<td>13</td>
<td>0</td>
<td>13</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>Staff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td>3</td>
<td>3</td>
<td>3</td>
<td>0</td>
<td>3</td>
</tr>
<tr>
<td>Hispanic/Latino</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>Staff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>White</td>
<td>19</td>
<td>11</td>
<td>151</td>
<td>181</td>
<td>181</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>8</td>
<td>8</td>
<td>8</td>
<td>0</td>
<td>8</td>
</tr>
<tr>
<td>Staff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td>11</td>
<td>11</td>
<td>151</td>
<td>173</td>
<td>173</td>
</tr>
<tr>
<td>Grand Total</td>
<td>32</td>
<td>0</td>
<td>11</td>
<td>162</td>
<td>205</td>
</tr>
</tbody>
</table>

Filing At Large: Person not arrested, but a case was filed with the District Attorney.
# Enforcement vs Percentage of the Population

## 2020 Arrest/Citations/Referrals by Race, Ethnicity and Affiliation

<table>
<thead>
<tr>
<th>Race/Ethnicity and Affiliation</th>
<th>Arrest</th>
<th>At Large Filing</th>
<th>Citation Issued</th>
<th>Conduct Referral</th>
<th>Grand Total</th>
<th>% Pop</th>
<th>% Enf.</th>
</tr>
</thead>
<tbody>
<tr>
<td>American Indian/Alaskan Native</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.2%</td>
<td>0</td>
</tr>
<tr>
<td>Asian/Pacific Islander</td>
<td>2</td>
<td>8</td>
<td>10</td>
<td></td>
<td></td>
<td>0.4%</td>
<td>0</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>2</td>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td>5.8%</td>
<td>0.97%</td>
</tr>
<tr>
<td>Student</td>
<td>8</td>
<td>8</td>
<td></td>
<td></td>
<td></td>
<td>8</td>
<td>6.9%</td>
</tr>
<tr>
<td>Black</td>
<td>10</td>
<td>5</td>
<td>13</td>
<td></td>
<td></td>
<td>6.9%</td>
<td>1.4%</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>10</td>
<td></td>
<td>10</td>
<td></td>
<td></td>
<td>22.4%</td>
<td>4.8%</td>
</tr>
<tr>
<td>Student</td>
<td>3</td>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>Hispanic/Latino</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td>13.1%</td>
<td>0</td>
</tr>
<tr>
<td>Student</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>38.9%</td>
<td>0.48%</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>19</td>
<td>11</td>
<td>151</td>
<td>181</td>
<td></td>
<td>58.4%</td>
<td>84.3%</td>
</tr>
<tr>
<td>Non-affiliated Person</td>
<td>8</td>
<td>8</td>
<td></td>
<td></td>
<td></td>
<td>8</td>
<td>30.8%</td>
</tr>
<tr>
<td>Student</td>
<td>11</td>
<td>11</td>
<td>151</td>
<td>173</td>
<td></td>
<td>30.8%</td>
<td>3.9%</td>
</tr>
<tr>
<td>Grand Total</td>
<td>32</td>
<td>0</td>
<td>11</td>
<td>162</td>
<td>205</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

- Blue bars are the percentage of population at SMU
- Green bars are percentage of population in Dallas
- % Enf. represents the percentage of enforcement activity
Complaints Against Police Personnel

• Calendar Year 2020
Annual Review of Complaints Against Police Personnel

- Intended to identify:
  - Number of complaints
  - If appropriate methods for reporting complaints available to the public
  - If complaints followed up on within 24 hours of receipt
  - Outcomes/Findings of Complaints (Sustained, Not Sustained, Unfounded)
  - Patterns of complaints by individual officers
  - Patterns of complaints related to race, gender or other individual characteristics
Complaint Findings and Outcomes

• Sustained
  • Upon investigation, it is determined that there was a violation of Department or University Policy

• Not Sustained
  • Upon investigation, there is insufficient evidence to show that there was a violation of Department or University Policy

• Unfounded
  • Upon investigation, it is determined that the alleged violation did not occur
Complaints Received 2020

- Number of Complaints Received: 1
- Number of Sustained Complaints: 0
- Number of Not Sustained Complaints: 1
- Number of Unfounded Complaints: 0
Breakdown By Race and Affiliation

- Complaints by Race:
  - Asian/Pacific Islander: 0
  - Black: 0
  - Hispanic: 0
  - Asian: 0
  - White: 1

- Complaints by Affiliation
  - Affiliated: 1
  - Nonaffiliated: 0
Alleged Violation

• Complaint that officer was unfriendly during a traffic stop and citation
  • Complainant’s vehicle was towed for alcohol violation
Corrective Action Recommended

- None.
  - Review of bodycam and officer reports show no violation of policy.
In 2020 only one complaint was filed against SMU PD personnel

The investigation revealed the officer had not violated policy

This complaint was investigated in a timely manner and the complainant was provided notice of the investigation as required
SMU Police Department Policy

- General Order 0011 – Use of Force
  - The Chief of Police will conduct a documented annual review of Use of Force Reports. Patterns or trends may be revealed that could indicate training needs, equipment upgrades, and/or policy modifications.
  - Key Elements:
    - Adherence to mandated reporting requirements
    - Force used is reasonable and appropriate under the law
    - Identify disproportionate use of force by officer(s)
    - Identify disproportionate use of force against persons based on race, gender or other characteristics
When a Use of Force Report is Required

• An officer takes an action that results in or is alleged to have resulted in injury or death of another person;
• Discharges a firearm, for other than training or recreational purposes
• Points or displays their firearm towards an individual
• Applies force through the use of lethal or less than lethal weapons
• The officer applies physical force to include:
  • Physical strength and skill used against the suspect, not to include standard handcuffing procedures during a lawful arrest;
  • The suspect is hit or struck in any manner by the employee;
  • The suspect has visible injury from the use of force by an employee; and/or
  • The suspect complains of an injury.
Examples

- An officer points their firearm at a suspect during an arrest
- An officer uses a pain compliance or joint manipulation technique to overcome resistance during an arrest
- An officer uses a leg sweep to take down a combative individual
- An officer uses their expandable baton to strike an individual attacking them
- An officer uses their OC spray to stop an assault
Use of Force Reports 2020

- Number of Use of Force Reports: 4
- Number of Complaints of Excessive Force: 0
Breakdown of Use of Force Against Individuals By Race and Affiliation

- Asian/Pacific Islander: 0
- Black: 1
- Hispanic: 1
- Asian: 0
- White: 2
- Affiliated: 0
- Nonaffiliated: 4
Type of Force Used

• Case 1: #200458
  • Officers involved: 2
  • Type of Force Used: Used Arm Bar Technique to Take Resisting Theft Suspect to the Ground.

• Case 2: #200470
  • Officers involved: 1
  • Type of force Used: Physical Apprehension, Grabbed Clothing of Theft Suspect to Prevent Escape
Type of Force Used

- Case 3: #200560
  - Number of Officers involved: 4
  - Type of Force Used: Pointed Firearm at Burglary Suspect

- Case 4: #200566
  - Number of Officers involved: 1
  - Type of force Used: Pointed Firearm at Armed Robbery Suspect
An audit of the Use of Force was conducted in accordance with General Order 0011.

Each use of force incident was examined and no discrepancies were found.

No Excessive Use of Force complaints were received or found to be present during this audit.
Summary

- Four Use of Force Reports were completed in 2020.
- All four cases involved non-affiliated persons.
- All four cases involved crimes in progress.
- One case involved an individual armed with a handgun.
- One case involved an individual in the process of burglarizing a campus facility.
- Two cases involved individuals attempting to escape apprehension.
2020 Police Community Engagement Activities
Police Community Advisory Board (PCAB)

- Implemented the PCAB in November, 2020
  - Board members provide a bridge between police and the community
  - Provide feedback on police – community relations
  - Provide feedback on police policy, procedures and community initiatives
  - Provide feedback and input on critical issues related to perceptions of bias and policing
Community Meetings

• Cox MBA: Discussion on Race and Policing – Review of Policy and Procedures

• Zoom Meeting with BLM@SMU: Discussion on PD position on race and policing and ideas for moving forward

• Cultural Diversity Panel: Meeting between all SMU PD personnel and panel of SMU students, faculty, staff and alumni on race and policing on the SMU campus

• Zoom Meeting with Black Law Students: Meeting with faculty and students on issues related to race and policing on the SMU campus

• ABS/Student Senate: Panel Discussion and Community Engagement

• Meeting with Phi Delta Theta: Discussion on policing and fraternity involvement in community engagement

• Meeting with Men’s Basketball: Discussion on policing and police interaction training with athletes

• Meeting with Football: Discussion on police and athletes and joint commitment to improved communications
Engagement Project Example: Parking Boot Project

- **Problem:** SMU students receiving multiple parking citations and boots for parking without proper permit or parking in restricted parking spot.

- **Engagement:** SMU PD coordinated with Athletics and Park n Pony to waive or defer some fines and fees, while assisting students in adherence to campus parking guidelines, thus avoiding the need for enforcement action.
Engagement Project Example: Police Ride-a-Longs

- Members of the Faculty Senate, Student Senate, Athletes, and Police Community Advisory Board offered opportunity to ride along with officers on patrol.
- Participants observed police interactions with students and the public as well as enforcement and arrest procedures.
- Participants provided the opportunity to share feedback to the Chief of Police on their observations.
2020 Training, Policy and Procedures Update
Training

• Conducted a 40 hour advanced officer in service training certified by the Texas Commission on Law Enforcement
  • Active shooter
  • Arrest and control – Use of Force training
  • Trauma informed sex assault investigations
  • Cultural Diversity
Training Cont.

- Conducted an additional 618 hours of distance learning training
  - Average of 20 hours of additional training per officer. Topics Included:
    - Anti-Bias Training For Law Enforcement
    - Arrest, Search, & Seizure (Fourth Amendment)
    - Civil Rights
    - Communication Skills 1, 2, 3, 4
    - Community Policing
    - De-Escalation training
    - Use of Force – Critical Incident Training
Training Cont.

• Conducted additional on duty training on:
  • Crisis Intervention: Responding to persons in mental health crisis
  • Use of Force: Policy review and scenario training on use of force, de-escalation and current trends
  • Active shooter scenario training by teams and shift assignments
Quality Control

- Supervisors conduct monthly review of each officer’s body camera files to ensure compliance with department policy and to identify areas needing improvement in training, policy, procedure, officer safety, citizen engagement.

- Command Staff designee conducted random review of 33 officer encounters – no significant discrepancies noted.

- Findings are recorded and maintained for accreditation.
ABLE Certification

• SMU PD Certified to be one of a small group of agencies in the United States to adopt and teach the Active Bystandership for Law Enforcement program developed by Georgetown law.

• All SMU PD personnel required to undergo this training annually.
New Recruit Training and Selection

• All police applicants are screened for bias both during the interview process as well as during employment background checks.
  • Scenarios during the interview process
  • Background investigator access and review of social media accounts
• Applicants are advised of SMU PD Guiding Principles on policing and community engagement prior to offer of employment
• During FTO all trainees receive 8 hour “Guiding Principles” training conducted by Command Staff member
Crisis Intervention

- Two new Mental Health officers identified and trained
- All SMU PD personnel received training and participated in scenarios on mental health crisis and crisis intervention
- SMU procured additional “less lethal” tools for crisis response
- SMU PD policy and training doctrine stresses: *Use of deadly force is not authorized on a person who is only a threat to themselves*
Policy and Procedures

- All SMU PD General Orders were reviewed and updated in 2020
- Key polices were subjected to review against national best practices
  - Use of Force
    - Duty to intervene
    - Choke holds not authorized
    - De-Escalation
  - Code of Conduct
    - Duty to Report
  - Bias in Policing and Racial Profiling
Accreditation

- SMU PD continues to adhere to accreditation standards established by the International Association of College Law Enforcement Administrators (IACLEA) and will undergo re-certification in 2021.
- SMU PD has also applied for accreditation under the Texas Association of Chiefs of Police Agency Recognition Program.
- SMU PD is one of the first Universities in Texas to enroll in the FBI national Use of Force database.
In 2019 the SMU PD began the process of meeting national best practices on police – community engagement, use of force and biased based policing.

In 2020 the SMU PD moved to set the standard for state and public institutions of high learning in these areas.

The SMU PD has enjoyed strong support and constructive dialog with community organizations, student groups, faculty, staff and University leadership.

There is still work to be done.
Questions?