

[January 2009- SMU]

CURRICULUM VITAE  
JOSEPH JUDE NORTON



**Summary Statement:** Professor Joseph J. Norton is considered a leading expert in domestic and international banking and financial law, in domestic and international business law, and in international economic development law matters. From 1993-2005, he served as the Sir John Lubbock Professorship in Banking Law at the University of London; from 1988-1993 he was the Cameron Distinguished Visiting Professorial Fellow in International Banking Law at London. While at London, Professor Norton, through the postgraduate Centre for Commercial Law Studies, headed up the International Financial Law Unit at the Centre, oversaw London's LLM and PhD programs in international banking and finance and was responsible for the intercollegiate LLM course on Legal Aspects of International Finance. This University of London's postgraduate Centre is an RAE 5\* rated (highest UK academic institution). Currently, he is the James L Walsh Distinguished Faculty Fellow and Professor of Financial Law, Dedman School of Law at SMU, Dallas, Texas), where he has been a full-Professor since 1981 and an Adjunct Professor from 1973, and where he teaches courses on international banking and finance, international business transaction, business enterprise, international economic development law and global financial markets. In the Spring 2005, he served as the Nomura Distinguished Professor of Financial Systems at the Harvard Law School, teaching international financial law and regulation; and in 1999-2001, he held the Vice Chancellor's Distinguished University Professor of Law at the University of Hong Kong, where he helped established the Asian Institute of International Financial Law (of which he remains Chair of the Academic Advisory Board) and where he taught international financial law and regulation and international business law subjects. In 2005, he was appointed a University Visiting Professor at the Universities of Peking and the Shanghai University of Financial and Economics. He also has served as a Visiting Professorial Fellow at the Mandela Institute (Wits University, Johannesburg), Professorial Fellow in Financial Law and Institutions at the Institute of Advanced Legal Studies (London); and, he has held a University Chaired Visiting Professorship at the Banking Center at the Faculty of Law, Johannesburg University (RAU). He has also been a Visiting Professor at the University of Muenster for eight years and at Soochow Law School (Taiwan), teaching international business topics. He also has taught international business/finance courses for Duke Law School and the San Diego Law School. In September 2001, he was honoured with an LLD degree from the University of Stockholm in recognition of such accomplishments; and in 2005 he was conferred the LLD degree (earned) from the University of London. He also hold a DPhil.(Law) Degree in international banking from Oxford University, and an SJD in international and European Community law from the University of Michigan Law School. He has published over 50 books and 150 articles on related subjects; and he has lectured on banking and finance law, international business law and financial sector reform worldwide. He has practical experience with international and domestic (US) banking and capital markets transactions; in international and domestic business transactions; in enterprise governance issues of small, medium sized-, large (publicly-held) and multinational enterprises; and in inadvertent liabilities in enterprise relationships/transactions. At SMU he

founded and headed up for a decade Annual Institutes on International Banking, Banking Law, Commercial Lending and Finance, and (for four years) Bankruptcy. He has a broad range of governmental and intergovernmental authorities worldwide, including, the World Bank, the IMF, the EBRD, the Korean Government, , SADC, the FDIC, the Bank of Thailand, the Bank of England, and the Czech, Estonian and Mauritian banking authorities. He served as a member of the World Bank/IMF Core Consultative Group on their Bank Insolvency Initiative and of the London Financial Law Panel; and he is on the Banking Panel of CIETAC (China). He also has served as an academic consultant to the Latin American Association of Bank Supervisors and to the International Association of Deposit Insurers, and was an advisor with respect to the Thai, Korean and Indonesian financial crises in the mid 1990s. He is an elected member of the American Law Institute based on his international financial law expertise and of the American College of Commercial Lawyers; and he holds the Martindale Hubbell AV (highest professorial lawyer rating). He is General Editor of three major international book series (including one on international financial law) and is on the Editorial Board of five international journals ( two of which specifically deal with international finance and regulation). He established the London (now Global) Forum for International Economic Law and Development. He primarily teaches domestic and international business, financial law-related and international economic development law courses. He has successfully supervised over 40 PHD(Law)/SJD students over the past two decades, and is currently supervising four such SMU students, and is co-supervising two Chinese students (one from BEDA and the second from SUFE) and two doctoral students at the University of London.....Prior to becoming a full-time Professor of Law at SMU in 1981, Professor Norton was a banking/securities/international partner/director in a major Dallas commercial law firm, where he handled a broad range of domestic and international banking, financial and business transactions. From 1981- 2003, he served as a consultant to several major Dallas firms on such domestic and international matters, including representing two of these firms in their London office operations. As mentioned above, he has consulted with a broad range of international, regional and domestic financial authorities. His most recent research interests are on the legal implications of our current Global Financial Crisis; the role of “transnational networks” in shaping our international financial architecture (including our new Bretton Woods II); the impact of Sovereign Wealth Funds on international capital markets; the reform of the U.S. financial system; access and equality issues in developing country financial systems; the role of Corporate Social Responsibility in economic development; comparative corporate governance trends; and inadvertent liability in enterprise relationships/transactions. He continues to privately consult in these areas.

## **I. BIOGRAPHICAL AND CONTACT DATA**

Date of Birth: Sept. 15, 1945

Marital Status: Married (Colleen), two children (Danielle and Maree-Elaine)

Nationality: U.S. Citizen (with residences in US & UK)

Languages: English (native); Reading level in French and German

## CONTACT INFORMATION

Global e-mail: [profjnorton@yahoo.com](mailto:profjnorton@yahoo.com)

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## II. CURRENT/RECENT POSITIONS

James L. Walsh Distinguished Faculty Fellow in Financial Institutions Law (since 1995); Professor of Law (since 1981); Adjunct Professor (1973-80), SMU Dedman School of Law, Dallas, Texas. S.J.D. Supervisor. Subjects Taught: Domestic and Int'l Banking and Finance, International Business Transactions, Business Enterprise, Securities Regulation, NAFTA, Commercial Lending, International Economic Law and Development.

Nomura Distinguished Visiting Professor of Law in Financial Systems, Harvard Law School (Spring 2005), teaching international banking law and regulation.

Sir John Lubbock Professor of Banking Law, and Head of International Financial Law Unit, Centre for Commercial Law Studies, University of London, 1993-2005. PhD (law) Supervisor. Subjects taught: International Banking Law, Securities Regulations, Legal Aspects of International Finance, International Economic Law and Emerging Markets Law. Prior thereto, Cameron Distinguished Professorial Fellow in International Banking Law, Centre for Commercial Law Studies, University of London, 1988-1992

Co-founder and Executive Director, London (now Global) Forum for International Economic Law and Development (2001-present), a major academic initiative (honoring the late Dr. Ibrahim Shihata) for the promotion of Developing Country legal scholarship by Developing Countries scholars, based at the University of London.

Visiting Professorial Fellow, Mandela Institute, Wits University, Johannesburg RSA (2002-2006).

Visiting Professor, Center for Financial Law Studies, Peking University, Peking PRC (2005-present).

Visiting Professor Law, Shanghai University of Finance and Economics (2005- present)

Vice-Chancellor's Distinguished Visiting University Professor of Law, University of Hong Kong 1999-2001. Subject taught: Banking and Securities Laws, and Int'l Financial Transactions; PhD (law) Supervisor. Established HKU's LL.M curriculum in international financial law and co-founded its University's Asian Institute of International Financial Law.

Distinguished Visiting Professor of Banking Law, University of Johannesburg (RAU) Johannesburg, RSA (1995-2002).

Professorial Fellow in Financial Institution Law, Institute of Advanced legal Studies (London) (2005-7.

Visiting Professor, Institute of Int'l Business Law, University of Muenster, Germany (1991-99).

Co-Founder and Chair of Advisory Board, Asian Institute of International Finance (University of Hong Kong) (1998-present).

Co-Director, International Law School Consortium engaged in 5yr program of research and publications on Sino-India relationship (2008-present)

Director, London Institute of International Banking, Finance and Development Law Ltd. (CCLS/London) since Spring 1994, a private education-based institution drawing together leading academic and legal experts in the London community and worldwide for the promotion of "the rule of law" in financial sector-related matters (including related consultations).

Executive Director and co-founder, SMU Institute of International Banking and Finance, Dallas, Texas, 1982-1995

Executive Director, SMU Law Institute of the Americas, Dallas, Texas, since 1993.

### **III. PROFESSIONAL QUALIFICATIONS**

Elected Member, American Law Institute (basis of invitation was recognized international financial/business expertise).

Elected Member, American College of Commercial Finance Lawyers.

Member of State Bar of Texas.

Member of U.S. Federal Bar (5th Circuit Ct. of Appeals & Federal Northern District of Texas).

Certificate of Distinguished Service (ABA, Int'l Law & Practice Section) (1997).

Martindale Hubbell AV (highest) Rating.

#### **IV. GOVERNMENT/INTERGOVERNMENT-RELATED CONSULTANCIES**

Consultant and member of Core Consultative Group, World Bank/IMF Initiative on Bank Insolvency (2001 to 2008)

Consultant and Head of 12 person team, for EBRD respecting 10 yr Mid Term Review of Law Reform Projects (14 country review) ( 2001).

Academic Consultant to Latin American and Caribbean Bank Supervisors Annual Meeting (1999-2004)

World Bank/BoT Independent Expert Consultant on Evaluating New Thai Banking Laws (300 page study/report) (1999-2000).

Academic Consultant to International Association of Deposit Insurers (2003-2006)

Bank of Estonia, Law Reform Projects No. 205 and 209 (2000).

Educational Cooperative Projects with HKMA & HKSFC (Hong Kong) (2000- 2005).

IMF/MAE Legal Consultant on Indonesian Financial Sector Reform (1999).

USAID Consultant on Southern African Development Community Project's Finance and Investment Protocol (1998).

Director, "Special Long-Term Research Project on Assisting IOSCO Secretariat vis-à-vis Emerging Market Committee Working Groups No. 2 and 3 (CCLS/IOSCO) (1994-98).

Consultant, Ministry of Finance, Mauritius, on New Banking Legislation (1998) and on Money Laundering Position with FATF (2001).

Consultant, Ministry of Economic, Egypt in Financial Sector Law Reform (1998).

Consultant (ad hoc), Legal Department, Bank of Thailand (1997-2000).

Consultant on Asian Financial Crises for Kim Dae-Jung's successful presidential campaign (Seoul, 1997).

Co-organized three special major research seminars with the EBRD (London) (1995-99); on secured transactions in emerging economies, evolving role of IFIs and financial sector reform, and on bank insolvencies in emerging markets.

Co-organized Special Professional Development Program for Central and Eastern European Bankers (CCLS/UK Know How Fund) (1996-99).

Participant (Caracas and Madrid) at 2nd & 3rd High-Level Conference of Latin American

Caribbean Bank Supervisors (1998 and 1999).

Co-Director, Special Long-term Research Project on the Private and Public Implications of a Single European Currency (CCLS London (1995-1999) (in conjunction with the London Financial Law Panel).

Participant, 1986 IRS internal conference on Economic Trends and Challenges. Paper and presentation was direct basis for a formal IRS study and report on countertrade in 1987.

Consultant, Securities Exchange Commission (major revisions to securities laws), Taiwan (1984).

Co-Founder and Adviser, Academy of International Taxation (Taipei) (Taiwan MOF) (1983).

## **V. OTHER PROFESSIONAL--RELATED ACTIVITIES**

### **A. Professional Practice and Consultancies**

Consultant to various major Texas law firms from 1981-1996 and expert witness on banking in various cases (1996-present). Primary areas of consulting responsibilities: domestic and international banking, securities and business matters.

Attorney and partner/ director (1972-1981) (consultant 1981-86), law firm of Locke Purnell Rain Harrell (A Professional Corporation), Dallas, Texas (now Locke Lord Bissell).

### **B. Legal Professional Activities**

Member, Council, ABA Section on International Law (1986-2001).

Member (by selection), ABA Banking Law Committee (1985-1992).

Member (by selection), ABA Financial Services Committee (1988-92)

Member (by selection), Conference on Consumer Finance Law (1992- ).

Member Dallas Bar Association, and Vice Chairman (1984), International Law Section.

## VI. ACADEMIC QUALIFICATIONS

LLD (earned, in international banking and finance), University of London.

LLD (hc), University of Stockholm (for fostering understanding of global financial sector reform issues).

D. Phil., Oxford University - dissertation on international bank supervision.

Diplome (private int'l law) - by examination, Academie de Droit International de La Haye (Hague, Netherlands).

S.J.D. in international law (EC law and international trade agreements), University of Michigan; LL.M., University of Michigan - dissertation advisers were Professors William Bishop, Eric Stein and John Jackson.

LL.M., University of Texas at Austin - primary emphasis in business and international law.

LL.B. (hons.), University of Edinburgh (Scotland) - primary emphasis in international and European Community law.

A.B. (Political Science/Pre-law), Providence College, Providence, Rhode Island.

## VII. PUBLICATIONS

### A. Books Published (over 55 volumes)

#### 1. Authored

Financial Sector Reform in Emerging Markets (BIICL 2000/2001).

Devising International Bank Supervisory Standards (Martinus Nijhoff, 1995).

Banking Law Manual (co-author) (second edition, 1995 Matthew Bender) (20 chapters, approx. 1200 pages, (original edition 1983, as annually supplemented).

Regulation of Business Enterprise in the U.S.A. (2 vols., 18 chs., approx 1200 pages, 1983-84, Oceana).

#### 2. Edited and Contributed

Law, Culture and Economic Development: A Liber Amicorum for Professor Roberto MacLean (BIICL 2007) (co-editor, contributor of one chapter), 18 chapters, 342 pages.

Universalism v. Multilateralism: Policy Choices in a Global Society (co-editor with J Attanasio, (British Institute of International and Comparative Law, 2005), 19 chapters, 524 pages.

Festschrift for Sir Joseph Gold (co-editor and contributor), 19 chapters (Verlag 2002).

Banks Fraud and Crime (2nd ed., co-editor and contributor) (2001).

A New International Financial Architecture: A Viable Approach (co-editor and contributor), 18 chapters (BIICL 2001).

Reform of Latin American Banking Systems: National and International Perspectives (co-editor, Kluwer 2000) (12 chapters) (contributor of 2 chapters).

Risk and Regulation in Chinese Financial Markets, (co-editor, Kluwer 1999, and contributor of one chapter).

The Changing World of International Law in the Twenty-First Century: A Tribute to the Late Kenneth R. Simmonds (1998) (co-editor and contributor of 1 of 13 chapters).

Yearbook of International Financial & Economic Law 1996 (1998) (General Editor and contributor of 1 chapter).

Yearbook of International Financial & Economic Law 1997 (1998) (General Editor and contributor of 1 chapter).

Secured Transactions and Emerging Markets (co-editor and chapter contributor, 19 chs., Kluwer, 1997).

Emerging Financial Markets and Role of International Financial Organizations (co-editor and co-contributor of Ch. 17; 23 chs., Kluwer, 1996).

Environmental Liability of Banks (co-editor and co-author of 1 of 10 chs., Lloyds of London Press, 1995).

NAFTA and Beyond: A New Framework for Doing Business in the Americas (co-editor and contributor of 3 chs. of 19 chs., Martinus Nijhoff, 1995).

International Banking Regulation and Supervision: Change and Transformation in the 1990s (co-editor and contributor of ch. 2, 10 chs., Graham Trotman, 1994).

Banks: Fraud and Crime (editor and contributor of 1 ch., Lloyds of London Press, 1994).

International Finance in the 1990s: Challenges and Opportunities, (editor and contributor of one Chapter); 15 Chapters; (Blackwell 1993).



Commentaries on the "Restatement (Third) on the Foreign Relations Law of the United States, 12 Chapters (co-editor and contributor, ABA Press 1992).

Bank Regulation and Supervision in the 1990s: International and Comparative Dimensions (editor and contributor of one chapter; Lloyd's of London Press, 9 chapters, 1992).

Asset Securitization: International and Comparative Dimensions-Financial and Legal (co-editor and co-author of one chapter, Basil Blackwell Publications 14 chapters to be in print in Winter 1991).

Festschrift for Sir Joseph Gold (co-editor and contributor of one chapter, Verlaag Publishers, 1990, 12 chapters).

Commercial Finance Guide (co-editor and contributor of 2 chs., Matthew Bender, 15 chs., 1990) (comprehensive annual supplements, 1991-95).

Lender Liability: Law and Litigation (co- editor, and contributor of 2 chs., Matthew Bender, 20 chs., Summer 1989) (comprehensive semi-annual supplements, 1990-96).

Commercial Loan Documentation Guide (Matthew Bender, 1988, 19 chapters, approx. 1000 pages) (co-editor and contributor 3 of chapters) (comprehensive annual supplements, 1989-1996).

Strategies and Litigation Considerations in Chapter 11 Bankruptcy (vol 2 of Matthew Bender Collier Family Bankruptcy Series on "Representing Debtors in Bankruptcy," 1988, 21 chapters, approximately 700 pages, co-editor and contributor of ch. 18.)

Fundamentals of Chapter 11 Reorganization (vol. 1 of Matthew Bender Bankruptcy Series on "Representing Debtors in Bankruptcy," 1987, 15 chs., approx. 550 pages, co-editor and contributor of ch. 4).

European Economic Community: Trade and Investment (vol. 2 of Matthew Bender "International Finance Series," 1986, 25 chs., approx. 800 pages) (general editor and contributor to chs. 1, 8, 10, 16 and 19).

Public International Law and the Future World Order (Festschrift in honor of A.J. Thomas, Jr., co-sponsored with American Society of International Law, 1987, 20 chs. approx. 600 pages) (general editor and contributor of Ch. 18).

World Trade and Trade Finance (vol. 1 of Matthew Bender "International Finance Series," 1985, 24 chs., approx. 700 pages) (general editor and contributor of Ch. 19).

### **3. Edited Only**

Corporate Governance Post-Enron: Comparative and International Perspectives, vol 7 of Studies Series in Int'l Financial, Econ. And Technology Law (British Institute of International and Comparative Law, 2006), 21 chapters , 501 pages.

Global Financial Sector Reform (co-general editor) (British Institute of International and Comparative Law (British Institute of International and Comparative Law, 2005), 11 chapters, 369 pages.

International Asset Securitization (co-editor, 12 chs. Lloyds of London Press, 1995) (2nd ed. due 2000).

The North American Free Trade Agreement: A New Frontier in International Trade and Investment in the Americas (co-editor, 19 chs., Amer. Bar. Ass. 1994).

International Banking Operations and Practices: Current Developments ( co-editor, 9 chs., Martinus Nijhoff, 1994).

Cross-Border Electronic Banking: Risks and Opportunities (10 chs., Lloyds of London Press, 1994).

Understanding Bankruptcy in the US: An International Handbook of Law and Practice (general editor, Basil Blackwell, 1992).

Doing Business in Mexico (3 vols., Matthew Bender, General Editor from 1988 thru 1991, thrice annual supplements).

Representing Debtors in Bankruptcy-Practice Strategies (vol. 4 of SMU-Matthew Bender Bankruptcy Series, 1991, 14 chapters, approximately 500 pages).

Litigating in the Bankruptcy Court (vol. 3 of Matthew Bender Collier Family Bankruptcy Series on Representing Debtors in Bankruptcy, 21 chapters, approximately 700 pages co-editor, 1989).

Prospects for International Lending and Reschedulings (vol. 3 of Matthew Bender "International Finance Series," 1988, 33 chs., approx. 1000 pages).

International Tax Planning After the Tax Reform Act of 1986 (vol. 4 of Int'l Finance Series, 9 chapters, approximately 425 pages, series editor).

### **4. Compilations**

Commercial Business and Trade Laws - U.S.A. (2 vols., 1984-85) (co-author, Oceana).

**B. Articles/Chapters Published (over 150) (excluding annual/semi-annual updates to 4 treatises referred to in B5. below).**

**1. Major Articles Published**

“Comment on Transnational Networks in International Financial Regulation,” to be published in Spring 09 issue of *International Lawyer* ( 42 pages).

“Multinational Companies: of Institutional Spheres of Influence., Corporate Social Responsibility and Meaningful Financial Sector Law Reform for Developing Countries”, *European Business Law Review* (pending issue No. 1 2009)( 43 pages).

“A suggested First Step for Moving Toward a ‘Next Generation’ of Viable and Effective Long-term Financial Sector Legal Reform: Taking Stock of the ‘First Generation’ of Reform,” Monograph in World Bank (LVP) Series on Legal and Judicial Reform (2007), 68 pages.

“Banking Law Reform and Users-Consumers in Developing Economies: The Critical, Foundational Issue- Creating a Viable (i.e., Accessible and Equitable) Consumer Base from the ‘Excluded’”, *42 Tex Int’l L. Journal* vol. 3, 2-27 ( 2007)

“An Interim Filling the Gap in Multilateral, Regional and Domestic Hard Law Deficiencies Respecting Financial Services in the Americas, *12 LBRA* 153-177 (2006)

“Law, Social Justice, Economic development and Modern Financial Sector Reform”, chapter in *Liber Amicorum* for Professor Roberto Mac Lean, CBE, pp 192-225 (BIICL2007).

“Law, Culture and Economic Development: The Provision of Banking And Financial Services in the FTAA Area: Rationalizing The Divergent ‘Cultures of Negotiation’ *Eur.Bus.L.Rev.* 47-83 (Nov. 2007).

“Levels and Methods (de jure and de facto) of Economic Integration Within the Western Hemisphere’s Impending (?) FTAA- the Case of Financial Services”, *11 LBRA* 43- 63 (2005).

“Global Financial Sector Reform: The Single Financial Regulator Model Based on the United Kingdom FSA Experience: A Critical reevaluation, *29 Int’l Law.* 15-62 (2005)

“Encouraging Capital Flows and Viable Dispute Settlement: Framework Under the Monterrey Consensus, *10 LBRA* 64- 82 (2004)

“A Perceived Trend in Modern International Financial Regulation: Increasing Relevance of the Public-Private Partnership”, *37 Intl’Lawyer* 38-59 (2003)

“Money Laundering and Anti-Terrorism: Post Sept 11 Responses- Stepping Back and Taking a Deep Breath,” *36 Int’l Lawyer* (Spring 2002) (28 pages, co-author).

“U.S. Securities Regulation and the Use of the Internet,” *LBRA* 81-110 (Winter 2002) (co-author).

“The Modern Genre of Infrastructure Law Reform: The Legal and Practical Realities – The Case of Banking Reform in Thailand,” *55 SMU L. Rev.* 235-272 (2002).

“A By-product of the Globalization Process: The Rise of Cross Border Mergers and Acquisitions- The U.S. Regulatory Framework,” *56 Bus. Lawyer*, 591-633 (2001) (co-author)

“Discerning Future Financial Crises: The Institutional Based Dimensions” *24 Bank of Valletta Rev. (Malta)* 1-32 (2001) (co-author).

“Financial Institutions and the Movement toward Greater Accountability and Transparency”, *35 Int’l Lawyer Vol. 4* (2001), 38 pages. (author).

“The ‘Flipside’ to the ‘New International Financial Architecture’: An Elite Corp of Banking Organizations and ‘Qualified Self-Regulation,’” *Journal of Int’l Fin. Reg.* (Summer 2000).

"Financial Services Modernization in the U.S. - The Gramm-Leach-Bliley Act of 1999: Overview of Selective Legislative and Regulatory Issues," *1999 Ybk of Int’l Fin. & Econ. L.* (2000).

"A New Financial Architecture? - Reflections on a Possible Law-Based Approach," *33 Int’l Law.* 891-926 (2000).

AA 'Slice' of Global M&A Mania: Cross-Border Bank Mergers and Acquisitions: A View from the U.S. Regulatory and Supervisory Perspective," *Bus. Lawyer* ( published Winter 2000).

"The Glass Steagall and Related Legislative Reform in the United States: Non-Legislative Bank Deregulation and Modernization - The Recent Comptroller of the Currency 'Op-Sub' Regulation," *14 Bank & Fin. L. Rev.* 1-43 (1998)

"International Financial Law, an Increasingly Important Component of ‘International Economic Law’- A Tribute to Professor John H. Jackson," *Michigan J. Int’l Law* (1999).

"Are Latin American and East Asia an Ocean Apart? The Connecting Currents of Asian Financial Crises," *5 NAFTA Rev. No. 1*, 93-124 (1998).

"Towards an International Financial Centre for Greater China: Hong Kong and the Mutuality of Financial Sector Infrastructural Reform, *28 H.K. L.J. No. 2*, 210-228 (1998).

"The Thai Financial Crises: Delving Behind the Facade of Financial Sector Reform and the Need for an Interdisciplinary, Law-Based Approach," *28 Thammasat L.J. No. 2*, 246-276 (1998).

"Comparative Perspective: The United States' Experience with Class Action Suits and the Need for Curative Legislation," (1997-98) *Juridisk Tidsknft* (Swedish Journal of Legal Studies) (Stockholm Univ.), No. 1, 11-26 (1997).

"Asset Securitization in Emerging Market Economies: Fundamental Considerations" (co-author), 4 *NAFTA Rev.* No. 4 (1998).

"Structuring the Banking Regulators and Supervisors: Developed County Experiences and Their Possible Implications for Latin America and other Developed Countries," 4 *NAFTA Rev.* No. 3, 5-29 (1998).

"The Korean Financial Crises - Reform and Positive Transformation. Is a Second 'Han River Miracle' Possible?," 2 *Global Econ. Rev.* No. 2, 3-36 (1998).

"Reflections on the Future of Banking Law in the New Hong Kong SAR@ (1996) *Ybk Int'l Fin. L.* 183-202 (1998).

"The Ongoing Process of International Bank Regulatory and Supervisory Convergence: A New Regulatory Market Partnership," 116 *Ann. Rev. of Bank L.* 67-151 (co-author) (1997).

"International Syndicated Lending: The Legal Context for Economic Development in Latin America," 2 *NAFTA L. Rev.* No. 3, 21-81 (1996).

"Globalization of Financial Risks and International Supervision of Banks and Securities Firms: Lessons from the Barings Debacle," 30 *Int'l Law.* 301-344 (1996) (co-author).

"External and Internal Crossroads for Banking Supervision in Southern Africa," published in Spring/Summer issue of 1995-2 *J. of So. African L.* 221-249.

"Foreign Issuer Listings on U.S. Securities Exchanges," 7 *Festschrift für Banksrecht* No. 4, 366-375 (1995).

"'Fair Lending' Requirements: The Intervention of a Governmental Social Agenda into Bank Supervision and Regulation," 49 *Cons. L. Q. Rev.* 17-28 (1995).

"NAFTA: A New Framework for Regulation and Supervision of Financial Services in the Americas," *J. of Bus. L.* (Summer, 1994).

"The Foreign Bank Supervision Act of 1991: Expanding the Umbrella of 'Supervisory Reregulation'" (co-author) Vol. 26 *Int'l Lawyer* No. 4 (1992).

"A Decade's Journey From 'Deregulation' to 'Supervisory Reregulation': The Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA)" (co-author), 45 *Bus. Law.* 1103-1228 (May 1990).

"The Financial Institutions Reform, Recovery and Enforcement Act of 1989: Impact on Commercial Banks," (co-author), 107 *Banking L.J.* 196-225 (May-June, 1990).

"Capital Adequacy Standards: A Legitimate Regulatory Concern for Prudential Supervision Banking Activities," 49 *Ohio St. L.J.* 1277-1368 (Spec. Symposium, Winter 1989).

"The Work of the Basle Supervisors Committee on Bank Capital Adequacy and the July 1988 Report on International Convergence of Capital Measurements and Capital Standards," 23 *Int'l Lawyer* 245-264 (Spring 1989).

"Up Against 'The Wall': Glass-Steagall and the Dilemma of a Deregulated ('Reregulated') Banking Environment," 42 *Business Lawyer*, 327-368 (1987).

"Being Competitive in a 'Reregulated' Banking Environment: The Case of Commercial Lending Activities of Banking Institutions," *Spec. Banking Law Symposium*, 11 *Oklahoma City U.L. Rev.* 547-640 (Fall 1986).

"The Efficacy of Export Trading Companies and Related U.S. Legislation and Regulations," 50 *J. Air L. and Com.* 865-905 (1985).

"The Acquisition Process and the Closely-Held Company: Selected Legal Aspects," 36 *Mercer L. Rev.* 567-626 (1985) (Special Symposium on closely-held enterprises).

"Adjustment and Protection of Shareholder Interests in the Closely-held Corporation" 30 *Sw. L.J.* 781-825 (1985).

"Lending Limitations and National Banks under the 1982 Banking Act," 101 *Banking L.J.* 122-154 (1984).

"The 1982 Banking Act and Deregulation Scheme," 38 *Bus. Law.* 1627-51 (1983).

"Perspectives on the United States Banking System," 12 *Anglo-American L. Rev.* 1-40 (1983).

"Extraterritorial Applicability of U.S. Antitrust and Securities Laws," 28 *Int'l & Comp. L.Q.* 575-97 (1979).

"European Court of Justice Decision in *United Brands*: Of Extraterritorial Jurisdiction and Dominant Position," 8 *Denver Int'l L.J.* 379-414 (1979).

"United States Securities Laws: A Transnational Perspective," 7 *Anglo-Am. L. Rev.* 81-112 (1978).

"Reflections Upon Economic and Monetary Union in the European Community," 11 *Texas Int'l L.J.* 251-80 (1976) [co-authored].

"Overview of European Community Law: A Primer for Businessmen and Attorneys," 29 Sw. L. J. 347-86 (1975); reprinted in *Corporate Counsel's Annual* 1976 991.

"Relationship of Shareholders to Corporate Creditors Upon Dissolution: Nature and Implications of the 'Trust Fund' Doctrine of Corporate Assets," 30 Bus. Law. 1061-79 (1975).

"The Treaty-Making Power of the European Economic Community - A Constitutional Crisis Facing the EEC," 7 Int'l Law. 589-611 (1973).

"The Renegotiability of United States Bilateral Commercial Treaties with the Member States of the European Economic Community", 8 Texas Int'l L.J. 299-373 (1973).

"A Cheshire Cat Affair: The European-type Company and Its Meaning for the American Enterprise in the European Community," 6 Cornell Int'l L.J. 111 (1973).

"The Common Commercial Policy of the EEC: Developments in the Final Stages," 6 Vand. J. Transn'l L. 44-85 (1972).

"Doing Business and U.S. Commercial Treaties: The Case with the Member States of the EEC." 5 Case W. Res. J. Int'l. Law 4-34 (1972).

"Reflections on the Act of State Doctrine: A Fifth Wheel in Conflicts of Laws," 10 Houston L. Rev. 1-24 (1972).

"The Heart of the Matter: U.K. and the E.E.C., The Problem of Agriculture," 6 Tex. Int'l L. Forum 221-257 (1971).

## **2. Chapters in Books (including chapters in edited works and chapters subsequently added to own authored works).**

"The De Facto and 'Soft Law' Financial Services Integration Processes Within the Western Hemisphere", Ch. in *Festschrift fur Professor Dr. Norbert Horn* (ed., Prof. Dr. KP. Berger, DeGruyter, 2006), pp 819-834

"A Further Contour to 'Limited Multilateralism': The Intervention of Private Sector Involvement to Global Financial Stability and the Control of Large Complex Banking Organizations," Ch. 11 in Dean J Attanasio et. al. (co-editor), *Unilateralism v. Multilateralism* (published in 2005), pp. 231-254.

"An 'Environmental' Approach to FDI and Effective Dispute Resolution: The Exhortations of the Monterrey Consensus", Ch. in Prof. N. Horn (ed.), *Arbitrating Foreign Investment Disputes* (Kluwer 2004), pp 89-110..

"Privatization in Modern Bank Regulation", Ch in Dr. M Litoksky (ed.), *Privatization and the Law* (Martinus Nijhoff, 2004), pp 173-218.

“Western Hemispheric Integration: Prospects for the FTAA,” Ch. Prof. M Cremona et al (co-ed.), in *Regional Integration as a Strategy for Development: Questions of Implementation and Enforcement*, 214-233, (Report,2004).

“The Public-Private Dichotomy/Interconnection: The Public- Private Partnership in Modern Bank Regulation,” Ch. In Prof. L. Gorton (ed.), *The Breakdown of Public and Private Dichotomy in Commercial and Financial Law*, pp21-68 (2003, Lund).

“Selective Bank and Environment Developments: Supervisory Trends Upon Entering the 21st Century,” Ch 20 in IMF, *Current Developments in Monetary and Financial Law* (2003), pp 369-446.

“The New International Financial Architecture and Global Financial Institutions,” Ch. in S. Goo et al., *International Financial Sector Reform; Standard Setting and Infrastructure Development* (2002)

“Lender Liability in the United States: A More Balanced Playing Field”, comprising Ch 12 (pp 349-434) in W. Blair (ed.), *Banks, Liability and Risk* (3rd ed. 2001). (author).

“Selective Bank and ‘Environmental’ Developments- Supervisory Trends Entering the 21st Century”, comprising Ch 14 (pp 91-155) in IMF, *Current Issues of Monetary and Financial Law* (vol 2, 2001).

“The Curious Dynamics of Free Trade in the Western Hemisphere: Prospects for the FTAA”, comprising Ch 25 (pp 25-01-25-58) in I. Fletcher et al (eds), *Foundations and Perspectives of International Trade Law*.

“Global Cross Border Bank Mergers and Acquisitions”, comprising Ch 2 (pp 29-57) in N. Horn (ed), *Cross-Border Mergers and Acquisitions and the Law* (2001).

“Pondering the Parameters of the ‘New International Financial Architecture’ - A Legal Perspective,” Chapter in R. Lastra (ed), *Reform of International Financial Architecture.*”

"Conjuring an Elite Corps of Banking Institutions Within a Public-Private Partnership", Chapter (pp 391-414) in Baum, Hopt & Horn eds.; *Corporations, Capital Markets and Business in the Law: Liber Americorum* for Richard M. Bauxbaum (Kluwer, 2000).

“U.S. Banking Regulation: Practice and Trends,” chapter in Mullineaux & Murinde, *International Banking and Finance* (2000) (co-author).

Ch. 8 ("Lat. Am. Experiences") and Ch. 11 ("Asian Contagion") in *Reform of Latin America Banking Systems* , supra.

"International Standards and Global Pension System Reform," Chapter in *Festschrift for Professor Dr. Otto Sandrock*, Beyer et al. (eds.) (2000).



"Internationalization of Public Financial Law in Hong Kong," (co-author) Ch. 9 in Wacks (ed), The New Legal Order in Hong Kong (2000).

"Reflections on the Development of Capital Markets, Stock Exchanges and Securities Regulation in Central and Eastern Europe," chapter (pp 191-251) in Drobnig et al, eds). System Transformation in Mittel-und Osteuropa und ihre Folgen für Banken, Börsen und Kreditsicherkeeterd (Max Planck-Hamburg, 1998)

"Receptivity of International and Domestic Capital Markets to State-owned-Enterprises (SOEs) of the Peoples Republic of China,@ chapter in Festschrift for Prof. Bernhard Grossfeld (ed. Ebke, Germany, 1998)

"Structuring the Bank Regulators and Supervisors: Developed Country Experiences and Their Possible Implications for Latin America and Other Developing Countries," chapter in E. Aguirre (ed.), (IMF/SELA), Banking Law Reform In Latin America (1998), also to be reprinted in SELA Quarterly Review (summer 1998).

"The Mexican Peso Crisis and the Future of Financial Integration in the Americas," chapter in The Changing World of International Law in the 21st Century (Andenas ed., London, 1998).

"`Banking Law' in the Twenty-First Century," Ch. 12 to Essays for Professor Roy Goode 197-322 (Oxford U Press 1997).

"Asset Securitization in Latin America: the Case of Mexico and Argentina," Ch. (co-author) in Emerging Markets and Secured Transaction (1997).

"Regulation of the Securities Activities of Banks: A Comparison of U.S. Deregulation and Japanese Liberalization," Ch. 5 to Investment Banking: Theory and Practice (2nd ed.) (EPM Gardener & E. Molyneaux eds., Euromoney 1996) (co-author)

"Securities Law Models and Emerging Economies," (co-author), Ch. 17 to Emerging Financial Markets and the Role of International Financial Organizations (Kluwer 1996).

"Law and Economic Development in the Emerging C.E.E. Economies: The Case of Securities Regulation - A New Frontier of Challenges for Applied Comparative Law Methodology," chapter in Transition to Democracy in Central and Eastern Europe, (Vagts et al. eds. 1995).

"U.S. Lender Liability: A Decade in Perspective," Chapter in Banks & Risks (ed. R. Cranston, 2nd edition, 1994).

"EC Banking Law in an International Context," Chapter in European Banking Law (ed. R. Cranston, 1994).

"NAFTA and Beyond: A Code Word for Doing Business in the Americas"

"NAFTA: The Service Provisions"  
"NAFTA and Export Finance"  
Chapters in *Nafta and Beyond*, supra (1994).

"Environmental Law Impact on U.S. Commercial Loan Documentation and Structuring,"  
Chapter in *Environmental Law Impact*, supra (1994).

"The European Community Banking Law Paradigm: A Paradox in Bank Regulation and Supervision - Reflection on the E.C. Second Banking Directive," Ch. 2 in *International Banking Regulation and Supervision: Change and Transformation in the 1990s* (co-ed. J. Norton, Graham Trotman, 1994).

"Bank and Criminal Liability: The U.S. Experience," ch. in *Banks: Fraud and Crime* (J. Norton ed.) (Lloyds of London Press, 1994).

"Projecting Trends in International Bank Supervision: After BCCI," Ch. 4 in *International Finance in the 1990s: Challenges and Opportunities* (ed. J. Norton, Blackwell 1992).

"Extraterritorial Jurisdiction from a Differing Perspective: Section 416 of the 'Restatement (Third)' and 'Jurisdiction to Regulate Activities Related to Securities,'" Ch 3 in *Commentaries on the "Restatement (Third) on the Foreign Relations Law of the United States"* (Amer. Bar. Ass. Press 1992).

"The Bank-Customer Relationship in the United States," Chapter in *The Bank Customer Relationship: A Comparative Study* (ed. R. Cranston, Lloyds Press 1992).

"EC Banking Directives and International Banking Supervision," Ch. 10 to *Banking Law: Implications for 1992* (2d ed. by Cranston (ed.), Lloyds of London Press (1991)).

"The Bank of England's Lament: The Struggle to Maintain the Traditional Practice of Moral Suasion," (chapter in *Bank Regulation and Supervision in the 1990s of Banking Institutions: International and Comparative Dimensions* (1992, Lloyds of London Press)).

"The Multidimension of the Convergence Processes Regarding the Prudential Supervision of International Banking Activities - The Impact of the Basle Supervisors Committee's Efforts Upon, Within and Without the European Community," chapter in *Festschrift to Sir Joseph Gold* (1990) (ed. Ebke et al).

"The Alice in Wonderland of American Lender Liability Laws: Of Common Law, Statutes and Contorts," (co-author), chapter in *Banks & Risks* (ed. R. Cranston) (Lloyds, 1991).

"Tort-Related Aspects of Lender Liability Law," (co-author), Chapter for Matthew Bender's *Multivolume Series, Texas Torts and Remedies* (Sales, ed.) (1991).

"Capital Adequacy and the Bank Regulatory Concerns," (co-author) Chapter in *Asset*

Securitization: International and Comparative Dimensions - Financial (1992).

"Overview of Powers of FDIC as Liquidator and Receiver," (chapter 19, 31 pages) (co-author) in Strategies and Litigation Considerations in Chapter 11, (1988).

"Identifying Lender Constraints: The Achilles Heel - Implications of Inordinate Control," (chapter 4, 45 pages) in Fundamentals of Chapter 11 Reorganization, vol. 1 (1987).

"The Regulatory Environment for Banking Institutions in Commercial Lending Activities," (chapter 4, 60 pages) in Commercial Loan Documentation Guide (1988).

"Representations and Warranties," (chapter 10, 63 pages) in Commercial Loan Documentation Guide (1988).

"The Role of the FDIC", new chapter 5A to Banking Law Manual (1988).

"New Products and Activities," new chapter 17 to Banking Law Manual (1987).

"Hurdling the `Glass-Steagall' Wall: Banks and New Securities Related Product Services," chapter (43 pages) in Comparative Bank Regulations (ed. Yamauchi, 1986, Chuo Univ., Institute of Comparative Law, Japan).

"International Law and the Remaking of Western Europe: The Contributions of the Treaty Establishing the European Economic Community," Chapter 18 (29 pages) in Public International Law and the Future World Order (1987).

"Prospects for Economic and Monetary Union," (with Dixon), Chapter 19 (55 pages) in The European Economic Community: Trade and Investment (1986) (cited in the resume portion as "EEC").

"Extraterritorial Subject-Matter Jurisdiction Under the United States and European Community Antitrust Laws," Chapter 16 (34 pages) in EEC, supra.

"Status of U.S. Commercial Treaties with the E.E.C. Member States," Chapter 10 (48 pages) in EEC, supra.

"The Common Agricultural Policy" (39 pages) (with Corboy), Chapter 8 in EEC, supra.

"Export Trading Companies," Chapter 19 (35 pages) in World Trade and Trade Finance (1986).

"Securities law and Activities [pertaining to banking institutions]," new Chapter 16 (106 pages) to Banking Law Manual (1986).

"International Operation of U.S. Banks," new Chapter 15 (59 pages) to Banking Law Manual (1985).

### 3. Special Publication Projects

a. For London Institute of International Banking, Finance and Development Law: Studies in Financial and Economic Law.

No. 1, "International Banking Law on the Threshold of the 21st Century" (July 1996).

No. 9, "International Syndicated Lending" (1997).

No. 13, "Asset Securitization in Emerging Market Economies: Fundamental Considerations" (1998).

No. 16, "Financial Sector Reform and International Financial Crises: The Legal Challenges" (1998).

No. 23 The New U.S. Financial Services Modernization Legislation (2000).

b. For European Institute of Finance.

"The U.S. Banking Reform Legislation of 1991: More Regulatory Micro-Management and Supervision" (co-author), Proceeding to 10th Annual Conference of Association of European Teachers of Banking (Bangor, Sept. 1992).

"Banks and Risks: Lender Liability in the United States" (Research Paper, 1990).

"Depository Institution Restructure and Reform in the United States: The Financial Institutions Reform, Recovery and Enforcement Act of 1989" (FIRREA) (Research Paper, 1990).

"The Evolvement of Capital Adequacy Standards in the United States: The Transformation From Examination Tool to Pervasive Bank Regulatory Objective" (Research Paper, 1989).

c. For Texas State Bar Corporation, Banking and Business Law Section.

"Control Related Issues in Bankruptcy - On-Going Concerns for Lenders," (co-author), 27 St. Bar of Texas Bus. Bull. 23-46 (Sept. 1990).

"The Regulatory Hand Made Iron: The Enforcement Provisions of FIRREA - Implications for Commercial Banks and Their Attorneys" (co-author), 26 St. Bar of Texas Bus. Bull. No. 4, 1-16 (1989).

"Risk-Based Regulation of Banking Institutions and the New Capital Adequacy Standards," 25 Bull. Sect. Bus. L. No. 213, 1-18 (State Bar of Texas) (February 1989).

"The Law of Guaranties in Texas," 25 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas)

(Fall 1988) [co-authored].

"Asset Securitization for Banking Institutions: Large and Small," 25 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) August 1987) (co-authored).

"Environmental Due Diligence in Real Estate Lending Transactions and Convergences," 25 Bull. Sect. Corp., Banking & Bus. L. No. 3, 1-28 (Feb. 1988) (co-author).

"Loan Participation Arrangements," 24 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) 1-20 (Feb. 1987) [co-authored].

"Exercising Setoff Rights in Texas: Legal Concerns and Pitfalls," 24 Bull. Sect. Corp., Banking & Bus. L. (State Bar of Texas) 17-30 (August 1986).

"Theories of Lender Liability: Practical Lessons for Lenders and Counsel," 23 Bull. Sect. Corp. Banking & Bus. L. 2-24 (Feb. 1986).

#### **4. Shorter Articles**

"An External Observer's View on the 30th Anniversary of the Hong Kong Law Faculty: Thirty Years - The HKU Law School 1969-1999," 92-96 (1999).

"Trade and the East Asian Financial Crises: The Interconnection With Financial Sector Reforms" I.T.L. Q. (Winter 1999).

"The East Asian Financial Crises: The Legal Dimensions," SMU Brief Article (Winter 1998).

"The 'New Banking Law' and Legal Education in the 21st Century," - Argentine Journal of Comparative Law C (1998).

"Trends in International Banking and the Basle Committee on Banking Supervision," to be published in 48 Cons. L. Q. Rev. 415-419 (1995).

"The New U.S. Financial Institutions Act - Implications for Commercial Banks and the U.S. Financial Institution Systems," (1990) J. Int'l Bank. L. 14-23.

"Reflections on Financial Institution Restructure in the United States and on the New U.S. Financial Institutions Act," German J. of Comp. L. (Zvgl R. Wise) 89 (Winter 1990).

"International Convergence of Bank Supervisory Practice: the New US Bank Capital Adequacy Standards," 4 J. Int'l Bank. L. Issue 5, at 4 (1989).

"The Changing Face of the 'Old Lady of Threadneedle': The Bank of England and Bank Supervision in the U.K." Cons. Fin. L.Q. 180-184 (Summer 1989).

"Reflections on the New Risk-Based Capital Guidelines for Banking Institutions," *Cons. Fin. L.Q. Rev.* 1-10 (Spring 1989).

"Safe and Sound under the U.S. Banking Act," *Int'l Fin. L. Rev.* 13-15 (Dec. 1987).

"Reflections on the Iranian Hostage Settlement," *67 A.B.A.J.* 428-33 (co-authored) (April 1981).

"Banking Law in the United States: Regulation or Reregulation?," *4 Soochow L. Rev.*, No. 2, at 43-86 (1984).

"International Aspects of U.S. Business Laws," *3 Soochow L.Rev.*, No. 2, (1982) (co-authored).

### **5. Book Updates/Supplements:**

Banking Law Manual (annual supplements from 1984-96), 4-5 chs).

Lender Liability: Law & Litigation (semi-annual, supplements from 1990-96).

Commercial Loan Documentation Guide (annual supplements from 1990-96).

Commercial Finance Guide (annual, 4-5 chs.) (annual supplements from 1991-96).

### **C. Conference Papers Presented (Total90)**

“ Reflections on the Evolvment of Transnational Networks in the Financial Sector Area: From The Basle Concordat to Bretton Wood II.” SMU International Conference on Transnational Networks, Nov. 2008

“A Suggested Predicate for Financial Sector Reform in China and India: A Development Component Geared to Inclusion, Equity and Poverty Alleviation” prepared for a launch colloquium on Sino-India relationship, “The Elephant and the Dragon”: Lessons and Challenges Respecting the Role of Law in Economic Development in India and China” ,National Law School of India University, Bangalore May 7 – 10, 2008.

“ A New Role for the IMF: SWFs and of Known Knowns, Known Unknowns and Unknown Unknowns?- One American’s Perspective,” Judge School of Business, Cambridge University Conference with IMF on “The International Monetary Fund and Financial Crises- The Role of Institutional and Governance Reform, “ April 6, 2008.

“Access and Equality in Financial Sector Reform: Are there Lessons to be learned from theU.S. Approach- A ‘de Sotoan’ Approach” (Fudan University, Shanghai, October 29-30, 2007).

“Corporate Social Responsibility and the Harmony of World Development: Lessons for China”, Beijing Forum- 2007 on “The Harmony of Civilizations and Prosperity for All- Diversity in the

Development of Human Civilization ,1-5 November, 2007, Beijing, PRC (Great Hall and Univ. of Peking).

“Cross-Border Insolvencies – the European Union Perspective”, Dallas Bar Association, “CROSS-BORDER INSOLVENCIES IN A GLOBAL AGE” (Nov. 2007).

“Corporate Social Responsibility (CSR): A Global Perspective,” for the Annual SMU Corporate Counsel Symposium (Oct. 2007).

“A Consumer Protection Framework for Developing Viable Banking Systems in Emerging Economies” for the Annual Conference of the International Academy of Commercial and Consumer Lawyers, Aug. 14, 2006, Univ. of Texas, Austin Texas.

“A Next Generation of Financial Sector Legal Reform?”, Carrington Colloquium on Law, Culture and development (SMU, April 2006).

“Access and Equality in Financial Sector Reform and Meaningful Development Policy Formulation” World Bank Annual Law and Development Conference, Dec. 2005, Washington, DC.

“The Imperatives for the Independence of Deposit Insurers”, IADI Annual Conference, Zurich Jan. 2005.

“Sorting through the Divergent Cultures and Level of Financial Services Trade Negotiations”, SUFE, Shanghai, July 2005.

“ Extrapolating the Underlying Policies Respecting the Transformation of U.S. Banking Regulation- 1978-2005”., University of Peking, August 2005.

“Rethinking Modern Financial Sector Reform in Columbia”, October 2004, for new Columbian Financial Services Authority at Externado University, Bogotá.

“ The Critical Regional Component to Ensuring Financial Stability,”, Keynote remarks, IMF/WB Conf. on Financial Stability in Tunis, Tunisia , March 2004.

“ A Rule-Oriented Matrix Approach”, APEC Policy Dialogue on Financial Stability, Kuala Lumpur, Malaysia, February 2004.

A series of three papers/presentations related to comparative aspects of bank insolvencies for World Bank “Bank Insolvency Initiative” delivered in Basel, Warsaw and Montivideo (2002-2003).

“Trends in International Banking Regulation Post Sept 11th”, Conference on Consumer Finance Law Conference, London, July 8-12 2002.

“IFIs and Legal Reform Programs,” Sir Joseph Gold Conference, Part I (March 2001, Dallas), and Part II (June 2001, London).

“The Internet and Global Securities Offerings”, RIZ Conference, Cologne (April 2001).

“Bank Failure Management: Assessing the Environment,” APEC Policy Dialogue (Acapulco, June 2001).

“A Class-Action Statute for Sweden?- An American Perspective,” Inaugural Lecture of Stockholm’s New Centre for Commercial Law Studies (June 2001).

“Evaluating Multilateral Legal Reform Programmes,” World Bank/Government of Russia’s Global Conference (St. Petersburg, July 2001).

“Coping with Future Financial Crises” (co-presenter), Central Bankers Conference, Cambridge (UK) (Sept. 2001).

“Issues of Privacy vis-à-vis Financial Institutions and Electronic Commerce”, 2nd Annual Schmitthoff Conference, London (Nov. 2002).

“Prevention of Financial Crises in a Global Context: Legal and Institutional Arrangements- A Comparative Assessment”, World Bank/Federal Reserve System Conference on Policy Challenges for the Financial Sector in the Context of Globalization (June 12-15, 2001, Washington, D.C.).

Modernization of the U.S. Banking Regulatory Structure,” London Financial Markets Group Conference (Dec. 2001).

"Trends in International Bank Supervision and Regulation," IMF Annual Meeting of Central Bank Lawyers, May 2000, Washington, D.C.

"Cross-Border Banks M&A -- A U.S. Perspective," RIZ Conference on Cross-Border Mergers and Acquisitions (Cologne, April 2000).

"The FTAA From a U.S. Business Lawyer's Perspective," Conference on FTAA, Tower Center, Dallas, Texas, March 2000.

"Inaugural Lecture - Globalization, Financial Law and the Future of Hong Kong," Hong Kong University (Oct. 1999).

"A New International Financial Architecture?," The Oxford Executive Banking Forum (Oct. 1999) (Oxford, England).

"Dispute Resolution Alternatives in International Financial Transactions," Cologne Conference



on International Financial Law (March 1999).

"Financial Sector Restructuring: The Law Based Dimensions" (W.G. Hart Workshop July 98) - Institute for Advanced Legal Studies (London)

"International Financial Sector Reform and Southern Africa," Professorial Inaugural lecture at Rand Afrikaans University, Johannesburg (April 1998).

"Latin America and the East Asian Financial Crises: Any Lessons?," 3rd Annual High Level Conference of Latin American and Caribbean Bank Supervisors, Madrid, May 1998, and revised version delivered at SMU/UBA 5th Annual International Conference, Dallas, October 1998

"Legal Education at the University of Peking in the 21st Century: The Expanding Curricular Importance of a 'New Banking Law'," presentation for 100th Anniversary of University of Peking (May 1, 1998)

"International Financial Crises: Implications for Financial Stability in China," presentation for 100th Anniversary of University of Peking (May 2-3, 1998)

"A Fundamental Threat/Challenge to the PRC Banking System: The Restructure of SOE=s," Hainan Banking Institute, PRC, April 1997

"Prospects for EMU@ - Dallas Consular Corps, April 1997.

"Environmental Liability for Lenders: U.S. and Comparative Observations," Univ. of Stockholm Law Faculty, June 1997.

"The Challenges of Multi-functional Banking," Annual Banking Law Conference, Johannesburg, South Africa, May 1997

"The Hong Kong Handover: Relevance to PRC Capital Markets," U. of Beijing Int=l Conference in PRC/Hong Kong Capital Market Reform, June 1997.

"Fundamentals of U.S. Real Estate Financial Law: Perspectives for Mercosur Cross-Border Trade and Investment," 4th Annual SMU/U. London/UBA International Banking and Finance Law Conference, Buenos Aires, Sept. 1997.

"International Cooperative Efforts and Implications for Law Reform," U. of London/EBRD/ IMF Conference on Bank Failures and Bank Insolvency Law in Economics in Transition (London, Oct. 1997).

"Reform of Bank Regulatory and Supervisory Structures," 2nd High Level Conference of Latin American Banking Supervisors (SELA, Caracas, Nov. 1997)

"The Asian Financial Crises and Lessons for.. .Egypt," Egyptian Central Bank, Cairo, March

1998; "... for Southern Africa," Annual Banking Conference, Johannesburg, May 1998; and "... for PRC,@ 100th Anniversary Celebration, University of Beijing, May 1998.

"International Financial Law in Context" (Dallas Bar Annual Int'l Law Seminar, Jan. 1997).

"Selective Implications of the Mexican Peso Crises for Regional Economic and Trade Arrangements in the Americas," U. Buenos Aires, London/SMU Annual Conf. (Sept. 1996).

"Stock Exchange and Capital Market Regulation for Central and Eastern European Countries," Max-Planck Institute 70th Anniversary Conference (Hamburg, June 1996).

"Banks and Securities Activities: Barings and Beyond," Inaugural lecture for Association of Southern African Banking Lawyers (Johannesburg, April 1996).

"Hong Kong and the Development of Prudential Banking Standards," Hong Kong University Law Faculty, "25th Anniversary Conference" (Hong Kong, June 1995).

"A Level Playing Field for Borrowers and Lenders? - A Comparative Analyses of Lender Liability Developments under US & UK Law" - RAU Banking Law Institute - Annual 1995 Conference (April 1995, Johannesburg, S.A.).

"Fair Lending: An Untoward Governmental Intervention into Banking Regulation for a Social Agenda?" (Annual Banking Law 1995, Okla. City Univ. School of Law, Feb. 1995, Oklahoma City).

"Foreign Issues on U.S. Securities Markets: A Parochial International Approach?," (Cologne Institute of Banking, Nov. 1994, Germany).

"Fair Lending: Another Lesson from the U.S.?" Chartered Institute of Banking/CCLS Annual Banking Law Conference" (Oct. 1995, Selsden Park, London).

"International Banking Developments," SMU Annual Banking Law Conference, Sept. 1994.

"International Loan Syndications: A Transatlantic Perspective," Buenos Aires/London/SMU Conference on International Finance, Sept. 1994, Buenos Aires.

"Capital Market Reforms and Emerging Economies," at Max Planck Research Award Symposium at Konstanz, Germany, June 1994 (Univ. of Konstanz/Harvard Law School).

"Asset Securitization: The U.S. Phenomena," St. Gallen University Banking Law Conference Series, June 1994 (Zurick, Switzerland).

"Secured Credit Transactions in Mexico," (co-author) 10th Annual Commercial Lending Conference, SMU School of Law, Dallas, Texas (April 1994).

"Principles for Devising Appropriate Securities Regulation Frameworks in Emerging and Transitioning Economies," (Conf. Harvard University/U. of Konstanz, Konstanz, Germany, June 1994).

"Asset Securitization: Trends and Developments in the United States" (Euromoney Conf., London) (Fall 1993 on "Asset Securitization), an update version delivered in St. Gallen Switzerland, June 1994).

"Capital Adequacy and the U.S. Experience," (Euromoney Conf. Spring 1993 on "Capital Adequacy," London).

"The U.S. Banking Reform Legislation of 1991: More Regulatory Micro-Management and Supervision" (co-author), 10th Annual Conference of Association of European Teachers of Banking (Bangor, Sept. 1992).

"The European Community Banking Law Model," First Asian Academy on International Banking (Taipei, R.O.C., June 1992).

"Selective Key Aspects in Drafting Commercial Loan Agreements," Banking Law 1992 (Okla. Banker's Assoc. and Okla. Univ. Law School, Sept. 1992).

"The Legal Implications of the EC Bank Convergence Efforts," Bank of England Internal Seminar (Dec. 1990).

"A Lawyer's Reflections on Developing International Capital Adequacy Standards and the Role of Legal Regulation," European Association of University Teachers of Banking and Finance, Gothenburg, Sweden, Sept. 1990.

"The Development of International Banking Rules on Prudential Supervision," Centre for Commercial Law Studies London Conf., July 1990.

"Lender Liability in the United States," Chartered Institute of Bankers Conference, December 1989 (Creton, England).

"The Top Ten Lender Liability Cases - 1989," Annual Banking Law Institute (University of Texas, January 1990).

"Bank Regulatory Aspects of Asset Securitization," Centre for Commercial Law Studies, London Conf., July 1989.

"Financial Institution Restructuring and Reform in U.S.A.," European Association of University Teachers in Banking and Finance, Dublin, Sept. 1989.

"Convergence of International Bank Supervisory Standards," Univ. of Konstanz, West Germany, July 1989.

"Collateral Liability Issues (Aiding and Abetting, Conspiracy, Respondeat Superior)," 1988 SMU Civil Rico Conference.

"The Role of the FDIC: Implications for the Bankruptcy Attorney," 1988 SMU Advanced Bankruptcy Conference.

2 Papers presented at SMU Third Annual Short Course on Banking Law, Sept. 1987.

3 Papers presented at SMU Third Annual Commercial Lending Institute, April 1987.

"Lender Liability Issues," SMU 1987 Advanced Bankruptcy Conference.

3 Papers presented at SMU School of Law Second Annual Institute on Fundamentals of Commercial Lending, 1986.

"Countertrade: A New Challenge for the Tax Laws," presented at IRS Conference on Economic Trends and Challenges, Washington, D.C., Nov. 19-22, 1985, and at IRS Regional District Meeting, January 1986, formed direct basis of formal IRS study and report (1987).

"Banking Institutions and the Securities Laws: A Reassessment," presented at Second Annual Short Course on Law of Banking Institutions, SMU - Dallas, December 1, 1985.

"Domestic Commercial Lending: Impact of Selected Federal Laws (with note on emerging theories of lender liabilities)," IBAT Conference, Austin, Nov. 4, 1985.

"Banking Institutions and Commercial Lending: Impact of Selected Federal Bank Legislation and Regulations," submitted to SMU First Annual Workshop on Commercial Lending (April 1985).

"Export Trading Companies and Improving U.S. World Trade," SMU Third Annual Institute on International Finance (Nov. 11-15, 1984).

"Recent Legislative Developments on the Mergers of Banking Institutions," Banking Law 1984, Oklahoma City (November 29-30, 1984).

"The Regulatory Scheme for International Operations of U.S. Banks," First Annual Short Course on Law of Banking Institutions, SMU - Dallas, Dec. 1, 1984.

"Recent Developments in International Trade Financing," Pre-Annual American Society of Int'l Law Meeting, April 1984.

"Shareholder Agreements," Symposium Proceedings - State Bar of Texas Advanced Corporation Course (April 1984).

"The European Community and International Law," SMU Conference on Public International Law and the Future World Order (March 1984).

"Recent Developments in United States Banking Laws: 'Deregulation' or 'Reregulation'?" Public Lecture, Soochow University, June 21, 1983.

"Perspectives on a United States Business Law Practice: Some Comparative Lessons for Chinese Law Educators," Chinese Comparative Law Society (June 1983).

"Comparative Analysis of Extraterritorial Subject-Matter Jurisdiction of Antitrust Laws: U.S. and the EEC," Symposium Proceedings, SMU Conference on 25th Anniversary of European Economic Community (1983).

"Bird's-eye View of U.S. Banking System," Symposium Proceedings SMU Institute on International Banking and Finance - 1982.

"The United States Banking System," submitted to Beijing Academy of Social Sciences (1983).

"Expanding Powers of Banking Institutions," Symposium Proceedings - Banking Law 1982 (Oklahoma City).

"Federal and State Usury Law Developments," Symposium Proceedings, Sw. Legal Foundation, Banking Law Institute (1981).

"Overview of Bank Commercial Lending Activities," Symposium Proceedings - Banking Law (1980) (Oklahoma City).

"Sophisticated Techniques for Controlling the Closely Held Corporation," Symposium Proceedings - State Bar of Texas, Advanced Corporation Course (1980).

#### **D. Miscellaneous Items**

For "Business and the Law" column Dallas, Inc. - weekly business supplement of Dallas Times Herald - regular contributor, 1985-87, on domestic and international business and banking matters. Contributor of 26 columns.

### **VIII. RELATED ACADEMIC TEACHING AND RESEARCH ACTIVITIES**

#### **A. Courses Taught.**

1. SMU since 1972: Business Enterprise, Banking Law, International Banking and Finance, International Business Law, NAFTA, European Community, Securities Regulation, Torts, Property, Perspectives of American Legal Systems, Private International Law, International Economic Development Law. Teaching has been at both the first-law degree and postgraduate law levels.

2. London from 1988- 2005: Banking Law, Regulation of Financial Markets, Securities Regulation, Legal Aspects of International Finance, International Trade Law, International Economic Law, Emerging Markets. Teaching has been at postgraduate law level.

## **B. Special Course Development**

1. SMU:

Established the following new additions to the Law School's curriculum: (a) International Economic Law and Development; (b) Globalization and the Law; (c) Global Financial Market Regulation; (d) "NAFTA"; (e) Perspectives of American Business Laws (for overseas students); (f) International Business Transactions: European Community (substantially restructured the old "Common Market" course); (g) International Banking and Finance; (h) Special Legal Writing and Tutorial for international students; (i) Special Extern Program for international students; (j) Banking Law; (k) Commercial Lending; (l) restructured course in Perspectives of American Laws; and (m) helped organize course in Perspectives of U.S. Taxation for Foreign Transactions in the United States

2. London:

Developed new LL.M courses in (a) U.K. and E.C. Bank Regulation; and (b) Emerging Markets. Restructured LL.M courses in (a) International Economic Law, (b) Legal Responsibilities of Banks (with a new "umbrella course heading), (c) Legal Aspects of International Banking and Finance, (d) Securities Regulations. Established a specialty LL.M in "Banking and Finance Law" and in "International Economic Development Law, Organized a Special Swedish Law Student Program (1999-present).

## **C. Special Research Projects**

Co-Director, "Special Long-Term Research Project on the Private and Public Law Implications of a Single European Currency" (CCLS, London, 1995 - ) (in conjunction with the London Financial Law Panel) (2 research seminars and two published volumes to date).

## **D. Editorships**

Editor-in-Chief, The International Lawyer (ABA quarterly international law journal, 17,000 worldwide distribution), from August 1986 to August 1996 and 1999-2001; Senior Consulting Editor, 1997-99. Currently, Chair of Advisory Board.

Founder and Editor-in-Chief, Law and Business Review of the Americas (ABA/SMU/CCLS) (quarterly journal) (1994-present).

Editor-in-Chief, Yearbook on International Financial, Economic and Development Law (1996-present). (Now annual Studies Series)

General Editor of Kluwers Law Int'l "International Banking and Finance Regulation Series" (22 volumes completed) and "International Development Law Series" (14 volumes to date).

General Editor of CCLS/London Institute's Lloyds of London Press, "Banking Law Series" (since 1992) (10 volumes completed to date).

General Editor, Sir Joseph Gold Memorial Series on International Monetary and Financial Law (British Institute of International and Comparative Law) (2001-present) (4 volumes to date).

General Editor, SMU-Matthew Bender, Doing Business in Mexico (1980-1991).

Editorial Board Member, International Trade Law Quarterly (1997-99).

Editorial Board Member, Hong Kong Law Review (1999-present).

Editorial Board Member, Banking and Finance Law Review (1998-present).

Editorial Board Member, Journal of International Bank Regulation.(1999-present).

#### **E. Visiting Positions**

Nomura Distinguished Visiting Professor of Law, Harvard Law School (Spring 2005)

Visiting Professor of Law, University of Tokyo (Japan) (invited Spring 2002 and approved by Tokyo faculty; but had to decline at last minute due to unforeseen compelling reasons arising with London Centre).

Vice Chancellor's Distinguished Visiting University Professor of Law, University of Hong Kong, 1999-2001.

Visiting Professor of Banking Law, Faculty of Law, Peking University, Beijing, 2002-present.

Visiting Professor of banking Law, Shanghai University of Finance and Economic, 2004-present.

Sometime Visiting Professor of International Business Law, Institute of International Business Law, Faculty of Law, University of Muenster, West Germany, 1987-1999 (teaching annually international and U.S. business-related subjects).

Visiting Professor, Center for Financial Law Studies, Peking University, Beijing, 2002-present.

Visiting University Professor of Law (Chair Status), Rand Afrikaans University, Johannesburg, South Africa, 1997-2005.

Visiting Professor, Mandela Institute, Wits University, Johannesburg, 2002-2005

Professorial Fellow in Financial Institution Law, British Institute of International and Comparative Law (London ) (2003-present).

Visiting Professor of International Banking Law, San Diego International Law Summerschool, London (July 1998, 1999, 2000, 2001,2002 and 2004).

Faculty, International Development Law Institute (Rome, June 1996, and October 1998).

Lecturer at Duke Law School Summer Programs (Brussels, July 1993) on "European Banking Law" and in July 1994 on "International Aspects of U.S. Banking Law."

Visiting Lecturer, University of Buenos Aires, Graduate Program (Buenos Aires, Sept. 1995).

Visiting Lecturer in Law, Centre for Southern African Banking Law Studies, RAU, Johannesburg, S.A. (April & Aug. 1995).

Faculty Member, "Duke in Hong Kong Summer Law Programme" (1995).

Lecturer at SMU Law School's Summer Program, Oxford University (1989, 1991 & 1992).

Visiting Senior Research Fellow, Institute for European Finance (U.K.), 1989-present, and College Fellow, University College of North Wales, 1989-present.

Lecturer at, SMU Law School's Summer Program, University of Edinburgh (1988).

Visiting Professor, Soochow University - Taiwan (Spring Semester 1983), course on International Banking.

Visiting Lecturer, Jaio Tong University, Shanghai (Summer 1983).

Visiting Lecturer, East China Law School, Shanghai (Summer 1983).

Visiting Lecturer, Shanghai Institute of Economics & Finance (Summer 1983).

Visiting Lecturer, Beijing University (Summer 1983).

Visiting Lecturer, Northwestern University, Xian, P.R.C. (Summer 1983).

## **F. Postgraduate Research Supervision**



1. London:

Currently responsible for an annual contingent of doctoral research students in banking/financial law comprising ten in-resident doctoral students: three received their doctorates in each of 1997, 1998, 1999, 2000, 2001, 2002 and 2003. In addition, annually, oversees the supervision of 8-10 LL.M Extended Essay Students. Also, assists CCLS students in subsequent publication of their work. For example, in 1998 and 1999, eight books were published based on doctoral work and twelve external articles were published by postgraduate students.

2. SMU:

Annually conducts two edited-writing seminars (in Banking and on NAFTA), supervises student comment writing for NAFTA Review and supervises 4-6 additional individual research papers. Also, has successfully supervised four S.J.D. candidates for SMU, and has four SJDs currently in residence. Various student papers are able to be externally published annually.

### **G. Special Academic Positions**

Chief Law Examiner, University of Hong Kong (1995-1999 ).

Senior Member, European Association of University Teachers of Banking and Finance, 1989-present.

Godbey Author's Award (April 1996) (Southern Methodist University).

Board Member, Asian Academy of International Finance (Hong Kong, 1998- ).

External Examiner (Msc Banking and Financial) University of Malta, 2001-present.

External Examiner (LL.M. Int'l Econ. Law), University of Warwick (1995-1998).

Government Assessor, Banking Law Institute, Johannesburg, South Africa (1996-present).

### **H. Fund/Grant Involvement**

Established Sir John Lubbock Support Fund with major London financial institutions for support of CCLS-IFLU.

Negotiated Sawiras Foundation "Shihata Postgraduate Scholarship" at CCLS-London.

Negotiated external funding for various components of the London Form of International Economic Law and Development.

Made original proposal to Dorothy Lee (in 1980), resulting in \$1.5 million Richard Lee Trust for SMU Law School in 1993.

Negotiated and arranged with Sir Joseph Gold for the bequest of his invaluable collection on

international monetary materials to the SMU Underwood Law Library.

Pursued, negotiated and consummated Chinese scholarship from Asia Foundation at SMU (1984-88).

Pursued, negotiated and consummated special Esso Exploration Scholarships for Chinese Students at SMU (1982-86).

Organized and made proposal for funding of two research fellowship positions at CCLS-London (1997- ).

## **IX. CONFERENCES AND PUBLIC LECTURES**

A. Conference Papers Presented (See VII C above)

B. Conferences Organized and Directed (Total 65 in 4 Countries)

1. University of London/London Institute

Comparative Dimensions of Corporate Governance June 2002 and 2004)

Sir Joseph Gold Memorial Conference (Dallas, March 2001; and June 2001  
London)

English Banking Law (3 days)

. May 1996

. October 1996

Bank Fraud & Crime (3 days) (March 1997)

"Prospects for Mercosur" (Sept. 1996, Joint Conference, CCLS, London, UBA & SMU, Buenos Aires).

Special EMU Research Seminars (FLP, King's London, CCLS)

January 1996 (2 days) (Public Law & Institutional Aspects) (London).

October 1996 (2 days) (Private Law Aspects) (London).

EBRD/IMF Conference on Bank Solvencies in Emerging Economies (Oct. 1997).

EBRD Model Secured Transactions Law (Oct 1995, Joint Conference with EBRD, CCLS, King's College and SMU, London)

Asset Securitization and Developing Economies (Joint Conference, CCLS, London, UBA & SMU, Buenos Aires, Sept. 1995).

Summer School on International Banking and Finance (London);

- two week conference (July 1997)
- two week conference (July 1996)
- two week conference (July 1995)
- two week conference (July 1994)
- one week conference (July 1993)

Emerging Financial Markets and IFI's (May 1995, Joint Conference with EBRD, CCLS, Kings College and SMU, London).

#### Annual Banking Law Conference

- Chartered Institute of Bankers/CCLS, Creton, England, Nov. 1992, "Banks and Crimes" (co- organizer)
- CIB/CCLS Creton, England, Nov. 1993, "Electronic Banking: Risks and Opportunities."
- CIB/CCLS London England, Oct. 1994 "Banking Law and Marketing of Banking Products."

Asian Academy of International Banking (sponsored by CCLS and Soochow University, Taipei, R.O.C., June 1992) (co-organizer).

Prospects for International Banking and Finance in the 1990s (July 9-11, 1990) and Global Financial Institution Restructuring (July 12-13, 1990), Centre for Commercial Law Studies (London).

International Dimensions of Asset Securitization, Centre for Commercial Law Studies, Univ. of London, (July 1989).

Joint Conference (London, U. of Buenos Aires, SMU) on International Banking and Finance, Sept. 1994, Buenos Aires.

## 2. SMU

SMU/UBA 1st-4th Annual Conference in International Banking Law (Dallas/Buenos Aires).

SMU, The North American Free Trade Agreement (January 1994, Dallas) (thru TIL Student Board).

SMU, Institute on International Finance (an interdisciplinary conference).

- Fifth Institute, "International Tax Planning After the Tax Reform Act of 1986." (Jan. 1988)
- Fourth Institute, "Prospects for International Lending and Reschedulings," April 16-18, 1986.
- Third Institute, "World Trade and Trade Finance," November 15-16, 1984.
- Second Institute, "Prospects for the Multinationals in the 1980s," November 9-10, 1983.
- First Institute, "Internationalization of U.S. Capital Markets," November 10-11, 1982.

SMU School of Law, Annual Institutes on Fundamentals of Commercial Lending.

- Eleventh Annual Institute, April 21-24, 1995
- Tenth Annual Institute, April 13-15, 1994
- Ninth Annual Institute, April 21-23, 1993
- Eighth Annual Institute, April 29-30, 1992
- Seventh Annual Institute, April 17-19, 1991
- Sixth Annual Institute, April 5 & 6 and 12-13, 1990.
- Fifth Annual Institute, April 24-28, 1989
- Fourth Annual Institute, April 13-17, 1988.
- Third Annual Institute, April 27 - May 1, 1987.
- Second Annual Institute, April 28 - May 1, 1986.
- First Annual Institute, April 29 - May 2, 1985

SMU School of Law, Annual Institute of Law Banking Institutions.

- Tenth Annual Institute (co-director), September 1995.
- Ninth Annual Institute (co-director), September 1994.
- Eighth Annual Institute (co-director), September 1993.
- Seventh Annual Institute, September 12-14, 1990.
- Sixth Annual Institute, Sept. 7-8, 1989
- Fifth Annual Institute, October 18-21, 1988
- Fourth Annual Institute, Sept. 21-25, 1987.
- Third Annual Institute, Nov. 7-11, 1986.
- Second Annual Institute, December 2-6, 1985.
- First Annual Institute, December 3-7, 1984.

SMU School of Law, Annual Lender Liability Update

- 1990 (August 24-25, 1990)
- 1989 (August 25, 1989)

SMU School of Law, White Collar Crimes (May 1991).

SMU School of Law, Civil Rico - 1988 (Feb. 1988).

SMU School of Law, Advanced Bankruptcy Law Institute

- Fifth Annual Institute (June 1991)
- Fourth Annual Institute (Feb. 1990)
- Third Annual Institute (Feb. 1989)
- Second Annual Institute (Jan. 1988)
- First Annual Institute (Jan. 1987)

Recent Developments in International Arbitration, Trade and Investments, pre Am. Soc. Int'l L. Annual Meeting Conference (April 1984).

SMU School of Law, Public International Law and the Future World Order (March 1984).

SMU, The 25th Anniversary of the European Economic Community: A U.S. - European Dialogue (April 4-5, 1983).

## **X. COMMUNITY SERVICE**

Commits a minimum of 200 professional hours per year to pro bono activities annually since 1973.

Organizer and Participant, East London Partnership (CCLS) (1994-1997).

Member of Board of Directors of Dallas Legal Services, 1974-81; 1984-1987; Chairperson and President 1976-1977. Member of Executive, Audit, Finance and Evaluation Committees.

Member of Board of Visitors of Southern Methodist University School of Law, 1973-80.

Former member of Zoning Ordinance Advisory Committee, City of Dallas.

Attorney for Channel 13 (Public Broadcasting Station in Dallas) on pro bono basis 1972-1975.

Draftsmen (pro bono), 1973 legislative program - Dallas County.

Co-established with Dallas Bar Association a pro bono scheme for major Dallas law firms in 1974 for representation of the poor.

Participant in Goals for Dallas Bar Association (1974).

## XI. REFERENCES

1. Dr Paatii Ofusu-Amaagh, Former Vice president and Secretary, World Bank Group  
World Bank  
Washington, DC
2. Dr. Ernesto Aguirre  
(Program Manager-Bank Regulation)  
World Bank  
Washington, D.C.
3. Professor Alan R. Bromberg  
Distinguished University Professor of Law  
SMU School of Law  
Dallas, Texas 75275-0116
4. Professor and former Dean Albert Chen  
Faculty of Law  
Hong Kong University  
Hong Kong
5. Professor Roy Goode  
Norton Rose Professor Emeritus of English Law  
St. John's College, Oxford University  
Oxford, England
6. Professor Dr. Bernhard Grossfeld  
Director Emeritus, Institute for International Business  
der Westfalischen Wilhelms-Universitat Muenster  
Universitätsstrasse 14-16  
D-4400 Muenster, Germany
7. Professor John J. Jackson (former academic adviser)  
Georgetown University Law Center  
600 New Jersey Avenue, N.W.  
Washington, DC 20001
8. Dr. Daoud Khairallah  
(former Deputy General Counsel at World Bank and IFC)  
White & Case  
Washington, DC

9. Justice Ross Cranston (former Director of CCLS, University of London)  
High Court of England  
London
  
10. Mr. Homer Moyer (former Chair of Section of  
International Law and Practice American Bar Association)  
Miller & Chevalier  
Metropolitan Square  
655 15th Street, N.W.  
Washington, D.C. 20005
  
11. Prof. Jeswald W. Salacuse (former Fletcher and SMU Law Dean)  
The Fletcher School of Law and Diplomacy  
Tufts University  
Packard Ave.  
Medford, MA 02155
  
12. Mr. Philip Wood, Senior Banking Partner  
Allen & Overy  
London