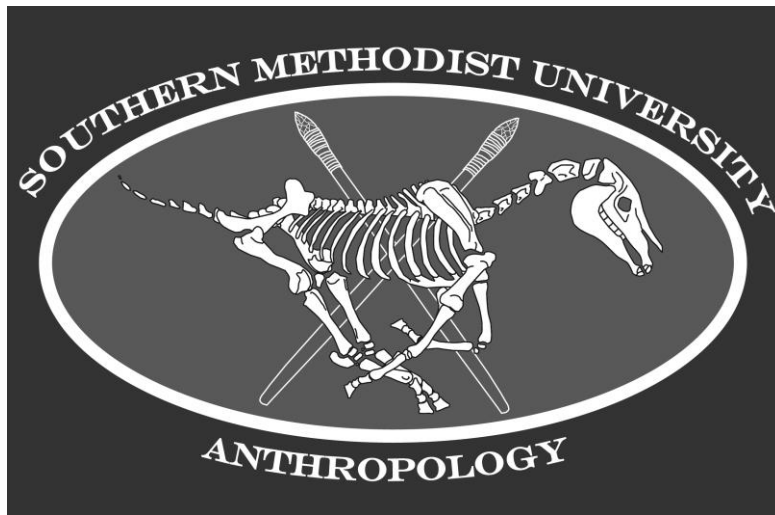


**GRADUATE STUDY**

**SOUTHERN METHODIST  
UNIVERSITY**



**ANTHROPOLOGY  
DEDMAN COLLEGE**

**2017-2018**

## Redbook: Table of Contents

### Tab 1: General Overview/Policies

• Introduction	5
• Intellectual Goals	5
• Admission	6
• Mentors and Advisors	6
• Student Office Space	7
• Copy-Making/Printing Policy	7
• Leaves of Absence for Medical, Familial, and Personal Reasons	7
• Academic Appeals	8
• Representation	8
• Statement of Nondiscrimination	8

### Tab 2: Financial

• University/Department Based Assistantships	9
○ University PhD Fellowship	9
○ Dean's Fellowship	9
○ Teaching Assistantship	9
○ Department Assistantship	10
○ Graduate Research Assistantship	10
○ Reader/Grader	10
○ Campus Jobs	10
○ Federal Work Study Program	10
• Tuition Awards	10
○ Tuition Award	10
○ Tuition Equalization Grant	11
• External Funding	11
○ Fellowships and Grants	11
○ Student Loans	11
• Research and Travel Awards	11
○ Open	11
▪ Graduate Student Travel Grant	11
▪ Graduate Student Assembly Funding	11
▪ Paul Steed Travel Awards	11
▪ Departmental Travel Awards	12
○ Cultural/Medical Student Awards	12
▪ Mary Moore Free Ethnology Research Award	12
▪ Robert Van Kemper Endowment Fund	12
○ Archaeology Student Awards	13
▪ Garry A. Weber Archaeology Dissertation Pilot Project Award	13
▪ ISEM Research Grants	13

• Dissertation Write-Up Awards	13
○ Garry A. Weber Graduate Fellowships	13
○ Dissertation Fellowship Awards	13
• Research Awards/Prizes	13
○ Graduate Research Day Awards	14

**Tab 3:        Positions and Training**

• Graduate Training in Teaching Requirement	15
• Requirements for Teacher Training	15
○ Attendance and Participation in CTE Programs	15
○ Review of CTE On-Line Resources	15
○ Attendance and Participation in CTE Micro-Workshops	15
○ Design and Deliver a Lecture	15
• Teaching Assistants, Graduate Research Assistants, Graders: Training, Responsibilities, and Obligations	15
○ Teaching Assistants (TAs)	16
○ Graduate Research Assistants (GRAs)	18
○ Graders	19

**Tab 4:        Progress in Program**

• Coursework Guidelines	20
• Course Waivers and Transfer Credits	20
○ Required Courses	21
○ Elective Courses	21
○ Petition Process	21
• Standards and Evaluation	21
○ Standards of Performance in All Programs	21
○ Evaluating Student Performance	22
▪ Performance in Classroom/Coursework	22
▪ Performance in Teaching/Departmental Responsibilities (TA/DA/GRA)	22
▪ Performance in Research and Fieldwork	23
▪ Written Statement of Evaluation	23
○ Removal from Program and Appeals	23
○ Language Requirement	23
• PhD Program University Guidelines and Requirements	24
○ Residency and Coursework	24
○ Normal Sequence for Completing PhD Requirements	25
○ Scheduling of Exams, Proposal, and Defense	25
○ MA Degree en Route	26
○ Admission to Candidacy for the Doctoral Degree	26

○ Your Dissertation	26
○ Dissertation Defense	27
○ Graduation Ceremonies and Doctoral Hooding	28
○ Failing the Doctoral Defense	28
○ Time Limits	28

**Tab 5: PhD Degree in Cultural Anthropology**

● Introduction and Overview	30
● Coursework	30
● Progression through the Program	31
○ Faculty Mentor and Advisor	32
○ Preparation for the Language Exam	32
● MA General Examination en route to the PhD	33
● Preliminary Dissertation Research	33
● PhD Candidate Requirements	34
○ Bibliography	34
○ Written PhD Qualifying Examination	34
○ Policy on Changes to Region/Theoretical Focus <i>After</i> Qualifying Exams	35
○ Dissertation Proposal and Defense	36
○ ABD – PhD Candidacy to PhD	36
○ Conducting Your Dissertation Field Research	36
○ Writing Your Dissertation	36
○ Dissertation Defense and Graduation	37
● Projected Sequence and Timetable: Cult/Med PhD Program	38

**Tab 6: PhD Degree in Archaeology**

● Introduction and Overview	39
● Coursework	39
● Graduate ‘Training in Teaching’ Requirement	40
● Progression through Program	41
○ Faculty Mentor or Advisor	41
○ Preparation for the Language Exam	41
○ MA General Examination	41
○ Continuing Course Requirement	42
○ PhD Qualifying Examination and the PhD Proposal Defense	42
▪ The Written Exam	43
○ Schedule	43
○ Proposal Defense	43
○ PhD Candidacy (ABD, “All But Dissertation”)	44

○ The PhD Dissertation	44
▪ Committee	44
▪ During Field Work	44
▪ Dissertation Production	44
▪ Scheduling the Defense	44
▪ The Defense	45
● Projected Sequence and Timetable: Archaeology PhD Program	46

**Tab 7:       The MA Degree in Medical Anthropology**

● Introduction and Overview	47
● Coursework	47
○ Required Courses	47
○ Elective Courses	47
● Field/Clinical Experience	48
● MA Examination in Medical Anthropology	49
○ MA Examination Committee	49
○ The MA Degree	49
● Projected Sequence and Timetable: Medical MA Program	50

**Tab 8:       Faculty**

● Full-Time Faculty	51
● Anthropologists in other Departments, Schools, etc.	52
● Emeritus Faculty	52

**Tab 9:       Appendices**

● Language Exam	53
● IRB (Human Subjects Research) Application	56
● Self-Evaluation	57
○ Guidelines	57
○ Sample	58

## General Overview/Policies

### A. Introduction

Welcome to our community of graduate students, faculty, and staff. Please read this guide carefully. It contains information vital to your success in the program. Refer back to it often. You are responsible for being aware of all relevant information in this Redbook. It will answer many, if not all, of your questions about our procedures and programs. It is intended to provide a helpful summary of and supplement to the *Dedman College Graduate Catalog*. In the event of a discrepancy between these two documents, the *Dedman College Graduate Catalog* takes precedence. **Should the University make a change to the policies governing the Graduate Program after the publication of this Redbook, those policies will override any listed in this Redbook.**

This 2017-2018 edition of the *Redbook* provides guidelines for this academic year and – for entering graduate students – will continue to serve as your guide until you complete your degree requirements. Our curricula are reviewed periodically, with considerable attention given to student course evaluations. While you are with us, there may be some program changes, often in response to student suggestions. Changes in requirements are never retroactive. You may follow the guidelines in effect at the time you entered the graduate program or you may elect to adopt a subsequent set of guidelines published in a later *Redbook*. However, you cannot “mix and match;” you must follow all of the procedures in a given *Redbook*.

Beyond the *Redbook*, you should consult other information sources, including the *Dedman College Graduate Catalog* for the current year and the *Schedule of Classes* for the current semester. You also should consult the SMU Home Page and the Department Home Page at: <http://www.smu.edu/anthro>. The text of the current *Redbook*, with additional material and links, is incorporated into the Anthropology Department website.

### B. Intellectual Goals

The Department of Anthropology offers two degree programs: the MA in Medical Anthropology and the PhD in Anthropology (with an MA awarded en route to the PhD). In the PhD program, you can concentrate in Archaeology or in Cultural Anthropology either in “Medical Anthropology” or “Globalization and International Development.” The details of these programs are outlined toward the end of this document (starting on page 30). What follows is a description of the shared elements of our graduate program in anthropology.

Our program is designed to produce scholars – creative, energetic, articulate, and literate scholars. We endeavor to produce anthropologists whose research is sufficiently innovative to attract the notice of their peers, who will seek and receive research funding, and who will apply their training to the constructive development of the human groups with whom they work. It is our aim to train students in specialized skills based upon the broad understanding of anthropology acquired before entering our programs. Beyond providing you the skills necessary to becoming a professional anthropologist, the faculty also will endeavor to impart a professional attitude and a desire to practice those skills with precision and with passion. Your reputation demands nothing less.

## C. Admission

Students holding a Bachelor's degree or Master's degree in Anthropology can apply for admission directly to the Office of Research and Graduate Studies, SMU, Dallas TX. Applications are made online at <http://gradadmission.smu.edu/apply/>. Admission requirements and other information are contained in the current *Dedman College Graduate Catalog* or can be found on the Dedman College application page (<https://www.smu.edu/graduate/ProspectiveStudents/AppInstructions>). Only a limited number of students are accepted. Three letters of recommendation are required of all applicants.

To be admitted to the Department, you should have:

1. A bachelor's degree (or its equivalent from a foreign university), with either a major in Anthropology or at least 12 semester hours of junior & senior level anthropology courses;
2. At least a 3.00 (on a 4.00 scale) overall grade point average (GPA);
3. Strong letters of recommendation from three Professors familiar with your work and able to comment on your potential for becoming a professional anthropologist;
4. A Graduate Record Examination (GRE) combined score (verbal and quantitative) of at least 1200 or 310 on the revised scale; and,
5. A well-written "Statement of Purpose" outlining your reasons for wanting to join our Department as a learning place along your journey toward becoming a professional anthropologist.

**NOTE: To be considered for financial support, an application must be complete and submitted by January 15<sup>th</sup> of the calendar year in which the applicant is seeking Fall semester admission.**

After your application is complete, the Departmental Admissions Committee reviews your record, and a consensus on admittance is reached. Our recommendation is forwarded to the Dean of Research and Graduate Studies, who will write to you soon thereafter with the official letter of admittance – which you will need to sign and return promptly.

## D. Mentors and Advisors

Upon entering the program, you will select a Mentor, or have one assigned, who will guide you in the first year of your graduate program (helping you, for example, in selecting your courses and identifying appropriate committee members). If you are unsure who to select, feel free to talk to the Department Chair or Director of Graduate Studies for suggestions. In all faculty meetings dealing with student evaluations and financial support, your Mentor will present your case and endeavor to look after your interests until you have selected a Faculty Advisor, which must be done no later than end of first year. Please note that if your interests change, it is possible to change your Advisor, as may be appropriate. If you have any problems during your time in our program, you should first take them to your Mentor or Advisor, and if

a solution cannot be found then to the Department Chair. They will know which channels of formal communication should be used to solve your problem.

## **E. Student Office Space**

Student offices are located in the Heroy Building. Graduate students are not guaranteed office or laboratory space. Space is allocated based on the type of award and support received by the student (i.e., TA versus GRA). Laboratory space is allocated to specific students at the discretion of their Faculty Mentor or Advisor. Normally priority is given to students actively doing research who are holding GRA or TA appointments. Space may also be allocated to graduate students not holding these appointments based on availability and at the chair's discretion.

## **F. Copy-Making / Printing policy**

Because of the high cost of printing and copying, the following policies are in effect regarding the Anthropology Department printers/copy machine.

1. If you are required to print material for your job as TA or GRA on behalf of a faculty member, the department will provide these copies or print jobs. These items can be sent to Tiffany Powell, the Department Administrator for copying/printing or thumb drive can be used with the copier.
2. TAs, please take special note: It is the responsibility of the undergraduate students in your classes to submit their coursework either electronically or as hard copy as specified by you or the instructor. If you or the instructor specifies that electronic copies are acceptable, they will not be printed by the department.
3. The copier is not to be used by graduate students for printing required readings from your courses; the printer is not to be used for your research papers or exams. If, however, your course instructor requires that you bring to class copies of an assignment for distribution to all members of the class, these items can be sent to Tiffany Powell for copying/printing or thumb drive can be used with the copier.
4. Scanning is available at any time and for any purpose.

Students can pay for personal printing at any of the on-campus libraries using their SMU ID card.

## **G. Leaves of Absence for Medical, Familial, and Personal Purposes**

We have procedures in place for ensuring that you can maintain your status in our graduate program even if you encounter a medical, familial, or personal situation that prevents you from attending classes or otherwise participating in the program for an extended period. Please consult with your Mentor or Advisor, and if needed with the Department Chair, for the best path to follow for your particular case. It is important to have written documentation of your need for medical, familial, or personal leave of absence from the program.



If you have taken a leave of absence for any reason and wish to reinstate yourself in the program, you must contact the Chair of the Department and the Office of Research and Graduate Studies before the end of the first month of the semester preceding the semester in which you plan to re-enroll.

## **H. Academic Appeals**

You are entering a large and complicated organization. We try to be fair to all our members and to uphold high standards of academic training. Your performance in all coursework, and as a Graduate Research Assistant/Teaching Assistant, is regularly monitored by the faculty. In addition, you are expected to maintain the highest standards of professional responsibility during your graduate student career. Your grades and any written evaluations by those involved in your training will be kept in your file. These are available for your inspection, since we want you to know just where you stand.

Should you wish to appeal a decision concerning your progress, your faculty Mentor or Advisor will help you find appropriate channels. Remember, appeals can be handled within the Department either through your Graduate Representative at the monthly faculty meetings or through the advising system. It has been our experience that most appeals taken first to the Administration are promptly sent back to the Department for consideration.

## **I. Representation**

Each year the graduate students elect a member to represent them at our monthly faculty meetings. Your Graduate Student Representative is there to communicate the interests of the students in departmental affairs and to present to the faculty any student-generated proposals. The graduate student representative(s) will be determined prior to the first faculty meeting and communicated to the graduate students.

## **J. Statement of Nondiscrimination**

Southern Methodist University (SMU) will not discriminate in any employment practice, education program, education activity, or admissions on the basis of race, color, religion, national origin, sex, age, disability, genetic information, or veteran status. SMU's commitment to equal opportunity includes nondiscrimination on the basis of sexual orientation and gender identity and expression. The Executive Director for Access and Equity/Title IX Coordinator is designated to handle inquiries regarding the nondiscrimination policies, including the prohibition of sex discrimination under Title IX. The Executive Director/Title IX Coordinator may be reached at the Perkins Administration Building, Room 204, 6425 Boaz Lane, Dallas, TX 75205, 214-768-3601, [accessequity@smu.edu](mailto:accessequity@smu.edu). Inquiries regarding the application of Title IX may also be directed to the Assistant Secretary for Civil Rights of the U.S. Department of Education.

## Financial

Nearly all private Universities are expensive; SMU is no exception. Everyone, student and faculty alike, is concerned about securing and distributing financial support. The Department of Anthropology has a limited amount of financial support available for new and continuing graduate students. Decisions to award financial aid are based on the faculty's collective evaluation of overall student performance, made at special faculty meetings at the end of the Fall and Spring semesters. A notice of deadlines for student requests for support is posted well in advance of these meetings. If you are to receive support for the coming semester, the Departmental Chair will send you a letter following those meetings (generally in late December or late May) with details of your assignment and compensation. In addition to applying for financial support during coursework and preparing to go to the field, graduate students may also apply for semester-by-semester support once they have returned from dissertation fieldwork and are actively writing their dissertations, although funds are limited and competitive.

**Note: If you request any kind of financial aid (departmental or otherwise), you must fill out the free application for federal student aid. For current forms, consult the SMU Office of Financial Aid, at [www.smu.edu/EnrollmentServices/FinancialAid/TypesOfAid/GraduateFinancialAid](http://www.smu.edu/EnrollmentServices/FinancialAid/TypesOfAid/GraduateFinancialAid)**

### A. University/Department-based Assistantships

1. **University Ph.D. Fellowships** – SMU is proud to offer University Ph.D. Fellowships to outstanding Ph.D. and Ph.D.-equivalent graduate student candidates. This fellowship provides funding above the amount typically available to students through their department, and is renewable for a total of four years of support, contingent upon acceptable progress towards the degree. All students who apply to SMU by the priority deadline are eligible for the University Ph.D. Fellowship. Each department may nominate a limited number of candidates, and the nominees are reviewed by the SMU University Research Council, a committee of faculty members drawn from disciplines across SMU.
2. **Dean's Fellowship** – Outstanding applicants to the doctoral programs in Dedman College are eligible to receive a Dean's Fellowship in addition to their departmental funding. The Dean's Fellowship provides a stipend of \$5,000 per year for up to five years, contingent upon acceptable progress towards the degree. All students who apply to Dedman College Ph.D. programs by the priority deadlines are eligible for the Dean's Fellowship. Each department may nominate their outstanding applicants, and the nominees are reviewed by the SMU Faculty Council, which will recommend awardees to the Dean and Associate Dean for Research and Academic Affairs.
3. **Teaching Assistantships (TAs)** – Typically are paid a stipend plus remission of tuition/fees. Assignments are made by the Department Chair after consultation with faculty members.

**Note: Before you can participate in classroom instruction as a Teaching Assistant, you must attend a teaching assistant symposium sponsored by the Center for Teaching Excellence. Please see page 15 for more information.**

**Note: Basic health insurance coverage will be provided for students who maintain full-time status and have support through a stipend/assistantship of at least \$10,000/AY. This insurance is only available to students for the first five years of your enrollment.**

- 4. Department Assistantships (DAs)** – Typically pay a stipend plus remission of tuition/fees. Assignments are made by the Department Chair.
- 5. Graduate Research Assistantships (GRAs)** – are provided to graduate students who work on sponsored research projects led by individual faculty members. The compensation paid to GRAs may vary, according to the guidelines of the funding agency, and may cover summers as well as the fall/spring semesters. Under most circumstances, GRAs will receive remission of tuition/fees. Assignments are made by the Principal Investigator of the research project and confirmed by the Department Chair.
- 6. Readers/Graders** – From time to time, there are opportunities to work as a reader (or grader) for departmental or extra-departmental courses on an “as needed” short-term basis. Compensation and responsibilities will vary. Please see the Department Chair if you are interested in working in such a role.
- 7. Campus Jobs** – Over the years, graduate students in anthropology have been creative in finding employment on campus beyond the Department of Anthropology. They have worked in the libraries, in other academic centers (e.g., Women’s Studies Program Office), in the residence halls (as dorm directors), to name just a few. If you are being funded as a TA, DA, or GRA, before you can accept additional part-time or full-time employment on campus beyond our department, you must obtain approval from the Department Chair and the Dean of Dedman College. For more information about campus employment, contact:  
<https://www.smu.edu/EnrollmentServices/FinancialAid/TypesOfAid/StudentEmployment/WherecanIfindajob>.
- 8. Federal Work Study Program (FWSP) and Texas College Work Study Program (TCWSP)** funds are available at SMU for those financially eligible. If you believe that you are eligible, please indicate this in your application for admission.

## **B. Tuition Awards**

- 1. Tuition Awards** (covering tuition/fees) usually are tied to Teaching Assistantships, Department Assistantships, and Graduate Research Assistantships. In exceptional circumstances, partial tuition awards may be made to students in other situations to expedite their movement through the program.

2. **Tuition Equalization Grants (TEG)**, available from the state of Texas, (9 hours for one term). These are available only to Texas residents. If you believe that you qualify, please indicate this in your application for admission.

### C. External Funding Sources

1. **Fellowships and Grants.** Students are strongly encouraged to submit proposals to the National Science Foundation Graduate Fellowship Program, the Ford Foundation, and other appropriate agencies. Successful applicants may receive as much as \$30,000/year plus remission of tuition/fees, and health insurance coverage. Information is available through the Office of Research Administration, Dean of Research and Graduate Studies, the Department Chair, or your Advisor.
2. **Student Loans** are available through federally-supported and federally-non-supported programs, to those who qualify, from local or hometown banks.

### D. Research and Travel Awards

1. **Open to all Graduate Students**
  - a. **Graduate Student Travel Grants** – Graduate students are eligible to receive up to \$400 in reimbursement for travel expenses to attend a conference to present an accepted paper or poster. The proposals must be sponsored by the Department Chair and the Graduate Advisor. Note that travel grants cannot be awarded retroactively; proposals must be submitted before travel.
    1. **Due Date:** Applications are accepted year-round, but only one grant per student per academic year may be awarded.
    2. **Application:** Please visit [www.smu.edu/graduate/Funding/StudentDevelopmentGrant](http://www.smu.edu/graduate/Funding/StudentDevelopmentGrant) for the application link.
  - b. **Graduate Student Assembly Funding** – The Graduate Student Assembly provides funding for graduate students in each department in Dedman College. Funding may be used to cover thesis- and dissertation-related expenses, such as presenting a paper or poster at a convention or conference, or other graduate activities, namely attending conferences and purchasing membership in professional organizations and journals.
    1. **Due Date:** Applications may be submitted any time before the funding is necessary, or within 30 days of the activity for which funds are used (conferences, conventions, etc.).
    2. **Application:** Please visit [www.smu.edu/graduate/CurrentStudents/GSA](http://www.smu.edu/graduate/CurrentStudents/GSA) for the application link.
    3. The Department of Anthropology representative is Ryan Breslawski ([rbreslawski@smu.edu](mailto:rbreslawski@smu.edu)).
  - c. **Paul Steed Travel Awards** – (funded through The Paul Steed Endowment Fund) are designed to assist in defraying travel costs for graduate students delivering

papers or posters at professional meetings. Each applicant will be judged based upon the quality of a single paper/poster submitted to the Award Committee.

**Note:** Students must fill out all departmental travel forms and are required 1) to show that the paper/poster has been accepted at the conference; 2) to submit receipts for reimbursement; and 3) to submit a detailed projected budget if the conference has not yet been attended. See the Director of Graduate Studies for further information.

**1. Due Date:** Twice a year: last Friday in October and the Monday after Spring Break.

**2. Application:** Please visit:

[www.smu.edu/Dedman/Academics/Departments/Anthropology/Programs/Graduate/Fellowships](http://www.smu.edu/Dedman/Academics/Departments/Anthropology/Programs/Graduate/Fellowships)

d. **Departmental Travel Awards.** In addition to the Steed Travel Awards, departmental funds may be available to help you with expenses related to delivering papers at professional meetings. The procedures for evaluating papers and making awards are similar to that for the Steed Awards. See the Department Chair for further information.

## 2. Cultural/Medical students

a. **The Dr. Mary Moore Free Ethnology Research Awards** (funded by The Dwight A. and Mary Moore Free Endowment Fund) help to support graduate students conducting pre-dissertation research in cultural anthropology, typically during the summer following their first or second year of graduate study in the department. Awards are made after an evaluation of proposals submitted each spring semester. See the Department Chair for more information.

**1. Due Date:** The first Monday in April.

**2. Application:** Proposals should include a description of the project and its relationship to the student's anticipated dissertation project, a brief discussion of methods and data analysis, a time frame for the research activities, and an estimated budget. These are submitted to the Department Office.

**3. Expectations:** Students who receive support will be expected to deliver a final written report (limited to 10 pages) and a public presentation (e.g., a Brown Bag Luncheon talk) no later than the end of the semester following their return from field research.

b. **The Robert Van Kemper Endowment Fund for Research in Social and Cultural Anthropology** (funded through a bequest from the estate of George M. Foster, Professor *emeritus* at the University of California Berkeley). The fund will provide support for training and field research for graduate students in anthropology, primarily for preliminary field experience for doctoral candidates. The level of funding will be based on actual reimbursable expenses during the research project.

**1. Due Date:** The first Monday in April. Except in unusual circumstances, proposals will be received once a year for summer field research projects.

2. **Application:** Proposals should include a description of the project and its relationship to the student's anticipated dissertation project, a brief discussion of methods and data analysis, a time frame for the research activities, and an estimated budget. These are submitted to the Department Office.
3. **Expectations:** Students who receive support will be expected to deliver a final written report (limited to 10 pages) and a public presentation (e.g., a Brown Bag Luncheon talk) no later than the end of the semester following their return from field research.

### 3. Archaeology students

- a. **Garry A. Weber Archaeology Dissertation Pilot Project Award** is designed to assist archaeology graduate students in conducting preliminary reconnaissance, survey, or testing in their field area in advance of writing their Dissertation Improvement Grant proposals to the National Science Foundation. Funding will be competitive, and will normally be provided to students after their second year of graduate study (after successfully passing their MA exams), and in advance of enrolling in ANTH 7317 (Archaeological Research Strategies).
  1. **Due Date:** TBD
  2. **Application:** TBD
- b. **ISEM Research Grants.** The Institute for the Study of Earth and Man (ISEM) occasionally has funding for graduate student research.
  1. **Due Date:** Rotating basis.
  2. **Application:** You should prepare a short proposal and a budget. This should be approved with a letter of support from your faculty Advisor, submitted to the Chair of the Department for signature, and then submitted to Dr. Louis Jacobs, Director of the Institute.

## E. Dissertation Write-Up Awards

1. **The Garry A. Weber Graduate Fellowships:** Awarded to students in the final phase of dissertation writing in conjunction with a dissertation completion award from the Dean of Research and Graduate Studies. Please see the Department Chair for more information on this award. A limited number are available each academic year.
2. **Dissertation Fellowship Awards:** The Dean of Graduate Studies may offer a small number of dissertation fellowship awards on a competitive basis. To receive an award, you must be recommended by your dissertation Advisor to the department faculty and confirmed by the Dean of Dedman College. You must complete and defend your dissertation by the end of the academic year in which you receive the award.

## F. Research Awards/Prizes

1. **Graduate Research Day Awards:** Made to students who participate in the annual Graduate Research Day. The Department awards a cash prize for the best paper

presentation and the best poster. Further information is available from the Dean of Research and Graduate Studies, or visit their website <https://www.smu.edu/graduate/CurrentStudents/ResearchDay>.

## Positions and Training

### A. Graduate Training in Teaching Requirement

The Department of Anthropology is committed to providing all graduate students with appropriate training in teaching college-level courses. To that end, the Department requires that each graduate student fulfill a set of requirements (listed below). It is the student's responsibility to inform the Director of Graduate Studies /Department Admin when each of the following requirements is completed.

### B. Requirements for teacher training:

1. **Attendance and participation in CTE Programs:** The SMU Center for Teaching Excellence offers two training seminars that are required for all new TA's: **Annual Teaching Effectiveness Symposium** – usually scheduled in the third week of August (one morning plus lunch) and **Teaching Assistant Seminar** – usually on the afternoon of the day following the Effectiveness Symposium. Topics: dealing with students, TA responsibilities, and challenges.
2. **Review of CTE On-Line Resources:** The CTE offers a wide-range of online resources for students. All students who will be TAs are responsible for reviewing the following selected material presented on the CTE website (<http://www.smu.edu/Provost/CTE>):
  - a. **“Teaching Resources”** – includes topics such as “Design Your Course,” “The Syllabus,” “Reflect on Your Teaching,” “Assess Student Work,” “Teaching with Technology,” and “SMU Policies on Teaching.”  
<http://www.smu.edu/Provost/CTE/Resources>
  - b. **“Resources for Teaching Assistants”** – includes topics such as “First Time Teaching Guide,” “Guide to Interacting with Students,” “Issues of Respect,” “Teaching a Science or Discussion Lab,” “Approaches to Grading,” and so on.  
[http://www.smu.edu/Provost/CTE/Programs/TA\\_Training/TAResources](http://www.smu.edu/Provost/CTE/Programs/TA_Training/TAResources)
3. **Attendance and participation in CTE micro-workshops:** Twice yearly the department will host micro-workshops led by CTE staff specifically for our graduate students and TAs.
4. **Design and deliver a lecture:** Advanced graduate students who serve as teaching assistants may be allowed to design and deliver at least one lecture in the course that they TA. This lecture will be given in the presence of the instructor who will provide feedback to the graduate student.

### C. Teaching Assistantships, Graduate Research Assistantships, and Graders: Training, Responsibilities, and Obligations

Teaching Assistantships provide an opportunity for you to gain direct experience in the formal conduct, organization, and teaching of a course. The faculty member supervising you in your role as a TA will ensure that the assistantship is at least in part an apprenticeship in teaching, and that opportunities exist



for the faculty member to assess your teaching skills and to make recommendations for improvement if needed.

Professional behavior and adherence to all SMU policies concerning classroom behavior and personal relationships between students, TAs, GRAs, and Graders and Professors must be maintained at all times.

If at any time, the TA, GRA, or Grader feels unsure or uncomfortable regarding behaviors or relationships in the classroom, lab, office, or department, he or she should bring this to the attention of the Chair of the department or the Director of Graduate Studies.

### **1. Teaching Assistants**

- a. Course Assignments: the department makes every effort to assign TAs to courses that do not interfere with the TA's own class schedule; if such an error occurs, an adjustment will be made if at all possible. We know that TAs appreciate assignments to different courses to broaden their teaching experience and every effort will be made to vary course assignments whenever this is possible. However, TAs should be aware that satisfying course needs is a priority in making assignments.

Departmental decisions regarding graduate student assignments as TAs, GRAs, and Graders for a particular semester will be announced as soon as possible following student review at the end of each semester.

- b. Professors and their TAs should have a pre-semester meeting in which the course schedule and TA duties are clearly outlined and discussed. This includes: expectations for office hours (at least two hours/week), and responsibilities for study sessions, and assisting in writing and copying exams. When appropriate and as part of the department's policy of providing training in teaching courses to senior graduate students, TAs may be responsible for giving a course lecture. At the end of the pre-semester meeting, TAs will have a clear and consistent understanding of their duties and responsibilities in their assigned course.
- c. Professors should make decisions regarding course texts well in advance of the start of the semester, inform TAs of the texts to be used, and arrange with the departmental assistant to acquire TA copies from the publishers.
- d. TAs are expected to work on average 15-20 hours a week. However, actual week by week workloads will vary; in some weeks the workload may call for more than 20 hours, and in others, fewer. TAs should anticipate higher workloads during finals week when final grades are due no later than 48 hours after the exam.
- e. TAs will normally take on the following duties if asked to do so by the Professor: edit the syllabus, exams, and power points; assist in writing exam questions and proofing exams; grade papers, exams, and other assignments; be in charge of discussion sections or lab activities; assist in supervising field trips; supervise student projects; collect and keep attendance records; pick up movies or books from

the library; make copies; tutor students during office hours; answer student emails; and assist students with special needs or disabilities.

Senior TAs may be asked to give at least one lecture during the semester. The date and subject matter of this lecture will be decided early in the semester by the Professor and TA. Professors should attend this lecture and provide feedback to the TA.

TAs are also expected to attend every class meeting and to show up on time. Missing classes or office hours, or showing up late, are not acceptable. If the TA has a valid excuse for missing class or office hours (e.g., illness, religious observance, etc.), the TA should notify the Professor.

TAs involved in instruction (in the lab or discussion sections) are evaluated by students in their class at the end of each semester (just as Professors are evaluated). An example of the TA evaluation form/questions is kept in the main office and TAs are encouraged to review the questions before class begins to gain an understanding of how they will be evaluated.

- f. Professors should explain expectations for TA grading and provide a grading rubric. Professors should also clearly articulate:
  - The types and amounts of grading in the course with the TA in the pre-semester meeting. If appropriate, this discussion should include grading responsibilities for extra credit assignments and rewrites of papers and assignments.
  - The expectations concerning turn-around time for grading; TAs should aim for a timely turn-around on all graded materials. Professors should allow ample time for the TA to finish grading – taking holidays, etc. into consideration.
  - If TAs are to be given the responsibility of settling disputed grades or changing grades.
  - How grades are to be calculated, recorded, and stored and who will do these things.
- g. Undergraduate Student Problems: Students often first communicate personal problems that affect their course success or attendance to the TA. Professors should be clear on the circumstances in which the TA should bring such problems directly to the Professor, or when the TA may deal with issues that arise. Professors should review with TAs the SMU guidelines and available campus resources (listed below) for effectively dealing with undergraduate students who may be experiencing academic issues, personal problems, health issues, disabilities, or who may be personally or academically at-risk for other reasons. TAs are responsible for knowing and acting upon all SMU guidelines in these cases, and bringing cases to the attention of the Professor.

Available SMU Resources:

- SMU's Caring Community Connections, Office of the Dean of Student Life: "A Guide for Faculty and Staff for Recognizing and Responding To Students in Distress" – [https://publicdocs.maxient.com/reportingform.php?SouthernMethodistUniv&layout\\_id=1](https://publicdocs.maxient.com/reportingform.php?SouthernMethodistUniv&layout_id=1)
- Disabilities Accommodations and success Strategies (DASS) – <https://www.smu.edu/Provost/ALEC/DASS/SuccessStrategies>
- Academic Counseling – <http://www.smu.edu/Provost/ALEC/OverviewofServices/AcademicCounseling>
- Sexual Harassment and Consensual Relationships: <https://www.smu.edu/IAE/PoliciesandProcedures/2-5-Sexual-Harassment-and-Consensual-Relationships>

h. Professors:

- Do not expect TAs to take on additional or last minute course responsibilities that were not specified in the pre-semester planning meeting. However, TAs must be aware that from time to time opportunities may arise during the semester (such as a visiting speaker or university event) that can enhance the course or add value to the learning experience and they may be asked to assist with integrating this into the course.
- Do not expect TAs to perform personal tasks for the Professor (e.g., run personal errands, babysit, grade materials from the Professor's other courses or course sections (grading not assigned in the TA letter from the Chair)).
- Do not expect TAs to be on call 24 hours a day – normal work hours (which in some classes will include weekday evenings) and holidays should be respected. There may be exceptions, however, during high volume work periods or special events. Examples might include the finals period when all grading must be completed in 48 hours, special review sessions scheduled in the evening, trips to the zoo (primates course) on Saturdays, lectures by out-of-town visitors, and other similar course requirements. Professors should be flexible regarding these types of contingencies and it is hoped and expected that teaching assistants will as well.

## 2. Graduate Research Assistants

The job of the Graduate Research Assistant (GRA) is to provide assistance to a Professor in his/her research. At the beginning of the semester, the GRA and Professor should meet to outline the specific project(s) the GRA will work on and the associated duties. Duties may include data collection, data processing or analysis, experimentation, and library research, as well as any other reasonable activity linked to the research process.

Graduate Research Assistants work on average 15-20 hours a week. However, actual work weeks may vary depending on the nature of the research. There may be weeks

when fewer than 20 hours or more than 20 hours are required. Professors should respect normal working hours, the GRA's course/exam schedule, and holidays in organizing work schedules. The GRA is not expected to perform any duties related to the Professor's teaching responsibilities and courses (i.e., any activity normally assigned to a TA such as grading, holding office hours, etc.), unless this is specified in the GRA letter from the Chair, nor to perform any personal tasks (e.g., run errands not related to the project, etc.) for the Professor.

### **3. Graders**

Graders are assigned to specific courses/Professors for the sole purpose of grading course papers, exams, and other assignments. Graders may also be responsible for maintaining grade records. The Professor and Grader should meet at the beginning of the semester to specifically discuss the grading duties for the assigned course. Graders do not perform the other duties typically linked to a Teaching Assistant such as holding office hours, tutoring students, writing exams, preparing power points, or giving a lecture. Nor do they perform the duties of a Graduate Research Assistant.

The Professor and Grader should discuss the Grader's attendance in the class at the beginning of the semester. If the Grader has not previously attended lectures and other activities in the course, then it may be important that he/she do so in order to be able to grade effectively and in line with the content presented by the Professor. If the Grader has previously attended the course, then this may not be necessary. This decision is at the discretion of the Professor.

Professors should respect normal working hours, the Grader's course/exam schedule, and holidays in planning the grading schedule. However, exceptions may occur, such as during the 48 hour finals grading period. Graders are expected to be flexible at these times so that everyone can abide by university policy.

## Progress in Program

### A. Coursework Guidelines

Normally all graduate level courses are numbered 6000 and above. Graduate students may take courses numbered below 6000 if it is part of the program of study or with the approval of their Mentor or Advisor. Enrollment for 9 hours of coursework (three 3 credit courses per semester) is recognized as a full load for graduate students. Persons who enroll for fewer than these hours are designated part-time students. After completion of the required credit-hour requirements, all PhD students should retain their student status until graduation, unless granted a leave of absence.

### B. Course Waivers and Transfer Credits

Students with an extensive background in anthropology may be credited (course waived with or without credit) for certain courses taken elsewhere. However, applicants should be aware that previous graduate-level coursework (including field school experience) will be rigorously evaluated for transfer or waiver credit; in other words, **the granting of credits/waivers is not automatic**. A course submitted for waiver or transfer credit should closely resemble one offered in our graduate curriculum or be complementary to our curriculum. Performance in the course must meet the standards of the faculty in the appropriate subfield. The following policy guidelines will help you to select courses appropriate for a petition for waivers and/or credits. (This applies to entering students with undergraduate Anthropology majors, those with graduate work in anthropology, or those with prior SMU courses in anthropology). In all cases, you should provide complete documentation (e.g., syllabi, bibliographies, assignments, tests, written work) for each course to be considered for waiver/credit. ***Note: you must apply for course waivers no later than the end of your first year of coursework at SMU.***

Normally, the maximum number of credit hours that can be waived on the basis of prior work at another institution is twenty-four (24) for PhD students and six (6) for MA Medical students. In unusual cases, additional transfer credits may be granted with the approval of the Graduate Dean.

If you were an undergraduate major in Anthropology at SMU, any 5000-level courses in anthropology or any 4000-level courses with a complementary 6000-level number can be considered for waivers. Consideration of credit for undergraduate courses taken elsewhere can be given only if you took them while you were a graduate student. Requirements may be waived (without hour credit) for such courses taken while you were an undergraduate. If we require that you take some undergraduate courses to make up deficiencies before entering our graduate program, these cannot be considered for hour credit.

You can petition the faculty to have special studies or independent study taken elsewhere considered for credit. You should submit the syllabus, bibliography, and written work as part of your petition. No waivers or credits will be given for ANY course for which there is no supporting documentation.

In general, only courses for which you earned an A- or better (or its equivalent) can be waived or transferred. In cases where letter grades are not available (for example, for courses taken at some foreign universities), an explanation of the grade from the Professor of record will be required.

1. **Required Courses** - Courses can be waived and credit hours granted as meeting SMU requirements only if they are deemed equivalent to our courses. Even if a course taken elsewhere is not deemed to be equivalent to a required course, you subsequently can petition to have the course transferred within the limits imposed for elective hours. For example, you may have taken a course in the history of ethnological theory. Such a course would not be equivalent to our ANTH 5334, a required course that covers the history of all four sub-fields of anthropology, but the course you took still might be considered for waiver/credit as an elective course.
2. **Elective Hours** - If you enter our graduate program with an earned Masters Degree, you may petition to transfer up to 18 *elective* hours in Archaeology and up to 24 in Cultural Anthropology (the difference between the two programs is based on differences in the proportion of elective to required hours in these programs). An elective course (e.g., Peoples of the Antarctic) with no equivalency in our program will be considered for transfer credit only if taken during graduate studies.
3. **Petition Process** - At your earliest opportunity, you should notify your Mentor or Advisor if you intend to petition for course waivers and/or credits. For courses intended to meet SMU requirements, the material is submitted to the Department Chair, and the Instructor of Record for the particular course for which you are seeking waiver/credit. In addition, all faculty members are notified of the petition, and will have the materials available to them. In the case of petitions for waivers/credits of elective courses, your petition will be circulated to members of the appropriate sub-disciplinary faculty, either archaeology or cultural anthropology.

## C. Standards and Evaluation

1. **Standards of Performance in All Programs**
  - a. As per Dedman College rules, graduate students must maintain at a minimum a cumulative GPA of 3.0. If in any term the student falls below this GPA, the student will be placed on probation for one term. If at the end of the term of probation the cumulative GPA is not up to a 3.0, the student will be removed from the program. Grades consistently at the minimum level will keep you off probation, but may not be satisfactory for sustaining financial support through the Department.
  - b. Any grade below a “B-” (2.7) in a **required** course must be raised to an acceptable level. This may be accomplished by retaking the course (without formal registration) or adjusted subject to conditions imposed by the instructor. Any grade of “C+” (2.3) or below in a **required** course is considered a failure, and the course must be re-taken (without formal registration) the next time it is offered.
  - c. If the course in which the “C+” or lower is earned is an **elective**, the course need not be repeated. A grade of “C+” or lower in an elective may be changed to a passing grade during the following semester at the instructor’s discretion, and subject to conditions imposed by the instructor.

- d. Two grades of “C+” or below are cause for serious concern, and will be reviewed by the faculty for further action. A total of three grades of “C+” or below, whether replaced or balanced, will result in dismissal from the program.
- e. A grade of “I” (Incomplete) can be given only if you have completed a majority of the course requirements with passing grades, but for some justifiable reason, acceptable to the instructor, are not able to complete the full requirement of the course. A maximum of two (2) concurrently held incompletes is allowed. If this maximum is reached, a student will be allowed to take only three credit hours per semester until the incomplete total is reduced. If the maximum is surpassed, one or more incompletes must be removed *before* additional course registration is permitted. Changes of grades of “I” should be processed within a calendar year of the original grade assignment. No grade will be changed after 12 months. If the incomplete is not cleared, the “I” is changed to the grade provided by the instructor at the time the incomplete was assigned, or to an “F” if no alternate grade was provided.

## **2. Evaluating Student Performance**

Your grades are an important but not uniquely decisive factor in our evaluation of your performance. In addition, there are a number of more general evaluative criteria that guide the Faculty of the Department of Anthropology at Southern Methodist University. These criteria are closely linked to issues of professional promise, professional commitment, intellectual growth, development as a teacher, and performance as an ethical and responsible citizen not only within our University community but also as a member of the profession broadly understood.

At the end of the fall and spring semesters, the faculty meet to discuss the performance of each student according to the following criteria:

- a. **Performance in Classroom/Coursework**
  - i. Consistent attendance and engaged participation in discussion and class activities.
  - ii. Timely completion of all assignments and course requirements.
  - iii. Intellectual curiosity.
  - iv. Analytical rigor in approaching ideas/problem-solving, willingness to examine alternative viewpoints.
  - v. Intellectual industry (works hard; is not satisfied to do minimal or even “adequate” work).
  - vi. High ethical standards (in dealing with the scholarly ideas of others, etc.)
- b. **Performance in Teaching/Departmental Responsibilities (TA/DA/GRA)**
  - i. Timely and responsible completion of all assigned duties.
  - ii. Ability to organize materials and ideas in an effective way.
  - iii. Evidence of working effectively with others (students, colleagues) in cooperative settings.
  - iv. Willingness to invest extra time and effort (beyond minimal or “adequate” levels) and see that undergraduate students learn and understand course materials.
  - v. High ethical standards in relationships with students and Professors.

c. **Performance in Research and Fieldwork**

- i. High ethical standards in relationship with research community and with Professors in the field or in the academic setting. Plagiarism, fabrication, cheating, and facilitating academic dishonesty are all unacceptable, and will be dealt with in accordance with the policies of the SMU Honor System (as detailed in the *Dedman College Graduate Programs Catalog*, and at <http://www.smu.edu/StudentAffairs/studentlife>). Students should consult the SMU Policy & Procedures for dealing with scientific conduct in research. This policy is available in the Departmental Office.
- ii. Ability to apply anthropological concepts in the field.
- iii. Ability to engage in cooperative teamwork with other students and faculty in the field, whether in the United States or abroad.
- d. Evidence of flexibility and adaptability to field settings.

e. **Written Statement of Evaluation**

Following each end-of-semester faculty meeting, you will receive a written statement from the department chair regarding the faculty's assessment of your performance and recommendations for improvements. A copy of the statement will be placed in your departmental file.

**3. Removal from Program and Appeals**

In accordance with Dedman College rules, failure to meet established minimum acceptable standards of academic or disciplinary performance can result in suspension or dismissal. Suspension is for a set period of time. Dismissal is permanent. Should your performance be judged so unsatisfactory that suspension or dismissal is recommended, that decision will be made by majority vote of the departmental faculty and communicated to you by the department chair. Appeals of such decisions must be presented to the faculty as a whole. Subsequent appeals, if any, will be dealt with according to standard University procedures. Please refer to Page 8, Academic Appeals for additional information.

**4. Language Requirement**

Since it is essential for professional anthropologists to be familiar with foreign languages, both in fieldwork and in reading scholarly literature, you should strive to attain a high level of competence with at least one foreign language. This is a requirement for all doctoral students and is encouraged for students in the MA in Medical Anthropology program. For further information, please consult the program-specific language requirements described later in this handbook, as well as Tab 9, Appendix 1 (Language Examination). These requirements should be satisfied as soon as possible (usually by the end of the second year), since by so doing you will be able to use the language as a tool in preparing for your PhD Qualifying Examination. The Department will not pay for you to take language courses.

**Important note: The language requirement must be satisfied before you take your PhD Qualifying exams.**



Archaeology students must demonstrate an ability to read research literature published in a modern foreign language such as French, German, Spanish, or Russian. You may petition the faculty if you wish to substitute another language or languages. Students in Cultural Anthropology must demonstrate knowledge of the language most relevant to their field research.

## **D. PhD Program Department and University Guidelines and Requirements**

In this section, we address shared elements of the PhD program in Anthropology, a program designed to provide you with the theoretical and methodological knowledge to teach and undertake research in archaeology or cultural anthropology. If you do not already possess a Master of Arts in Anthropology, you will earn the MA en route to the PhD.

Applicants to the SMU graduate program generally have a bachelor's degree from an accredited undergraduate program, most commonly in anthropology or related behavioral and social sciences. We also accept students who have completed or are in the process of completing a Master of Arts degree from another university. Successful applicants are expected to continue through to the PhD, but must complete the requirements for the MA in Anthropology en route.

The degree of Doctor of Philosophy is awarded in recognition of high attainment in a special field of knowledge, as evidenced by examination and by a dissertation presenting the results of significant and original research. General requirements are listed below.

### **1. Residency and Coursework**

The PhD degree normally requires a minimum of 54 semester hours of course work is required in both the archaeology program and in the cultural anthropology program, including research, reading, and dissertation courses.

If prior to completing the mandated 54 hours of coursework, you are taking hours toward the degree, but you are not going to be enrolled in courses during a specific fall or spring semester, you can maintain “full-time graduate student status” (including library and email privileges) by signing up for 6049 (Graduate Full-Time Status).

After you have completed the mandated 54 hours of coursework, you should sign up for 8049 (Graduate Full-Time Status) to maintain your full-time status in the program. Registration for full-time research status – through ANTH 6049 and ANTH 8049 – is permitted for a maximum of six semesters. Additional enrollments beyond six semesters must be requested in writing to the Department Chair.

**Continuous registration is required of PhD students and is your responsibility.** If you are undertaking full-time research off campus, you may petition the faculty for a research leave (up to a maximum of two years). The Department will inform the Office of Research and Graduate Studies of all research leaves. If you do not register for two consecutive semesters without being granted a research leave, you will be dismissed from the program.

If you do not register for one semester, and do not obtain a research leave, you may petition the Department Chair for re-instatement of your student status.

**Note: If you need to take a leave of absence for medical, familial, or personal reasons, please consult with your Mentor or Advisor, and the Department Chair. More information on this can be found on Page 7, Leaves of Absence for Medical, Familial, and Personal Reasons.**

The minimum residency requirement is a total of 30 semester hours completed within three years of residence at SMU. Foreign students may need to satisfy additional residence requirements to comply with federal immigration regulations.

## **2. The Normal Sequence for Completing PhD Requirements:**

- Coursework, including petitions for waivers of requirements and/or hours, and petitions for transfer credit.
- Selection of advisor and graduate committee.
- Removal of all grades of Incomplete.
- Passing the MA general examination (see each program description for more details).
- Completion of the required 54 hours of coursework.
- Selection of PhD Qualifying Exam Committee (including an outside member).
- Passing the language exam (see Appendix 1 for details).
- PhD Qualifying Exams (written and oral).
- Selection of a Dissertation Committee (usually, but not necessarily, the same composition as the Qualifying Exam Committee).
- Field research.
- Dissertation writing.
- Defense of dissertation.
- Granting of Degree (May, Aug., Dec.).

**Note: Assuming that you have filed the appropriate request in a timely manner, the MA degree will be awarded to you after you have satisfactorily completed 36 hours of coursework, and passed the MA general examination. You will advance to doctoral candidacy (ABD – “all but dissertation”) after completing the PhD qualifying exams (both written exam and successful defense of proposal).**

## **3. Scheduling of Exams, Proposal and Defense**

These events must be scheduled during the academic year (between the first and last day of class in the fall semester or the first and last day of class in the spring semester). These dates coincide with dates outlined by the Office of the Dean of Research and Graduate Studies, and are linked to the awarding of particular degrees. **Faculty members are under no obligation to sit for exams and defenses on University holidays or during the summer months.** If, because of forces beyond anyone’s control, you need to schedule an exam beyond the academic year as specified above, you must formally petition each of the

members of your committee. Unless all members of a committee are able and willing to meet, the petition will be denied.

**4. MA Degree En Route** *see specific information behind tab for program you are entering.*

The Master of Arts Degree in Anthropology may be conferred *en route* to the PhD, after successful completion of 36 hours of coursework and a passing grade on the MA general examination. Except in extraordinary circumstances, students who previously completed a MA (or MS) degree in Anthropology at another university will complete a minimum of 12-18 hours of coursework at SMU before attempting the MA general examination.

- If you fail the general examination, you will not be awarded the MA degree.
- If you receive a low pass on the general examination, and are not admitted to doctoral candidacy, you will be awarded the MA degree as a terminal degree.
- If you pass the MA general examination you will be awarded the MA degree and continue in the program. You will then prepare and defend your Dissertation Proposal as described below.

**5. Admission to Candidacy for the Doctoral Degree**

To be admitted to candidacy, you must:

- satisfy the language requirement,
- remove all Incomplete grades,
- complete the required minimum of 54 hours of coursework (including any credits/waivers for courses taken elsewhere), and
- pass the PhD qualifying examination.

Upon completion of these requirements, the department will recommend to the Dean that you be admitted to candidacy. Supporting documents will include a copy of your degree plan and the PhD Qualifying Examination Report and the Dean's Approval for Admission to Candidacy. *If you will not meet the University's deadline of reaching ABD by the end of your third year, you must petition the dean of the Office of Research and Graduate Studies for a one-year extension as soon as possible. You should consult with your Faculty Advisor about this process. University policy states that only **ONE** one-year extension will be granted.*

**6. Your Dissertation** *See specific information behind tab for program you are entering.*

As a candidate for the doctoral degree, you are required to present the proposal for the dissertation to a faculty committee.

After completing your field research and data analysis, you will prepare and present an acceptable dissertation within the major field of study. It must demonstrate that you have technical competence in the field and have done research of an independent character. It must add to and modify what was previously known, or present a significant interpretation of the subject based on original investigation.

The guidelines for preparing your dissertation are outlined in the *Thesis/Dissertation Guide*, copies of which are available in the Office of Research and Graduate Studies. You can also consult this information at <https://www.smu.edu/graduate/CurrentStudents/Graduation/DissThesisGuide>.

Your dissertation must be completed to the satisfaction of your dissertation Advisor and Dissertation Committee, and must meet the guidelines established by the Office of Research and Graduate Studies. Deadlines for the submission of dissertations are outlined at the beginning of each semester. Upon successful completion of the dissertation defense, an original half-title page must be signed by the Dissertation Committee. All dissertations must be prepared for electronic submission. You are responsible to follow these guidelines and to do so in a timely manner.

In submitting a dissertation, you grant permission to the Director of Libraries at SMU to make copies at the Director's discretion, upon the request of individuals or institutions.

## 7. Dissertation Defense

The defense is an examination administered by your Dissertation Committee. The examination focuses on your dissertation and related material. The Dissertation Committee shall consist of:

- a. the chair; who must be a full-time, tenure-track or tenured member of the Department of Anthropology;
- b. at least two other full-time members of the Department of Anthropology; and
- c. at least one external reviewer who is either a faculty member outside the anthropology department or, with the approval of the department chair and the Dean of Graduate Studies, a scholar not associated with the University.

**Notes: If an external reviewer is not an SMU faculty member, a copy of that reviewer's *curriculum vitae* must be submitted to the Dean of Graduate Studies along with the Department Chair's letter approving the membership of the Dissertation Committee. Anthropology faculty members with joint appointments (excluding courtesy appointments) are considered internal members of the anthropology department only, and may not serve as outside members of your committee. Retired, emeritus faculty members can serve on a dissertation committee, but are not permitted to serve as its chair. Well before the proposed date of the dissertation defense, the membership of your Dissertation Committee must be approved by the Department Chair, and by the Dean of Graduate Studies.**

In accordance with University guidelines, the dissertation defense is open to the public. It will be conducted by your committee, with the participation of other departmental faculty members who may be present (as non-voting members). The chair of the examining committee will set a date, hour, and place for the examination agreeable to the committee members and to the candidate, with public notification at least three days in advance. Notice of the dissertation defense should be distributed to the department community, to the Dean

of Dedman College, and to the Dean of Graduate Studies. A *unanimous* vote of the committee is necessary for approval of the examination. The PhD Examination Report will be forwarded to the Dean for certification of the candidate for graduation.

## 8. Graduation Ceremonies and Doctoral Hooding

SMU grants doctoral degrees three times a year: in May, August, and December. Depending on when you submit the final, corrected version of your dissertation to the office of the Dean of Graduate Studies, you should plan on participating in the University's graduation ceremonies (including doctoral hooding) either in May or December. In either case, we invite you to participate in our departmental graduation ceremonies in May of the academic year in which you receive your doctoral degree. If you do not participate in the University's ceremony, you will not receive your hood. You will be responsible for purchasing it at your own expense.

## 9. Failing the Doctoral Defense

Students who fail the doctoral defense may be given a second examination, at a time to be determined by the committee, but not later than one year after the initial examination. Students who fail the defense on the second opportunity are thereby disqualified to receive the PhD degree.

## 10. Time Limits

If you are registered for full-time study, you should plan on taking the PhD qualifying examination at the time specified in each degree program. Ordinarily, credit is not allowed for graduate courses (including transfers) taken more than *six* years before you take the doctoral qualifying examination. Should this time limit be exceeded, the credits must be revalidated and approved by the Dean of Graduate Studies. Approval is granted only in exceptional cases.

The doctoral dissertation must be submitted and accepted no later than *five* years after you were admitted to PhD candidacy. An extension of one year can be granted by the Dean, upon recommendation of the departmental faculty. Except under special circumstances, if you still have not submitted your dissertation after the additional twelve-month grace period, you will be dropped from the graduate program, and can be re-admitted only by passing a new qualifying examination. **Note: No petition for re-admission and re-examination has been submitted and approved in the history of our department!**

If you must take a leave of absence for medical, familial, or personal reasons, the time spent on leave will not be counted toward your time limits. The decision to grant your petition for a leave of absence will be made by the department, and then approved by the Dean of Graduate Studies.

If you are a part-time student, time limit requirements will be interpreted appropriately to allow for your part-time status.

You should consult the *Dedman College Graduate Programs Catalog* for further information on time limits.

# PhD Degree in Cultural Anthropology

## A. Introduction/Overview

In the PhD program in Cultural Anthropology, you will be trained broadly in contemporary theory and method in anthropology, while you specialize in one of two specializations: **Medical Anthropology** or **Globalization and International Development**. We encourage you to take all courses, not just those offered in your area of specialization. In special circumstances, you can petition to combine these specializations.

Upon arriving, you should select a faculty mentor who will help guide you through initial course selection and other matters (see page 6 of this document). By mutual consent, your faculty mentor may (or may not) become your Faculty Advisor. The Cultural faculty will guide you toward the goal of completing all coursework in three years of matriculation, and the dissertation within five years of advancing to candidacy, thus meeting all Dedman College requirements.

## B. Coursework

### Required Courses (21 hours) for both specializations.

ANTH 5334 - History of Anthropology, Part I  
ANTH 5335 – History of Anthropology, Part II  
ANTH 5344 - Research Methods  
ANTH 6320 - Regional Ethnography  
ANTH 7333 - Data Analysis  
ANTH 7342 - Social Organization  
ANTH 7351 - Research Strategies

**Statistics: Students are encouraged to take statistics if appropriate for their area of specialization and research interests. You may take STAT 2331 by enrolling in an independent study (ANTH 6351). You may enroll in STAT 5371 and/or 5372 if you want more advanced statistical training. Consult with your faculty mentor or advisor.**

### Courses in Specialization – MEDICAL ANTHROPOLOGY (33 hours)

#### Core Courses (6 hours)

ANTH 5336 - Health in Cross-Cultural Perspective  
ANTH 6343 - Biomedicine, Culture and Power

Electives (27 hours, of which 6 hours need to be on medical topics). Any department course in cultural anthropology at the 5000 level or higher, including up to two semesters of Independent Study (ANTH 6351, 6352), and approved by your Mentor or Advisor may be taken as Electives. These may include but are not limited to:

ANTH 6303 - Political Economy of Health  
ANTH 6305 - Applied Anthropology

ANTH 6307 - Global and Public Health  
 ANTH 6309 – Human Rights & Indigenous Peoples  
 ANTH 6316 or 6317- Advanced Seminar in Ethnology: Any Health/Medical Topic  
 ANTH 6327 - Gendered Lives and Global Change  
 ANTH 6344 - Global Population Processes: An Anthropological Approach  
 ANTH 6346 - Environmental Anthropology and Development  
 ANTH 6351, 6352 - Independent Studies  
 ANTH 6384 - Global Issues and Development: An Overview  
 ANTH 6390/6391 - Current Issues in Anthropology  
 ANTH 7341 – Anthropological Writing  
 WGST 6300 - Advanced Feminist Theory

### **Courses in Specialization – GLOBALIZATION AND INTERNATIONAL DEVELOPMENT (33 hours)**

#### Core Courses (6 hours) - Required

ANTH 6384 - Global Issues and Development: An Overview  
 ANTH 63xx – Political Economy

Electives (27 hours). Any department course in cultural anthropology at the 5000 level or higher, including up to two semesters of Independent Study (ANTH 6351, 6352), and approved by your Mentor/Advisor may be taken as Electives. These independent studies are in addition to the independent study normally taken to fulfill the statistics requirement. These may include but are not limited to:

ANTH 5336 – Health in Cross-Cultural Perspective  
 ANTH 6303 – Political Economy of Health  
 ANTH 6304 – Migration, Ethnicity and Nationalism  
 ANTH 6305 – Applied Anthropology  
 ANTH 6316 or 6317 – Advanced Seminar in Ethnology: Any Globalization Topic  
 ANTH 6327 – Gendered Lives and Global Change  
 ANTH 6343 – Biomedicine, Culture and Power  
 ANTH 6346 – Environmental Anthropology and Development  
 ANTH 6351/6352 – Independent Studies  
 ANTH 6390/6391 – Current Issues in Anthropology  
 ANTH 7341 – Anthropological Writing  
 ANTH 63xx – Anthropology of Science and Technology  
 ANTH 63xx – The Production of Space  
 ANTH 63xx – Urban Anthropology and Global Cities

**Note: With the advice and consent of your Mentor or Advisor, up to six (6) hours of 3000-level courses may be taken as 6000-level courses (e.g., 6351, 6352) by graduate students who do extra readings and extra written assignments.**

### **C. Progression Through the Program – Projected sequence and timetable:**

PhD in Cultural Anthropology (see TIMETABLE on page 38)



1. **Faculty Mentor and Advisor:** Students will be assigned a faculty mentor when they enter the program or they may choose one if they already know which faculty member they would like to have as their primary advisor of their doctoral committee. This decision must be finalized by the end of the second semester. The “Faculty Advisor” form (see Tiffany) should be filled out and filed in the department. In addition, students should formalize a general course of study with their Advisors. **(Important Note: a student may change his/her faculty Advisor at any time if research interests change.)**
2. **Preparation for the Language Exam:** Starting the first semester, students should begin language study or review in order to be prepared to pass the Language Exam their sixth semester, prior to the PhD Qualifying Exams. We have found that students who do not prepare early are often not ready to pass the Exam and this may delay their progress prior to the Qualifying Exams.

Students are expected to choose and finalize their faculty committee (their faculty Advisor, two other Professors from the department, and one outside member) by the end of their third semester. The committee must be set up in accordance with University Guidelines and approved by the committee chair. They should also meet with their committee to identify and plan their general dissertation research topic and region, and to discuss the areas to be covered by their PhD qualifying exams (theoretical and regional foci). The “Faculty Committee/Research Topic-Region” form must be filled out, signed by the student and all members of the committee, and filed in the department. **(Important Note: a student may change the membership of the committee and research plans at any time if circumstances change.)**

The faculty have approved the following list of regions.

- North America (the U.S. and Canada, including anthropological literature on Native Americans)
- Middle America and the Caribbean (includes Mexico, the countries of Central America, and the islands of the Caribbean)
- South America
- Europe (north, south, and eastern)
- Russia (includes all the former Soviet Socialist Republics) and Central Asia
- Sub-Saharan Africa
- Middle East (includes the countries of North Africa and the Middle East – including Israel, Syria, Jordan, Lebanon, the Arabian Peninsula, Iran, and Iraq)
- East Asia (includes China, North and South Korea, Japan, Taiwan, and Tibet)
- South Asia (includes Pakistan, India, Nepal, Bangladesh, and Sri Lanka)
- Southeast Asia (mainland and islands – Myanmar (Burma), Thailand, Laos, Cambodia, Vietnam, Malaysia, Indonesia, the Philippines, and aboriginal Taiwan)
- Australia and Oceania

A region that deviates from this list must be approved by the student’s PhD committee. Examples include “Global Communities,” “Muslim Diaspora,” etc.

**Really Important Note:** Students are required to complete a grad self-review once a year towards the end of the spring semester. Students who are not fulfilling these requirements and thus not making adequate progress on their semester and annual reviews by the end of Year 2 will be asked to meet with the Department Chair, Director of Graduate Studies, and their faculty committee chair to discuss ways to improve their progress. *See copy found in Appendix 3 behind Tab 9.*

## **D. MA General Examination en route to PhD**

To continue in the PhD program, students must demonstrate a high level of proficiency in the MA general examination taken at the end of your second year of coursework. On the departmental website (<http://smu.edu/Anthro>), you will find a bibliography of key works in cultural anthropology, a list of MA General Examination questions for you to consider in preparation for the MA exam, and a set of guiding statements to structure your reading. The bibliography, together with material covered in courses during the first two years, will provide the foundation for the MA general examination. Normally the exam will be offered in late April or early May.

Your specific exam questions will be chosen from the list of MA General Examination questions by the Faculty; other questions, or slight variants of the questions on the list may be included. You will answer two of three possible questions during the morning of your exam and two of three possible questions during the afternoon. There will be a 90 minute time limit for each question. The questions will be synthetic in nature and broad enough so that you can bring your special knowledge (e.g., in medical anthropology, in a particular world region) to bear in answering the question.

Your exam will be graded by two members of the Cultural Anthropology faculty in residence at the time that the exam is administered. Each question will be graded “*Pass with distinction*,” “*Pass*,” “*Low pass*,” or “*Fail*” by each faculty member. In the case of a difference of opinion, a third faculty member will read the entire exam. The Cultural Anthropology faculty in residence at the time of the exam will gather as a group to assess and assign the overall grade on each student’s exam.

Your advancement in the PhD program is contingent on achieving a grade of “*Pass*” or higher on the exam as a whole. Students who receive a grade of “*Low pass*” on their exam will be awarded a Masters of Arts in Anthropology, but will not be admitted into the PhD program. Students who fail the exam will not receive any degree and will not be allowed to continue in the program. Students will not be allowed to retake the examination, either in part or in whole.

**Note:** Failure to take this exam at the specified time can result in dismissal from the program. Only serious extenuating circumstances will be considered as a reason for postponement, and these must be presented in the form of a well-documented petition to be approved by a majority of the anthropology faculty. No written exam will be given during the summer.

## **E. Preliminary Dissertation Research**

Many students will have opportunities to apply for and conduct preliminary dissertation research during the summer following their MA exams (see pages 11-13 – “Research and Travel Awards”). During this summer, students will travel to their proposed research site and get to know the community, its members, their culture, and its particular relevance to their research issue. This will prepare them to write and submit their research proposals for their doctoral research (ANTH 7351 – usually taken during the fifth semester).

## F. PhD Candidate Requirements

To be admitted to candidacy, you must satisfy the language requirement, remove all Incomplete grades, complete the required minimum of 54 hours of coursework (including any credits/waivers for courses taken elsewhere), and pass the PhD qualifying examination. Upon completion of these requirements, the department will recommend to the Dean that you be admitted to candidacy. Supporting documents will include a copy of your degree plan and the PhD qualifying examination report.

1. Bibliography - After passing the MA Exam, students should spend the fall semester of their third year (fifth semester) developing the theoretical and regional bibliographies for their PhD Qualifying Exams in consultation with their committee chair. Each bibliography should have a **minimum** of 75 citations (combinations of key monographs and edited volumes as well as key journal articles). Each bibliography should include some of the classical works in the region or theoretical area as well as more contemporary materials. This will allow students to track changes in the questions that have been addressed over time. It is helpful to divide these bibliographies into major sections reflecting the breadth of inquiry in a region, or critical issues within a broader theoretical area as well as those areas of special inquiry that are most pertinent to the student's dissertation project.

By the end of their fifth semester, students should circulate the bibliographies to other members of their Qualifying Exam Committee for suggestions and approval. The Chair of the committee will confer with the outside member of the PhD qualifying exam committee to secure that individual's approval of the bibliographies. Once approved, the form for approval of the bibliographies should be signed and filed together with the two bibliographies. Following the approval of the bibliography by committee members, students may propose to add new items to the list but committee members may not. The student should be provided with a copy of the filed form.

**Note: No faculty member is obligated to serve on your PhD qualifying committee. Nor are you obligated to have any specific faculty member on your committee.**

2. The Written PhD Qualifying Examination - The PhD Qualifying Examination consists of two parts: a take-home written exam and a dissertation research proposal defense.

No later than three weeks before the end of your sixth semester (or of the semester in which you finish coursework), you will take a written PhD Qualifying Examination. This can happen sooner if all committee members agree on an alternative date. This exam will be tailored to your research topic, and will be set and evaluated by the student's Advisor and Committee. There will be two questions in the area of specialization, one on the region of research, the other on methodological and/or theoretical matters related to the proposed research. This will be a take-home exam, with a time limit of one week.

Each answer should be no more than 15 typed double-spaced pages (Times-Roman 12-point font, 1 inch margins). Your papers should be thoroughly documented with appropriate citations, demonstrating your scholarly command of the anthropological

literature and of the issues. These essays should, in other words, offer a concise survey of important literature and, where appropriate, a critical assessment that suggests to us what your own position is with regard to significant debates. Please employ a formal and professional language and tone. Each answer should include a bibliography of sources referenced (not counted in the page limit). You are expected to comply with the University's Honor Code when taking these exams.

Your Qualifying Examination will receive a written evaluation from your committee.

Option 1 -- If the committee decides that you have passed the written component of the qualifying exam, then the committee chair will meet with you to convey the committee's views regarding the strengths and weaknesses of the papers. Copies of the committee member's written comments will be provided to you and also will be placed in your departmental file.

Option 2 -- If the committee decides that you need to rewrite one or both of the papers, then the committee chair will convey this decision to you. The committee chair will provide a written summary of the committee's views and will discuss with you the written comments of all committee members. The discussion will focus on the strengths and weaknesses of the papers and the issues to be considered for rewriting the paper(s). Taking into account the additional work that may be necessary before the rewriting should begin, you and the committee chair will agree on a date for re-starting the exam clock for the paper(s). Regardless of the number of papers to be rewritten, you will have seven days to rewrite and submit the paper(s) to the Administrative Assistant to the Department Chair.

**Note: Failure to take these exams at the specified time can result in dismissal from the program. Only serious extenuating circumstances will be considered as a reason for postponement, and these must be presented in the form of a well-documented petition for approval by a majority of the anthropology faculty and then forwarded to the Dean of Research and Graduate Studies for final approval. Students may petition for a one-year extension, but that is the maximum allowed. No examinations will be offered in the summer.**

**Note: Students may not take these exams until they have passed their language exams and removed all grades of incomplete from courses taken during the previous five semesters.**

3. Policy on Changes to Region/Theoretical Focus After the Qualifying Exams Have Been Passed - Once the Written Qualifying Exams have been passed, students are *very strongly discouraged* from making changes to their Region or Theoretical Focus, and this will *be allowed only once and in rare and compelling circumstances*. Such a change would only be considered if the student's previous research plans were no longer viable, and the student's dissertation committee agreed to the change. The student will then be required to re-do the qualifying exam for the area changed (region or theory). In these exceptional cases, the student is given a maximum of 6 months to complete the new exam. This may also call for changes to the committee (at the discretion of the faculty).

If the Region – and thus research language – is changed, this may also require a new language exam. The decision to require a new language exam will be made at the discretion of the dissertation chair and committee.

4. Dissertation Proposal and Defense - No later than during the sixth semester, you are expected to complete the proposal for your planned research project. Make certain to do IRB. (see Tab 9, Appendix:2) Be sure to keep in touch with your committee members to update them on your progress. To allow time for revisions, you must submit a complete version of this proposal to members of your committee at least one month in advance of the scheduled date of your proposal defense. All faculty members on your committee must receive a final version of the proposal two weeks in advance of the proposal defense date. Late submissions will not be accepted.

The PhD Proposal Defense can occur no later than the final day of classes of the final semester of coursework (normally, the sixth semester in residence or fourth semester in residence for students who entered the program with MA backgrounds). You will defend the proposal orally before your committee. Suggestions for changes or improvements made by the committee during the defense should be included in the version submitted for funding.

It is your responsibility to adhere to this schedule, to ensure adequate time for resubmitting revised drafts, and to coordinate the availability of committee members for the exam and defense. It is your Advisor's responsibility to schedule the exam/defense with the Departmental Administrative Assistant.

5. ABD (All But Defense): From PhD Candidacy to PhD - After successfully defending (and revising, if needed) your research proposal, you will be a PhD Doctoral Candidate. You should constitute your dissertation committee (which need not be the same in composition or size as your PhD qualifying exam committee). Students must file both online and physically with the Department the composition of the dissertation committee, and must include a *curriculum vitae* of the required outside committee member, if that person's *c.v.* is not already on file.
6. Conducting Your Dissertation Field Research - Usually, as soon as your funding becomes available, you will conduct your dissertation research, although in exceptional circumstances, you may need to wait for visas from the country(ies) where you plan to do field work. While in the field, you should remain in regular contact with your dissertation committee. We strongly recommend that you use email (if available) to send electronic back-up copies of your field notes and other materials to a "safe" address. Also, be sure to request enrollment in ANTH 8049 in order to maintain your full-time graduate student status (**Note: this may be important for retaining eligibility for student insurance coverage and for keeping at bay student loans**).
7. Writing Your Dissertation - When you return from the field, you must develop a detailed outline for the dissertation. This outline must be shared with and approved by all members

of the dissertation committee. Once approved, this outline will serve as the basis for preparing the dissertation.

Committee members must receive a draft of the entire dissertation, already vetted by the chair of the committee, at least six (6) weeks in advance of the University-mandated deadline for dissertation defenses. You can expect to receive feedback from each committee member within three (3) weeks of receipt of draft chapters. Based on their comments, you will revise the dissertation and re-submit it for the committee's approval before the dissertation defense is scheduled.

8. Dissertation Defense and Graduation - You are responsible to schedule the defense through the Administrative Assistant to the Department Chair. Please see above and the *Dedman College Graduate Catalog* for further details about the defense. Any additional recommendations raised during the formal defense of the dissertation should be incorporated into the final version of the Dissertation before it is submitted to the Office of the Dean for Graduate Studies.

## **PROJECTED SEQUENCE AND TIMETABLE: CULT/MED PhD PROGRAM**

A possible schedule for your first years in the program follows. Do not expect the courses to be offered in the exact order as shown, and feel free to mix required and elective as they are available (you do not need to fulfill all your requirements before your electives). Also, the research/teaching schedules of each student will vary depending on funding opportunities and previous teaching experience. Finally, the semester numbering system applies only to semesters in residence: If you have field commitments during the academic year, your schedule must be adjusted accordingly. You still will be expected to take the MA General Exam within four semesters of entering the program. ® = Required course. "Electives" may be independent study courses in the student's area of interest.

**Note: Students who are not fulfilling requirements and making adequate progress by the end of Year 2 will be asked to meet with the Dept. Chair, Grad. Advisor, and their committee chair to discuss ways to improve their progress.**

### **YEAR 1**

<b>Semester 1</b>	<b>Semester 2</b>
5334 History of Anthropology I ®	5335 History of Anthropology II ®
Core Concentration or Elective	7342 Social Organization ®
Core Concentration or Elective	Core Concentration or Elective
*Students will be assigned or choose an initial Advisor.	*Students finalize choice of faculty Advisor and plan of study (courses).
*Complete all petitions (course waivers/transfers)	
*Begin Review/Study for Language Exam.	*Begin preparing for MA Exams - exam questions and bibliography available online.

### **YEAR 2**

<b>Semester 3</b>	<b>Semester 4</b>
5344 Methods ®	7333 Data Analysis ®
6320 Regional Ethnography ®	Core Concentration or Elective
Core Concentration, Elective, or Statistics	Core Concentration or Elective
*Students <b>finalize faculty committee</b> - meet with committee to identify dissertation topic, discuss PhD qualifying exams, and research plans.	*MA GENERAL EXAM: (All grades of "I" must be removed prior to taking the exam.)
	<b>SUMMER:</b> Preliminary Diss. Field Research

### **YEAR 3**

<b>Semester 5</b>	<b>Semester 6</b>
7351 Research Strategies ®	Elective or Independent Study
Elective or Independent Study	Elective or Independent Study
Elective or Independent Study	Elective or Independent Study
*Submit PhD proposal for funding	*Pass Language Exam and remove any "I's"
	*PhD Qualifying Exam/Proposal Defense

### **YEARS 4, 5, and 6**

Secure external funding for research, complete field research, and write/defend the dissertation.

## PhD Degree in Archaeology

### A. Introduction/Overview

The Archaeology program at SMU is largely focused on Anthropological and Environmental Archaeology, with faculty research interests ranging from the earliest hunter-gatherers to complex societies across North America, Oceania, Africa, and other parts of the world. The program offers broad training in contemporary archaeological theory, method, and scientific applications, and is strongly field-oriented.

Upon arriving you should select a faculty mentor who will help guide you through initial course selection and other matters (see page 6 of this document). By mutual consent, your faculty mentor may (or may not) become your Faculty Advisor. The Archaeology faculty will guide you toward the goal of completing all coursework in three years of matriculation, and the dissertation within five years of advancing to candidacy, thus meeting all Dedman College requirements.

Fifty-four (54) hours of coursework are required. You can waive up to 24 hours for equivalent coursework (18 hours of elective courses plus 6 hours of field school) taken before you entered the program. For waiver procedures, consult page 20 of this document, and seek your Advisor's counsel. Please see page 46 for typical sequencing of courses and a timetable for meeting all requirements.

### B. Coursework

#### Group A: Required Courses: 24 hours (all courses are 3 credit hours)

ANTH 5334 – History of Anthropology, Part I  
ANTH 5335 – History of Anthropology, Part II  
ANTH 6300 – World Archaeology  
ANTH 6301 – Principles of Archaeology  
ANTH 6342 – Science and the Human Past  
ANTH 6387 – Practice of Archaeology  
ANTH 7313 – Archaeological Theory  
ANTH 7317 – Archaeological Research Strategies (taken after successful completion of the MA exam)

Students must take at least one course each from Groups B, C, and D below (9 credit hours) and a second course from Group B or C (for an additional 3 credit hours).

#### Group B: Thematic Courses

ANTH 6302 – Statistics in Anthropology  
ANTH 63xx – Hunter-Gatherer Archaeology  
ANTH 6332 – Special Problems  
ANTH 6337 – Origins of Complex Society  
ANTH 6351, 6352, 6353, 6354, 6355, 6156, 6256 – Research in Anthropology  
ANTH 6383 – Geoarchaeology  
ANTH 6386 – The Archaeology of Gender and Sexuality



### Group C: Lab Courses

ANTH 5310 – Osteology  
ANTH 6325 – Introduction to Osteology I  
ANTH 6333 – Laboratory Methods in Archaeology  
ANTH 6388 – Geospatial Analysis  
ANTH 7321 – Ceramic Analysis

Group C includes various workshops in Archaeological Sciences for 2 or 3 hours' credit offered occasionally at Fort Burgwin. Register for these courses under a Research in Anthropology number.

### Group D: Regional Courses

ANTH 6310 – Prehistory of the American Southwest  
ANTH 6368 – North American Archaeology  
ANTH 6369 – South American Archaeology  
ANTH 6385 – Pacific Islands Archaeology  
ANTH 7312 – Archaeology of Mesoamerica  
ANTH 7318 – Late Pleistocene in North America

**Note: With the advice and consent of your Mentor or Advisor, you may choose other courses offered within the Department or University as electives.**

All graduate students must complete an archaeological field school or have field experience equivalent prior to or during their time at SMU. A waiver for this requirement can be granted if you have completed a field school or have sufficient field *supervisory experience* prior to entering the program. On its own, prior field experience cannot be used as a transfer credit towards existing degree requirements. Students who do not have field school or adequate field experience prior to joining the program may take up to 6 hours' credit of field school as part of their elective credits.

### Group E: Field Courses: up to 6 hours' maximum

ANTH 5381, 5382, 5681, 5981 – Field Methods in Archaeology (This is usually taken at Fort Burgwin (SMU-in-Taos) field school, either during the summer before or the summer after your first year of course work at SMU.

## **C. Graduate Training in Teaching Requirement**

The Department of Anthropology is committed to providing all graduate students with appropriate training in teaching college-level courses. To that end, the Department requires that each graduate student fulfill a set of requirements (listed below). It is the student's responsibility to inform the Director of Graduate Studies when each of the following requirements is completed. **Please see Tab 3 for specific information.**

The archaeology faculty are also committed to training archaeologists who are prepared for the ethical challenges that they may face in the profession. In addition to the ethics component of ANTH 6387 – Practice of Archaeology, students are encouraged to attend and/or participate in the Ethics Bowl, hosted by the Society for American Archaeology (SAA) at its annual meeting.

**D. PROGRESSION THROUGH THE PROGRAM – Projected Sequence and Timetable:**  
PhD in Anthropology – Archaeology (see TIMETABLE on page 46)

- 1. Faculty Mentor or Advisor:** Students will be assigned a faculty mentor when they enter the program or they may choose if they already know which faculty member they would like to have as their major mentor. This decision must be finalized by the end of the second semester. The “Faculty Advisor” form (see Tiffany) should be filled out and filed with the department. In addition, students should formalize a general course of study with their advisors. **(Important Note: a student may change his/her faculty advisor at any time if research interests change.)**
- 2. Preparation for the Language Exam:** Starting the first semester, students should begin language study or review in order to be prepared to pass the Language Exam their sixth semester, prior to the PhD Qualifying Exams. We have found that students who do not prepare early are often not ready to pass the Exam and this may delay their progress.

Students are expected to choose and finalize their faculty committee (their faculty Advisor, two other Professors from the department, and one outside member) by the end of their fourth semester. The committee must be set up in accordance with University Guidelines and approved by the committee chair. The list of committee members should be filed with the department and forwarded to the Dean of Graduate Studies. **Note:** no faculty member is obligated to serve on your PhD Qualifying committee, nor are you obligated to have any specific faculty member on your committee.

Students should meet with their committees at the end of their third semester to identify and plan their general dissertation research topic, and to discuss the areas to be covered by their PhD qualifying exams. The “Faculty Committee/Research Topic” form must be filled out, signed by the student and all members of the committee, and filed with the department. **(Important Note: a student may change the membership of the committee and research plans at any time if circumstances change.)**

***Really Important Note:*** Students who are not fulfilling these requirements and thus not making adequate progress by the end of Year 2 will be asked to meet with the Department Chair, Director of Graduate Studies, and their faculty committee chair to discuss ways to improve their progress.

**3. MA General Examination**

Upon entering the graduate program (i.e., during your first month in the department), you will receive a list of general topics in archaeological method and theory, and world prehistory. From these topics, the archaeology faculty will draw specific questions for your MA general examination, which will be taken at the end of your fourth semester. Additional details will be provided with the distribution of the general topics.

**Note:** In extraordinary circumstances, if you enter our program with a Master’s degree in anthropology, the faculty will consider a petition to take the MA general examination at the end of your second semester of classes.

**Note: If you have not completed an archaeology field school or had equivalent archaeology field training, you must do so prior to taking the MA general examination.**

**Note: No MA general examination may take place in the summer.**

The exam will be administered toward the end of your fourth semester (second semester for entrants who successfully petition with an MA in Anthropology), the date to be determined based on that semester's deadlines for receiving MA degrees, usually in the 11<sup>th</sup> or 12<sup>th</sup> week of the semester. The date will be announced at the beginning of the spring semester in which you take the exam. You will have one week from the distribution of the specific questions to provide the answers.

All members of the archaeology faculty in residence will grade the exam. Each question will be given either a *"Pass with distinction," "Pass," "Low pass,"* or *"Fail"* by each faculty member, and the average of these grades will stand as the grade for that question. The average of all of the grades will determine your overall performance on the exam. You will receive your results by the last day of the same exam week.

Your advancement in the PhD program is contingent on achieving a grade of *"Pass"* or higher on the exam as a whole. Students who receive a grade of *"Low pass"* on their exam are awarded a Masters of Arts degree in Anthropology, but will not be admitted into the PhD program. Students who fail the exam will not receive any degree and will not be allowed to continue in the program. Students will not be allowed to retake the examination, either in part or in whole.

Failure to take this exam at the specified time can result in dismissal from the program. Only serious extenuating circumstances will be considered as a reason for postponement, and these must be presented in the form of a well-documented petition to your Mentor or Advisor, and be approved by a majority of the archaeology faculty.

#### **4. Continuing Course Requirement**

After you have received a *"Pass"* or higher on the MA general examination, you will be expected to take the following course as soon as possible:

ANTH 7317 – Research Strategies in Archaeology

#### **5. PhD Qualifying Examination and the PhD Proposal Defense**

The PhD Qualifying Examination consists of two parts: a take-home written exam and a proposal defense.

**Note: You may not take the PhD Qualifying Examination before (a) completing 54 hours of coursework, (b) removing any Incomplete grades, and (c) passing the Language Exam – see Tab 9, Appendix 1 in this document. Please refer to the Sequence and Timetable on page 46.**

### **a. The Written Exam**

You will take the written PhD qualifying exam during the semester in which you are completing your coursework. The exam will be tailored to your research topic, and will be scheduled and evaluated by your Advisor and committee. There will be two questions in the area of specialization: one on the region of research, the other on methodological and/or theoretical matters related to your proposed research. These will be take-home exams, with a time limit of one week. The Written Examination is a diagnostic test only, and is not graded. Rather, your Advisor and committee will read it, and the Advisor will provide a written evaluation of the Examination, with input from the committee members, who will send their written comments to the Advisor within two weeks. The evaluation will identify strengths and weaknesses, and will suggest areas of further study as necessary. You will receive the written evaluation before your proposal defense [see below].

## **6. Schedule**

When your last semester of coursework begins, follow this schedule:

*Start of sixth week* of classes – last day for your Advisor to hand you two [take-home] questions. This can happen sooner if all committee members agreed on an alternative date.

*End of sixth week* of classes – last day for you to give finished answers to your committee. Whenever you start, you have one week to hand in the answers.

As in the case of the MA general examination above, failure to take the PhD Qualifying Examination at the specified time can result in dismissal from the program.

## **7. Proposal Defense**

You also will prepare for the proposal defense during the semester in which you are completing your coursework; i.e., during the same period that you take the written exam. Submit a complete version (including text, references, vita, and budget) of your dissertation research proposal to your Advisor at least one month in advance of the scheduled date of your proposal defense. Your committee should receive a final version of the proposal two weeks in advance of the proposal defense date.

Schedule – the proposal defense can occur no later than the last day of the exam week at the end of your final semester of coursework.

You will defend the proposal orally before your committee and introduce the topic in a 10-15 minute presentation. Visual aids are encouraged, but not required. Questions from the committee will follow. Suggestions for changes or improvements made by the committee during the defense should be included in the final draft of your research proposal.

Once approved by the committee, the final draft must be submitted to a funding agency.

**Note: It is your responsibility to adhere to the schedules, to ensure adequate time for resubmitting revised drafts, and to ascertain that all committee members will be available for the exam and defense. It is your Advisor's responsibility to schedule the exam and defense times and locations with the Departmental Administrative Assistant. Only serious extenuating circumstances will be considered as a reason for postponement, and these must be presented in the form of a well-documented petition for approval by a majority of the anthropology faculty and then forwarded to the Dean of Research and Graduate Studies for final approval. Students may petition for a one-year extension, but that is the maximum allowed. No examinations will be offered in the summer**

## **8. PhD Candidacy (ABD, "All But Dissertation")**

PhD Candidacy is conferred upon the completion of 54 hours of coursework, completion of the language requirement, successful completion of the written qualifying exam, successful defense of a dissertation proposal, and submission of the proposal to a funding agency.

## **9. The PhD Dissertation**

- a. Committee -- After successfully defending and submitting your research proposal, you should constitute your dissertation committee, if you have not already done so, following the guidelines above.
- b. During Field Work – You should enroll each semester for ANTH 8049 in order to maintain full-time graduate status. You are responsible for contacting the departmental staff to verify your enrollment each semester.

Remain in regular contact with your Advisor and committee. You have five years from the time you attain ABD status in which to complete the PhD dissertation. During those five years you will be required to provide, before the end of the year student evaluation meeting (which takes place the week after exam week), a *written* statement discussing your progress on your dissertation. This letter will become part of your permanent file.

- c. Dissertation Production – All guidelines for dissertation production should be obtained and studied *before* writing begins. Consult appropriate personnel in the Office of Research and Graduate Studies *in person before* final formatting of your graphics.
- d. Scheduling the Defense – Committee members must receive a draft of the entire dissertation, already vetted by the chair of the committee, at least seven (**7**) weeks in advance of the University-mandated deadline for dissertation defenses. You can expect to receive feedback from each committee member within four (**4**) weeks of

receipt of draft chapters. **You must have your entire committee's approval before the dissertation defense is scheduled.**

- e. The Defense – Procedures for a dissertation defense are outlined above. You will meet with your committee immediately following the public proceedings, and receive any additional recommendations to be incorporated into the third and final version of the dissertation, before it is submitted to the Office of Research and Graduate Studies.

## **PROJECTED SEQUENCE AND TIMETABLE: ARCHAEOLOGY PhD Program**

A possible schedule for your first several years in the program follows. Do not expect the courses to be offered in the exact order as shown, and feel free to mix required and elective as they are available (you do not need to fulfill all your requirements before your electives.) Also, the research/teaching schedules of each student will vary depending on funding opportunities & previous teaching experience. Finally, the semester numbering system applies only to semesters in residence: If you have field commitments during the academic year, your schedule must be adjusted accordingly. You still will be expected to take the MA general examination within four semesters of entering the program. ® = Required course. "Electives" may be independent study courses in the student's area of interest.

**Note:** Students who are not fulfilling requirements and making adequate progress by the end of Year 2 will be asked to meet with the Dept. Chair, Grad. Advisor, and their committee chair to discuss ways to improve their progress.

### **YEAR 1**

<b>Semester 1</b>		<b>Semester 2</b>
5334 History of Anthropology I ®		5335 History of Anthropology II ®
6301 Principles of Archaeology ®		7313 Archaeological Theory ®
6300 World Archaeology ® or Group B, C, or D		Group B, C, or D
*Students will be assigned/choose an initial Mentor.		*Students finalize choice of <u>faculty Advisor and plan of study</u> (courses).
*Begin Review/Study for Language Exam		
*Complete all petitions (course waivers/transfers)		

### **YEAR 2**

<b>Semester 3</b>		<b>Semester 4</b>
6387 Practice of Archaeology ®		6342 Science and the Human Past ®
Group B, C, or D Elective		Group B, C, or D Elective
Elective		Elective
		* <b>MA GENERAL EXAM:</b> required field experience must be completed and all grades of "I" must be removed prior to taking exam.

### **YEAR 3**

<b>Semester 5</b>		<b>Semester 6</b>
7317 Arch. Research Strategies ®		6351 Research in Anthropology
6351 Research in Anthropology		Elective
Elective		Elective
*Students <b>finalize faculty committee</b> -meet with committee to identify dissertation topic, discuss PhD qualifying exams and research plans.		*Pass Language Exam and remove any "I's"
		*PhD Qualifying Exam/Proposal Defense
		*Submit PhD proposal for funding ®

### **YEARS 4, 5, and 6**

Secure external funding for field research, complete field/lab research, & write/defend dissertation.
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# **The MA Degree in Medical Anthropology**

## **A. Introduction/Overview**

The Master of Arts in Medical Anthropology is designed to prepare graduate students for professional employment and to meet the growing community need for personnel with a cross-cultural perspective in health fields. You may come to this program from an undergraduate major in the social and behavioral sciences (including, but not limited to, anthropology), or from some health field such as nursing, public health, counseling, or medicine.

The goal of the two-year program is to increase your knowledge, skill, and readiness for involvement with the programs and problems of agencies, hospitals, clinics, medical organizations, and health delivery programs at home and abroad where applied anthropologists can make positive contributions.

The program provides you with training in the basic principles and methods of cultural and medical anthropology, as well as cultural sensitivity important for employment in health-related fields.

## **B. Coursework**

The MA in Medical Anthropology is based on 36 hours of university coursework as follows:

### **1. Required Courses (12 hours)**

ANTH 5336: Health in Cross-Cultural Perspective

ANTH 5344: Research Methods in Ethnology

ANTH 6343: Biomedicine, Culture, and Power

ANTH 6353: Research in Anthropology (normally taken in the fall of the second year; field/clinical research)

*Strongly Recommended* is ANTH 7333 Data Analysis.

Please consult the “Sequence and Timetable” for the MA in Medical Anthropology on page 50.

### **2. Elective Courses (24 hours, of which 2 must be on health/medical topics)**

Twenty-four elective hours of graduate coursework must be completed. At least two of these courses must be on health/medical topics; one course should be taken outside of the department (for example, PSYC 5359 “Death and Dying” or PHIL 3344 “Medical Ethics”); the remainder must be either in medical anthropology or globalization. You should meet with your Advisor each semester to ensure an appropriate selection of courses is made. Some suggested courses might include:



ANTH 6303: Political Economy of Health  
ANTH 6305: Applied Anthropology  
ANTH 6307: Global and Public Health  
ANTH 6316 or 6317: Advanced Seminar in Ethnology (medical topic)  
ANTH 6344: Global Population Processes  
ANTH 6384: Global Issues and Development: An Overview  
ANTH 6390/6391: Current Issues in Anthropology

**Note: For non-medical anthropology coursework, you are encouraged to consult with the Instructor and to develop a research project/paper topic for the course that has a health focus.**

For courses outside of the anthropology department, you must obtain the approval of your Advisor before enrolling. You should also discuss your enrollment in these courses with the Instructor of Record in the appropriate department or school. You may have the option of taking these courses, particularly those in the Law School, on a “Pass/Fail” basis. This option should be discussed with the Instructor and your Advisor, *before* enrolling. You should be aware that the calendars of the professional schools may differ from that of Dedman College.

### **C. Field/Clinical Experience**

An internship with a field or clinical component is an intrinsic part of the MA program. This will involve your participation in some extramural medical, anthropological, or medical research project, clinic, facility, or agency. You should identify the agency, facility, or program where you want to work by the end of your 2<sup>nd</sup> semester. The internship/experience should last approximately 8-10 weeks with between 10 and 20 hours of service each week. Work may be limited to observation, or may be more involved, and may or may not involve an independent research question. A site supervisor must be identified, who will evaluate your efforts and provide a brief, written report to your Advisor at the end of the internship. Internships may not occur where you are currently employed, nor should they be in the same type of work in which you are already knowledgeable and working.

A one-page written proposal of the internship/experience, including evidence of a willing site supervisor, must be submitted to and approved by your Advisor no later than your 3<sup>rd</sup> semester. If you wish to propose a small independent research project to conduct during your internship/experience, this proposal must be submitted to and approved by both your Advisor and site supervisor no later than your 3<sup>rd</sup> semester. Ideally, the internship will be completed in your third semester of coursework, under the auspices of ANTH 6353.

You will prepare a written report on your field/clinical experience that is a minimum of twenty pages of text. It must include a bibliography and be written in appropriate anthropological style and format. This report does not need to conform to the thesis requirements for the University. Please consult with your committee chairperson regarding the necessary and appropriate content and form of the report. The report needs to be submitted at least six (6) weeks in advance of the scheduled date for the MA exam since it will be discussed during that exam. Examples of past reports are on file in the Department office and are available to students.

## **D. MA Examination in Medical Anthropology**

Upon satisfactory completion of all course work (including the removal of any grades of Incomplete), you must pass an oral examination of approximately one hour designed to review your competence. Under conditions described in the *Dedman College Graduate Catalog*, the MA examination will be scheduled and conducted in consultation with your Advisor and with the members of the MA Examination Committee.

### **1. MA Examination Committee**

You should form your MA Examination Committee late in your second semester, but prior to finalization of your internship proposal. The examining committee will consist of at least three members, two of whom must be from the Anthropology Department; the third member must be from a department other than Anthropology. Normally, the student's Advisor serves as Committee chair. You are responsible for choosing the committee members, but should do so in close consultation with the Committee chair. The Department Chair will officially nominate this committee to the Dean of Research and Graduate Studies for approval.

### **2. The MA Degree**

You must file for the degree early in your final semester in the MA program. Please consult with your Advisor about deadlines and procedures.

**Note: Financial support for graduate students is limited. Except under extraordinary circumstances, Teaching Assistantships and Departmental Assistantships are not available to students in the MA program.**

## **PROJECTED SEQUENCE AND TIMETABLE: MEDICAL MA PROGRAM**

A possible schedule for your two year program follows. Do not expect the courses to be offered in the exact order as shown, and feel free to mix required and electives as they are available (you do not need to fulfill all your requirements before your electives). Also, the schedule of each student may vary depending on available opportunities. You will be expected to complete your internship by the third semester of your coursework. The 20-page write-up of your field/clinical experience must be completed during your fourth semester of coursework, and six weeks prior to the MA exam. ® = Required course. "Electives" may be independent study courses in the student's area of interest. Total of 36 required hours.

### **YEAR 1**

<b>Semester 1</b>		<b>Semester 2</b>
5336 Health in Cross Cultural Perspective ®		6343 Biomedicine, Culture and Power ®
5344 Research Methods in Ethnology ®		Elective
Elective		Elective
*Students will be assigned or choose an advisor.		*Identify location/supervisor for internship.
*Complete all petitions (course waivers/transfers)		*Form MA committee.

### **YEAR 2**

<b>Semester 3</b>		<b>Semester 4</b>
6353 Research in Anth (Internship) ®		Elective
Elective		Elective
Elective		Elective
*Early in semester: Complete written proposal for Internship		*Remove all grades of "incomplete."
		*Write 20-page report on internship - submit 6 weeks prior to MA exam.
*By end of semester: Complete internship.		<b>*MA Exam - one hour, oral exam.</b>

## FULL-TIME FACULTY

For further information and access to individual faculty web pages, please go to: <http://smu.edu/Anthro>

**Michael A. Adler** (PhD U Michigan 1990; Assoc. Prof., Executive Director, SMU-in-Taos) Archaeology, settlement systems, cross-cultural analysis, population aggregation; Southwest.

**Caroline B. Brettell** (PhD Brown 1978; University Distinguished Prof.) Cultural anthropology, gender, anthropology and history, religion, kinship, migration, ethnicity. Europe and U.S.

**Maryann R. Cairns** (PhD U South Florida 2014) Cultural anthropology, environmental anthropology, infrastructure studies, political ecology. Latin America, Caribbean, Eastern Europe and U.S.

**B. Sunday Eiselt** (PhD U Michigan 2006; Assoc. Prof., Director, SMU Archaeology Field School) Archaeology, indigenous archaeology, material culture studies (especially ceramics). N. America and SW.

**Kacy Hollenback** (PhD U Arizona 2012; Asst. Prof.) Anthropology of disaster, collaborative archaeology, material culture studies. N. America and Great Plains.

**K. Ann Horsburgh** (PhD Stanford U 2008; Asst. Prof.) African prehistory, molecular anthropology, ancient DNA, domestic fauna, prehistoric culture contact. Africa.

**Karen Lupo** (PhD Utah 1993; Prof. and Department Chair) Ethnoarchaeology, Zooarchaeology, human behavioral ecology. Sub-Saharan Africa and Western U.S.

**Mark McCoy** (PhD U California-Berkeley 2006; Assoc. Prof.) Archaeology, political economy, human eco-dynamics, spatial technology. Oceania.

**David J. Meltzer** (PhD U Washington 1984; Henderson-Morrison Prof.; Executive Director, Quest Archaeological Research Program) Archaeology, Paleoindians, paleoenvironments, history of American archaeology. N. America.

**Neely Myers** (PhD U Chicago 2009; Asst. Prof.) Medical/psychiatric/psychological anthropology, mental health (especially psychosis and trauma). U.S. and Africa.

**Nia Parson** (PhD Rutgers U 2005; Assoc. Prof.) Medical anthropology, mental health, gender, violence, inequality, globalization, human rights. Latin America, U.S., and Chile.

**Christopher I. Roos** (PhD U Arizona 2008; Assoc. Prof.) Environmental archaeology, socio-ecological resilience, pyrogeography, geoarchaeology. Southwest US.

**Carolyn Smith-Morris** (PhD U Arizona 2001; Assoc. Prof.) Medical anthropology, Native American and Political Economy of Health. U.S. and Mexico.

**Nicolas Sternsdorff-Cisterna** (PhD Harvard 2014; Asst. Prof.) Cultural anthropology, food, risk, environment, disasters, the body and the senses, space, political economy. Japan.

Anthropologists in other Departments, Schools, etc.

**Eric G. Bing** (PhD UCLA 2000; Prof. of Global Health, Director of Global Health, George W. Bush Institute) HIV infection in Africa, health in the Developing World.

**EMERITUS FACULTY**

**Anthony E. Marks** (PhD Columbia 1966; Emeritus Prof.) Paleolithic archaeology, lithic analysis, research strategies. Near East, Africa, and Iberia.

**Ladislav P. Novak** (PhD U Minnesota 1962; Emeritus Prof.) Physical anthropology, biological growth and aging, body composition, physiology of exercise, physiological adaptation, applied nutrition.

**C. Garth Sampson** (D Phil Oxford 1969; Emeritus Prof.) Paleolithic archaeology. Old World and Africa.

**Ben J. Wallace** (PhD U Wisconsin 1967; Prof.) Ecology, social and economic change, applied anthropology. Southeast Asia.

**Ronald K. Wetherington** (PhD U Michigan 1964; Prof.) Physical anthropology, forensic anthropology, genetics, skeletal growth. Southwest U.S. prehistory and early history.

**David J. Wilson** (PhD U Michigan 1985; Assoc. Prof.) Archaeology, settlement patterns, origins of chiefdom and state, ecological anthropology. South America and Peru.

## APPENDIX 1. LANGUAGE EXAMINATION

You may elect any language in which to be examined, but you must demonstrate to the faculty that this language is relevant to your area of anthropological interest.

No student may use English as a “foreign” language. On the other hand, foreign students who are not native speakers of English may use their native language to meet Departmental requirements if that language meets the criteria for relevance set forth above. Neither coursework taken prior to your entry into our programs nor exams other than those listed below will be considered as a waiver for this requirement.

If you require further training in a foreign language before attempting to pass the language exam, you may enroll in courses in the Department of World Languages and Literatures at SMU if the department teaches your chosen language. After obtaining permission from the instructor, you may take undergraduate or graduate-level language courses Pass/Fail. The three credit hours that you will receive per course will NOT count toward your required 54 hours of coursework for the PhD in Anthropology. These courses are included in graduate students’ tuition waivers (thus no additional charge).

If your language is not one of the languages that are taught at SMU, you may consider: 1) taking courses at another nearby university (these courses will not be transferred and DO NOT count toward the 54 hour requirement for the PhD in Anthropology); or 2) studying with a native-speaker of the language in the Dallas area.

You have five options for passing the language examination requirement:

OPTION 1. You may elect to be examined by an examiner from the Department of Anthropology. Examiners will be faculty members named by the Chair, and will hold office for the length of time specified by the Chair. An examiner may refuse to give an examination. The examination, as given within the Department, will consist of two parts, given sequentially.

A. Written Translation. You will be given a passage of approximately 1,000 words (about four double-spaced, typed pages), from one or more articles or publications in your area of interest, to translate into good English. Dictionaries or other books and reference works may be used, but personal assistance is not allowed. This written translation must be completed within two hours and turned in promptly to the examiner.

B. Sight Translation. Within a few days, the examiner will report to you the results of the written translation part of the exam. If you have passed this part of the exam, you will then be given two passages, each of approximately 75 words, and will be required to give an oral “sight translation” in the presence of the examiner. You will be given five minutes to study each passage before beginning. No aids of any kind may be used during this portion of the examination.

In all cases, the materials used for the language examination will be chosen by the examiner to fit with your major topical/regional interests, and an effort will be made to be as specific as possible. You may work with the examiner to pre-select appropriate material consisting of at least 200 pages. Once settled on, exam material can be selected from this source. All translations must be in acceptable, fluent written

English. Within the time limits imposed, you must translate the required passages, interpreting accurately such details as genders, tenses, idiomatic expressions, and similar linguistic features, which may not always have literal English equivalents, so as to furnish evidence that you are familiar with the language chosen.

OPTION 2. If available, you may take an examination given by the Education Testing Service of Princeton, New Jersey. Check the ETS website (<http://www.ets.org/>) for up-to-date information regarding type of exam, dates and places of administration, required fee, etc. The percentile score deemed passing by the Department of Anthropology may differ from that accepted by other departments, as long as it is consistent with overall University policy. Be sure to discuss the test you plan to take with your Advisor before you sign up for the test and pay the fee.

OPTION 3. You may elect to be examined by the Foreign Languages Department at SMU. In this case, you should consult with the Director of Graduate Studies (DGS) in the Department of Anthropology. You must also consult with the Department of World Languages to ascertain if there are any exam fees. The Director of Graduate Studies in Anthropology must approve the material to serve as the basis for the exam submitted to the World Languages Department. In case of failure, the World Languages Department will not reexamine before 30 days have elapsed.

OPTION 4. If you complete a language evaluation for a Fulbright or SSRC fellowship application, you may submit the results of this evaluation to fulfill the departmental language exam requirement. Examiners must be either language professionals affiliated with the University or native speakers holding professional and/or business positions in the community. You must provide a brief profile of the non-language professionals to either the DGS or Chair to have this examiner approved (in writing) prior to undertaking the actual exam. You must surpass a minimally acceptable score on the Fulbright or SSRC exams in order to fulfill the requirement.

OPTION 5. General Examination by Native Speaker in the Community. If you need to fulfill your language requirement in a non-Western language (e.g., Navajo, Laotian) not spoken by members of our departmental or University community, you are encouraged to identify a native speaker who holds a professional or business position in the broader community to administer the exam. You must provide a brief profile of the examiner to either the DGS or the Chair to have this examiner approved (in writing) prior to undertaking the actual exam. This exam should follow the format provided in a Fulbright language examination, and you must achieve minimally acceptable scores in order for this exam to fulfill the requirement. You can obtain a copy of the Fulbright Foreign Language Report form from the Administrative Assistant to the Department Chair.

Minimally Acceptable Scores (exceptions permitted with consent of the Department Chair and members of the doctoral committee).

Fulbright: Aural Comprehension: understands simple conversation.

Speaking Ability: Uses structural patterns, but not with consistent accuracy. Adequate to handle conversational subjects.

Reading ability: Understands conventional topics and non-technical subjects.

Writing ability: Writes simple sentences on conventional topics.

Overall: Should be able to manage adequately after a short period of adjustment abroad.

SSRC: Comprehension: Adequate comprehension for normal daily needs.

Speaking: Able to speak adequately for normal daily needs.

Reading: Able to read general material in own and related fields with the aid of a dictionary.

Writing: Able to draft academic materials in field of specialization, with major editing by a native speaker.

You may attempt the language exam as many times as needed in order to pass it. You also may try different options, different languages, and even different examiners. Once you have passed the language exam, notify the Department Chair in writing to place the results in your file.



## **APPENDIX 2. IRB (Human Subjects Research) Application**

Nearly all research proposals in cultural anthropology will need to be approved by the campus IRB committee. The proposal will be submitted in the name of your dissertation committee chair since, as a student, you are not an agent of the University for IRB purposes. For additional information and guidelines for submitting an application, please go to the following URL: <https://www.smu.edu/Research/ResearchServices/ResearchCompliance/IRB>. All federal funding agencies, and many private foundations, require IRB approval of your research proposal before funding will be released to the University for your project.

## **APPENDIX 3. Self-Evaluation**

### **ANNUAL SELF-EVALUATION**

To ensure that graduate students are making satisfactory progress through the program, and to discuss each student individually, the faculty in Anthropology meet yearly. In order to give students the opportunity to contribute to these discussions, they must submit a self-evaluation in advance of the spring meeting each year so that the faculty has all the necessary information to reach a fair evaluation of each student's progress.

This will give students the opportunity to provide information on awards, papers published or delivered at meetings, etc. The self-evaluation form also provides students with an opportunity to call attention to extenuating circumstances in their lives, explain grades of "Incomplete," and/or note educational and professional achievements outside the classroom. In sum, the form is a way for students to advocate for themselves and communicate more fully with the entire SMU Department of Anthropology faculty.

Forms needed for the self-evaluations are distributed by e-mail early in the Spring semester. They are due by the date specified at the top of the form. First year students are not expected to fill out the form as completely as more advanced graduate students. Once you complete the form, and prior to the deadline, you should meet with your principal advisor to discuss your progress and obtain her/his signature of approval. If you will be in the field, e-mail or leave a note on file stating briefly what you are doing. If your advisor is on sabbatical or other leave-of-absence, the Director of Graduate Studies can sign your self-evaluation form in her/his stead.

### **SATISFACTORY ACADEMIC PROGRESS**

We have developed a set of criteria for monitoring student progress through the program. These standards assist faculty in their annual evaluations of student progress toward degree completion. Equally important, they help students assess their own progress.

Criteria for satisfactory academic progress towards the completion of your post-baccalaureate degrees are outlined in *The Redbook* (pages 21-23). But satisfactory academic progress also includes:

1. Submission of an annual self-evaluation each spring;
2. Regular meetings with your advisor(s) on a schedule negotiated between you and your advisor(s);
3. Adherence to appropriate schedules (outlined in *The Redbook*), including completion of MA exams; qualifying and language exams; and written and oral proposal defenses;
4. Fulfillment of all formal requirements (GPA, dissertation formatting, etc.) as specified by the Office of Research and Graduate Studies

In evaluating a student's progress through the program, the faculty takes into consideration individual circumstances, such as extra course work, particularly demanding or prolonged fieldwork or laboratory studies, health concerns, and the exigencies of work and family. You will not be penalized automatically if you fail to keep up with projected schedules and timetables (see *The Redbook* pages 38, 46, and 50). However, if your progress through the program deviates from the idealized timelines outlined in *The*

Redbook for any reason, you should discuss the situation with your advisor in order to determine a solution. Slow progress coupled with a lack of contact with your advisor puts you at much greater risk of receiving an “unsatisfactory” evaluation.

Communication is the key. It is every student’s responsibility to maintain open lines of communication with her/his faculty advisor(s).

Should the faculty determine that you are not making satisfactory academic progress towards your degree, they will inform you in writing and specify what you need to do to bring your progress up to acceptable standards. If you fail to respond appropriately and promptly to the specified goals, *you will be subject to penalty*. Penalties for failing to meet guidelines for satisfactory academic progress range from being excluded from consideration for fellowships, grants, or RA/TA positions, and may result in expulsion from the program if unsatisfactory progress persists (see The Redbook pages 15-19).

One of three possible rankings related to a student’s progress will be determined by the faculty after reviewing a self-evaluation. Students progressing according to the expected schedule will receive a “satisfactory.” Those who are not advancing in the program, do not have extenuating circumstances, and are not communicating with their advisers, will be given a ranking of “unsatisfactory.” These students will have one year to improve their standing before being subjected to penalty (see above). Students who are performing above expectations (i.e., publishing, getting grants and awards, etc.) are eligible for a ranking of “excellent.” Student rankings on this 3 point scale will be used, as appropriate, when determining student support opportunities as they become available.

## ANTHROPOLOGY GRADUATE STUDENT SELF-EVALUATION

Please return this form by \_\_\_\_\_, ATTACHED TO A CURRENT 1-2 PAGE CURRICULUM VITAE,  
electronically to Tiffany Powell.

Name: \_\_\_\_\_ SMUID: \_\_\_\_\_

E-mail: \_\_\_\_\_ Phone: (\_\_\_\_) \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Current Program (*check one*): ☐ MA ☐ Ph.D.

Major Subfield: \_\_\_\_\_ Completed SMU credit hours toward major: \_\_\_\_\_

Semester/Year Matriculated at SMU: \_\_\_\_\_ Expected date of final degree: \_\_\_\_\_

Advisor: Printed Name: \_\_\_\_\_ Signature\* \_\_\_\_\_

*\*If your advisor is not in residence, please obtain the signature of the Director of Graduate Studies.*

Student Signature: \_\_\_\_\_ Date: \_\_\_\_\_

1. Print the names of your current MA advisor, or Ph.D. committee members. Committees will be formed after the MA exam. **Note:** Advisors and committee composition may change:

\_\_\_\_\_, Advisor or Chair (☐ MA or ☐ Ph.D.)  
\_\_\_\_\_, Committee Member (☐ MA or ☐ Ph.D.)  
\_\_\_\_\_, Committee Member (☐ MA or ☐ Ph.D.)  
\_\_\_\_\_, Outside Member (Ph.D. only)

2. Have you taken **Research Strategies** (ANTH 7317; ANTH 7351/or its equivalent)? If not, when will you be ready to take it? \_\_\_\_\_

3. When do you plan to: ☐ take the MA exam; ☐ complete your PhD qualifying exam; ☐ PhD proposal defense; **or** ☐

defend your Ph.D. dissertation? (*Check appropriate box then fill in date*) Month: \_\_\_\_\_ Year: \_\_\_\_\_

4. Title of ☐ Ph.D. dissertation (*if you have not yet settled on a title, state the general topic*):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. **Foreign Language Requirement.** When do you plan to take it? \_\_\_\_\_ or Date completed: \_\_\_\_\_

Language: \_\_\_\_\_

Who administered the exam? \_\_\_\_\_ **OR**

Courses taken to satisfy the requirement: \_\_\_\_\_

6. **Methods/Field Requirement** (for archaeology) or **Pre-dissertation Summer Research** (for cultural anthropology).

Completed: \_\_\_\_\_ (semester) \_\_\_\_\_ (year)

Description of project or course used to satisfy requirement: \_\_\_\_\_

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7. List all courses taken during the current academic year, your final grades, and your current cumulative GPA:

**Course**

**Grade**

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Current

Cumulative GPA: \_\_\_\_\_

**For the following questions, please answer as thoroughly as possible, continuing on to a third page if needed.**

8. List all funding that you received, either internal or external, *in the last 12 months*. Include title of award (or scholarship, grant name, job title, etc.), the granting agency or administrator, and the monetary amount of the funding in U.S. dollars (US\$).

9. Provide a brief update of your progress in your degree program. If relevant, please note how academic (e.g., classes or conferences), work (e.g., GRA), and/or field experiences undertaken during the past year have contributed to your training. Are you where you thought you would be in the program? Have you achieved the goals you set for yourself for the academic year? If the answer to either of these is “no,” please note which obstacles have hindered your progress in Question 11.

10. Have you fulfilled all the requirements outlined in the Redbook? Are you on schedule to complete your degree within the time period allotted by the Redbook and the Graduate College (2 years for an MA; 5 years after proposal defense for a Ph.D.)? If no, why not? (*Provide a realistic completion date in Question 3 and explain your specific circumstances below*).

11. Briefly and specifically, what are your academic and research plans and goals for the coming year?